

General Assembly

## Raised Bill No. 5453

February Session, 2020

LCO No. 2589



Referred to Committee on PUBLIC SAFETY AND SECURITY

Introduced by: (PS)

## AN ACT CONCERNING THE SANDY HOOK WORKERS ASSISTANCE PROGRAM AND FUND.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. Section 1 of special act 13-1 is amended to read as follows
- 2 (Effective from passage):
- 3 (a) As used in this section and sections 2 and 3 of [this act] <u>special act</u>
- 4 13-1, as amended by this act:
- 5 (1) "Sandy Hook Workers Assistance program" or "program" means
- 6 the mechanism for providing monetary assistance to affected persons in
- 7 accordance with the provisions of this section.
- 8 (2) "Affected person" means (A) any person who was or was
- 9 scheduled to be at the affected area during the time of crisis for purposes
- 10 of such person's employment or by virtue of such person's status as a
- 11 volunteer who (i) was at the time of crisis (I) a salaried officer or paid
- member of a police department, fire department or state police troop;
- 13 (II) a volunteer police officer or firefighter, whether the officer or
- 14 firefighter is designated as special or auxiliary, upon vote of the

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15 legislative body of the town, city or borough in which the officer or 16 firefighter serves; (III) a volunteer who is a member or an auxiliary 17 member of any police or fire department; (IV) a licensed medical professional, including, but not limited to, a physician, physician 18 19 assistant, nurse, emergency medical technician or paramedic; (V) a 20 teacher or paraprofessional employed by the town of Newtown or the 21 board of education of the town of Newtown; (VI) employed at the Sandy 22 Hook Elementary School in an administrative or service capacity, 23 including, but not limited to, as a secretary, food service provider or 24 janitor; (VII) a therapist or counselor employed by a state agency or 25 licensed under chapter 370, 371, 382a, 383b or 383c of the general 26 statutes; or (VIII) a response coordinator employed by the Department 27 of Public Health or the Department of Emergency Services and Public 28 Protection, and (ii) suffered mental or emotional impairment as a result 29 of (I) his or her perception of and proximity to the affected area at the 30 time of crisis, or (II) his or her absence from the affected area at the time 31 of crisis; (B) a licensed medical examiner, forensic technician, laboratory 32 assistant, principal physician, special investigator or forensic science 33 examiner employed by or working at the direction of the Chief Medical 34 Examiner or the Department of Emergency Services and Public 35 Protection, who suffered mental or emotional impairment as a result of 36 performing his or her duties necessitated by the events that occurred at 37 the affected area during the time of crisis; (C) an emergency service 38 dispatcher who suffered mental or emotional impairment as a result of 39 performing his or her duties necessitated by the events that occurred at 40 the affected area during the time of crisis; or (D) a Department of Mental 41 Health and Addiction Services employee providing mental health 42 services who suffered mental or emotional impairment as a result of 43 performing his or her duties necessitated by the events that occurred at 44 the affected area during the time of crisis.

(3) "Affected area" means (A) from 8:00 a.m. until 11:59 p.m. on December 14, 2012, the Sandy Hook Elementary School, its grounds and the immediate vicinity, including the Sandy Hook Volunteer Fire Department, and (B) from 12:00 a.m. until 11:59 p.m. on December 15,

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49 2012, the Sandy Hook Elementary School and its grounds.

