

General Assembly

Substitute Bill No. 6574

January Session, 2021



AN ACT CONCERNING REVISIONS TO THE STATE CODES OF ETHICS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. Subdivision (5) of section 1-79 of the general statutes is
- 2 repealed and the following is substituted in lieu thereof (Effective October
- 3 1, 2021):
- 4 (5) "Gift" means anything of value, which is directly and personally
- 5 received, unless consideration of equal or greater value is given in
- 6 return. "Gift" does not include:
- 7 (A) A political contribution otherwise reported as required by law or
- 8 a donation or payment as described in subdivision (9) or (10) of
- 9 subsection (b) of section 9-601a;
- 10 (B) Services provided by persons volunteering their time, if provided
- 11 to aid or promote the success or defeat of any political party, any
- 12 candidate or candidates for public office or the position of convention
- 13 delegate or town committee member or any referendum question;
- 14 (C) A commercially reasonable loan made on terms not more
- 15 favorable than loans made in the ordinary course of business;
- 16 (D) A gift received from (i) an individual's spouse, [fiance or fiancee]

- 17 <u>fiancé or fiancée</u>, (ii) the parent, <u>grandparent</u>, brother or sister of such
- 18 spouse or such individual, or (iii) the child of such individual or the
- 19 spouse of such child;
- 20 (E) Goods or services (i) that are provided to a state agency or quasi-
- 21 public agency (I) for use on state or quasi-public agency property, or (II)
- 22 that support an event or the participation by a public official or state
- 23 employee at an event, and (ii) that facilitate state or quasi-public agency
- 24 action or functions. As used in this subparagraph, "state property"
- 25 means property owned by the state or a quasi-public agency or property
- 26 leased to a state agency or quasi-public agency;
- 27 (F) A certificate, plaque or other ceremonial award costing less than
- 28 one hundred dollars;
- 29 (G) A rebate, discount or promotional item available to the general
- 30 public;
- 31 (H) Printed or recorded informational material germane to state
- 32 action or functions;
- 33 (I) Food or beverage or both, costing less than fifty dollars in the
- aggregate per recipient in a calendar year, and consumed on an occasion
- or occasions at which the person paying, directly or indirectly, for the
- 36 food or beverage, or his representative, is in attendance;
- 37 (J) Food or beverage or both, costing less than fifty dollars per person
- and consumed at a publicly noticed legislative reception to which all
- 39 members of the General Assembly are invited and which is hosted not
- 40 more than once in any calendar year by a lobbyist or business
- 41 organization. For the purposes of such limit, (i) a reception hosted by a
- 42 lobbyist who is an individual shall be deemed to have also been hosted
- by the business organization which such lobbyist owns or is employed
- by, and (ii) a reception hosted by a business organization shall be
- deemed to have also been hosted by all owners and employees of the
- 46 business organization who are lobbyists. In making the calculation for
- 47 the purposes of such fifty-dollar limit, the donor shall divide the amount

spent on food and beverage by the number of persons whom the donor reasonably expects to attend the reception;

- (K) Food or beverage or both, costing less than fifty dollars per person and consumed at a publicly noticed reception to which all members of the General Assembly from a region of the state are invited and which is hosted not more than once in any calendar year by a lobbyist or business organization. For the purposes of such limit, (i) a reception hosted by a lobbyist who is an individual shall be deemed to have also been hosted by the business organization which such lobbyist owns or is employed by, and (ii) a reception hosted by a business organization shall be deemed to have also been hosted by all owners and employees of the business organization who are lobbyists. In making the calculation for the purposes of such fifty-dollar limit, the donor shall divide the amount spent on food and beverage by the number of persons whom the donor reasonably expects to attend the reception. As used in this subparagraph, "region of the state" means the established geographic service area of the organization hosting the reception;
- (L) A gift, including, but not limited to, food or beverage or both, provided by an individual for the celebration of a major life event, provided any such gift provided by an individual who is not a member of the family of the recipient does not exceed one thousand dollars in value;
- (M) Gifts costing