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- 50 (4) "Time of crisis" means from 8:00 a.m. on December 14, 2012, until 11:59 p.m. on December 15, 2012.
  - (5) "Administrator" means (A) the Office of Victim Services, or (B) a charitable trust or entity authorized by the General Assembly pursuant to subsection (e) of section 2 of special act 13-1, as amended by this act.
- 55 (6) "Victim compensation commissioner" or "commissioner" means 56 any individual appointed by the Governor pursuant to section 54-202 of 57 the general statutes who has agreed to review claims of affected persons 58 pursuant to this section.
  - (7) "Assistance" means moneys payable by the State Treasurer, or a charitable trust or entity authorized by the General Assembly pursuant to subsection (e) of section 2 of special act 13-1, as amended by this act, from the Sandy Hook Workers Assistance Fund, established pursuant to section 2 of [this act] special act 13-1, as amended by this act, to assist affected persons pursuant to this section.
  - (b) There is established the Sandy Hook Workers Assistance program. The program shall be administered by the administrator and shall offer assistance, within available funds, to affected persons eligible for assistance under this section and section 2 of [this act, provided no assistance shall be paid to any affected person after August 31, 2015] special act 13-1, as amended by this act. The [administrator] Office of <u>Victim Services</u> shall accept applications for assistance on and after [April 1, 2013] the date the office recovers money pursuant to subdivision (2) of subsection (a) of section 2 of special act 13-1, as amended by this act, for deposit in the Sandy Hook Workers Assistance Fund established under section 2 of special act 13-1, as amended by this act, provided a charitable trust or entity authorized by the General Assembly pursuant to subsection (e) of section 2 of special act 13-1, as amended by this act, shall accept applications for assistance on and after the effective date of such authorization. For the purposes of this section and section 2 of [this act] special act 13-1, as amended by this act, the

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administrator shall have the power to (1) determine whether an affected person meets the requirements for eligibility for assistance under this section; (2) summon and examine under oath such witnesses as may provide information relevant to the eligibility of an affected person, and direct the production of, and examine or cause to be produced or examined, such books, records, vouchers, memoranda, documents, letters, contracts or other papers in relation to any matter at issue as the administrator may find proper; and (3) take or cause to be taken affidavits or depositions within or without the state.

- (c) An affected person shall be eligible to receive assistance from the Sandy Hook Workers Assistance Fund established pursuant to section 2 of [this act] special act 13-1, as amended by this act, provided the affected person meets the following requirements:
- (1) The affected person shall provide an opinion written by a medical professional providing mental health services and licensed pursuant to title 20 of the general statutes, that such affected person has a mental or emotional impairment (A) causing partial or total disability preventing such affected person from performing his or her volunteer or employment duties, or (B) requiring medical and surgical aid or hospital and nursing service, including, but not limited to, medical rehabilitation services, mental health therapy services and prescription drugs;
- (2) Such opinion shall include a finding that such mental or emotional impairment is directly attributable to the affected person's (A) perception of and proximity to or absence from the affected area during the time of crisis, or (B) performance of his or her duties necessitated by the events that occurred at the affected area during the time of crisis;
- (3) The affected person shall have suffered such mental or emotional impairment because (A) the affected person's employment or volunteer responsibilities necessitated or, if such person was absent, would have necessitated, the affected person's presence in the affected area during the time of crisis, or (B) the affected person was (i) a licensed medical examiner, forensic technician, laboratory assistant, principal physician,

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special investigator or forensic science examiner, employed by or working at the direction of the Chief Medical Examiner or the Department of Emergency Services and Public Protection, or (ii) an emergency services dispatcher or Department of Mental Health and Addiction Services employee providing mental health services, and the performance of his or her duties was necessitated by the events that occurred at the affected area during the time of crisis; and

(4) The affected person has submitted, in writing, a notice of claim, in such form as required by the administrator, to the administrator [on or before June 30, 2014,] that shall include: (A) A certificate issued by the medical professional documenting the opinion, required under subdivision (1) of this subsection detailing the mental or emotional impairment (i) preventing such affected person from performing his or her volunteer or employment duties, or (ii) requiring medical and surgical aid or hospital and nursing service, including, but not limited to, medical rehabilitation services, mental health therapy services and prescription drugs; (B) if the affected person is requesting assistance for uncompensated leave, evidence of such affected person's weekly earnings during the fifty-two calendar weeks immediately preceding the time of impairment; and (C) any additional information as requested or required by the administrator.

(d) The level of assistance offered to affected persons shall be calculated as follows, subject to available funds, and payable on a retroactive and prospective basis at the discretion of the administrator: (1) If the mental or emotional impairment suffered by an affected person results in total incapacity to work, such person shall be eligible to receive weekly assistance for all uncompensated leave, whether such person has exhausted his or her compensated leave or elected to take uncompensated leave from his or her employment, equal to seventy-five per cent of such person's average weekly earnings during the fifty-two calendar weeks immediately preceding the date of the impairment after such earnings have been reduced by any deduction for federal or state taxes, or both, and for the federal Insurance Contributions Act, provided such assistance shall not exceed the average weekly earnings

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of all workers in the state as calculated by the Labor Commissioner pursuant to section 31-309 of the general statutes; (2) if the mental or emotional impairment suffered by an affected person results in partial incapacity to work, or requires such person to be absent from work for medical treatment for such impairment, such person shall be eligible to receive weekly assistance for all uncompensated leave, whether such person has exhausted his or her compensated leave or elected to take uncompensated leave from his or her employment, equal to seventyfive per cent of the difference between (A) such person's average weekly earnings during the fifty-two calendar weeks immediately preceding the date of impairment, after such earnings have been reduced by any deduction for federal or state taxes, or both, and for the federal Insurance Contributions Act; and (B) the amount such affected person is able to earn after the impairment, after such amount has been reduced by any deduction for federal or state taxes, or both, and for the federal Insurance Contributions Act, provided such assistance shall not exceed the average weekly earnings of all workers in the state as calculated by the Labor Commissioner pursuant to section 31-309 of the general statutes; and (3) if the mental or emotional impairment suffered by an affected person requires, in the opinion of a medical professional providing mental health services and licensed pursuant to title 20 of the general statutes, medical and surgical aid or hospital and nursing service, including, but not limited to, medical rehabilitation services, mental health therapy services and prescription drugs, such person shall be eligible for weekly assistance equal to the costs of such aid or services, including deductibles and copayments, not covered under any benefit plan applicable to such affected person during the calendar year. For purposes of this section, the average weekly wage of an affected person working as a volunteer at the time of crisis shall be construed to be not less than the average production wage in the state as determined by the Labor Commissioner under the provisions of section 31-309 of the general statutes.

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(e) (1) In calculating the level of assistance offered to an affected person under subsection (d) of this section, the administrator may

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consider special circumstances that may have decreased such affected person's average weekly earnings during the fifty-two calendar weeks immediately preceding the date of impairment, including, but not limited to, maternity or paternity leave or other compensated or uncompensated leave, periods of disability, seasonal employment and voluntary or involuntary periods of unemployment.

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- (2) In calculating the level of assistance offered to an affected person, if, under the terms of an applicable collective bargaining agreement, the affected person is entitled to receive a greater amount for such person's total or partial incapacity to work than the maximum weekly compensation calculated by the Labor Commissioner pursuant to section 31-309 of the general statutes, the administrator shall offer additional assistance in the amount provided for under the terms of such agreement.
- (f) The administrator shall promptly review all claims submitted pursuant to the provisions of subdivision (4) of subsection (c) of this section. The administrator shall evaluate each claim and determine, on the basis of information provided by the affected person, or additional information provided at the request of the administrator, whether or not such claim should be approved and, if approved, the weekly amount of assistance offered and the duration thereof, provided such duration shall not exceed fifty-two weeks, inclusive of any retroactive assistance, or the solvency of the fund. For purposes of this section, a pending worker's compensation claim submitted by an affected person shall not prevent the administrator from approving such person's claim for assistance. The administrator shall provide such determination, in writing, to such affected person not later than ten business days after having received the notice of claim, or, if the administrator requested additional information, not later than ten business days after receiving such additional information, and shall direct the State Comptroller and the State Treasurer to pay, or directly pay, if the administrator is a charitable trust or entity authorized by the General Assembly pursuant to subsection (e) of section 2 of special act 13-1, as amended by this act, any such assistance offered to such affected person in the amount and

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for the duration determined by the administrator, if applicable. Assistance shall continue to be offered to the affected person for the duration determined by the administrator, provided such affected person submits to the administrator, in writing and prior to the first day of each month, beginning after the completion of the first full month that assistance has been provided, (1) a certificate issued by a medical professional providing mental health services and licensed pursuant to title 20 of the general statutes describing such affected person's continued incapacity to work, and (2) documentation of any uncompensated expenses relating to medical and surgical aid or hospital and nursing service, including, but not limited to, medical rehabilitation services, mental health therapy services and prescription drugs. Upon receiving such submissions, the administrator may, within his or her discretion, modify the amount of assistance as appropriate.

(g) An affected person may request that a determination made pursuant to subsection (f) of this section be reviewed by a victim compensation commissioner by filing a request for review with the administrator, on a form prescribed by the administrator, not later than twenty business days after mailing of the notice of such determination. The administrator, not later than three business days after receipt of such request for review, shall designate a victim compensation commissioner to hear such review and shall submit to such designated commissioner all documents relating to such affected person's claim. The commissioner shall hear any request for review filed by an affected person not later than twenty business days after such commissioner's designation. Not later than twenty business days after the request for review was heard by the commissioner, the commissioner shall issue his or her decision, affirming, modifying or reversing the decision of the administrator, based on a de novo review of all relevant evidence, including holding hearings and taking such testimony as such commissioner may deem advisable, and shall submit such decision in writing to the administrator and the affected person. The decision shall include a short statement of findings and shall specify any assistance to be paid to the affected person in accordance with subsection (f) of this

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- 250 (h) Any statement, document, information or matter may be 251 considered by the administrator or, on review, by a victim 252 compensation commissioner, if in the opinion of said administrator or 253 commissioner, it contributes to a determination of the claim, whether or 254 not the same would be admissible in a court of law.
  - (i) There shall be no right of appeal by any person claiming assistance under this section following the final decision of the commissioner issued pursuant to subsection (g) of this section.
  - (j) Each victim compensation commissioner shall be compensated at a rate not to exceed one hundred twenty-five dollars for each day of service pursuant to this section.
- (k) Any assistance provided to an affected person under this section 262 shall not be considered income for the purposes of the state's personal 263 income tax law, corporation tax or other tax laws.
  - (l) Notwithstanding any general statute, charter or special act to the contrary affecting the Connecticut teachers' retirement system, or any special act providing for a teachers' retirement system or other retirement system, the eligibility for a retirement benefit for a spouse, dependent or other survivor of a member of the Connecticut teachers' retirement system who dies on or after December 1, 2012, but prior to December 31, 2012, as a result of injuries received while acting within the scope of such member's employment and not as a result of illness or natural causes, but whose retirement benefits were not yet being paid on the date of such member's death, shall be calculated as if the member had worked to the completion of the 2012-2013 school year.
- 275 Sec. 2. Section 2 of special act 13-1 is amended to read as follows 276 (*Effective from passage*):
- 277 (a) (1) There is established a fund to be known as the Sandy Hook 278 Workers Assistance Fund. [Amounts] Except as provided in subdivision

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(3) of this subsection, (A) amounts in said fund shall be paid by the State Comptroller and the State Treasurer at the discretion of the administrator for [(1)] assistance offered under the Sandy Hook Workers Assistance program established in section 1 of [this act, and (2)] costs and expenses of operating the program. Moneys] special act 13-1, as amended by this act, and (B) moneys received by the fund shall be accounted for separately and apart from all other state moneys, and (C) the full faith and credit of the state of Connecticut is pledged for their safekeeping. The moneys of the fund shall be credited with interest by the State Treasurer in accordance with applicable law. [Not more than five per cent of the total moneys received by the fund in any calendar year shall be used for any administrative or other costs or expenses incurred by the administrator, State Comptroller or State Treasurer in connection with carrying out the provisions of this section and section 1 of this act, including the hiring of necessary employees and the expense of public outreach and education regarding the program and fund.]

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(2) The Office of Victim Services, with the assistance of the Attorney General, shall seek to recover any money transferred to a charitable trust or entity from the fund prior to January 1, 2020, that has not been used to pay claims for assistance under the program. The office shall deposit any recovered money in the fund established under subdivision (1) of this subsection.

(3) If the fund established under subdivision (1) of this subsection and the money in such fund is transferred to a charitable trust or entity at any time, the charity or trust shall (A) pay money from the fund for assistance offered under the program if the charitable trust or entity is authorized by the General Assembly pursuant to subsection (e) of this section; (B) cooperate with recovery efforts under subdivision (2) of this subsection, if applicable; and (C) account for moneys received by and paid from the fund separately and apart from all other moneys of the charitable trust or entity.

(b) The State Treasurer, or a charitable trust or entity authorized by the General Assembly pursuant to subsection (e) of this section to

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operate the fund, is authorized to accept gifts, donations and grants from the state or federal government or other public or private sources to be held in the fund and distributed as directed by the administrator for the purposes enumerated in subsection (a) of this section. In the event the moneys in the fund are insufficient to provide full assistance, as calculated under subsection (d) of section 1 of [this act] special act 13-1, as amended by this act, to all affected persons, such assistance shall be provided on a pro rata basis at the discretion of the administrator.

- (c) On or before [April 1, 2013] <u>July 1, 2020</u>, and [monthly] <u>quarterly</u> thereafter, and at any other time at the request of the administrator, <u>unless a charitable trust or entity is authorized to operate the fund by the General Assembly pursuant to subsection (e) of this section, the State Treasurer shall submit a report to the administrator <u>and the General Assembly</u>, in accordance with section 11-4a of the general statutes, indicating the fund's value at the time of the report. Such report shall not include the identity of any person who has made a gift or donation to the fund.</u>
- (d) On or before [April 1, 2013] July 1, 2020, and at least quarterly thereafter, the administrator shall submit to the General Assembly, in accordance with section 11-4a of the general statutes, a report on the financial condition of the Sandy Hook Workers Assistance Fund. Such report shall include (1) an estimate of the fund's value as of the date of the report; (2) the effect of scheduled payments on the fund's value; (3) In estimate of the monthly administrative costs necessary to operate the program and] the amount of additional gifts or donations to the fund; (4) a list of disbursements for claims from the fund; and [(4)] (5) any recommendations for legislative change to improve the operation or administration of the program and the fund. [On or before May 1, 2015, such report shall include recommendations to distribute any moneys remaining in the fund to such other charitable trusts or entities, including any community trust or foundation, as the administrator may determine will best fulfill the purposes of the program upon the termination of the program.]

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(e) At any time after the Office of Victim Services recovers money pursuant to subdivision (2) of subsection (a) of this section, the office may submit a report to the General Assembly, in accordance with section 11-4a of the general statutes, to recommend (1) transfer of the money in the fund described in subdivision (1) of subsection (a) of this section to an identified charitable trust or entity, including any community trust or foundation, and (2) operation of the fund and provision of assistance under sections 1 to 3, inclusive, of special act 13-1, as amended by this act, by such trust or entity, with such trust or entity acting as administrator. No transfer of money or transfer of authority to operate the fund or provide assistance under this section and section 1 of special act 13-1, as amended by this act, may occur pursuant to this subsection unless authorized by a public or special act of the General Assembly.

This act shall take effect as follows and shall amend the following		
sections:		
Section 1	from passage	SA 13-1, Sec. 1
Sec. 2	from passage	SA 13-1, Sec. 2

## Statement of Purpose:

To (1) eliminate time restrictions on requests for assistance from the Sandy Hook Workers Assistance program, (2) seek to recover funds from a charitable trust or entity currently operating the program, (3) require additional reports to the General Assembly on the fund's operation, and (4) provide a mechanism for future transfers of the fund to a charitable trust or entity.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]

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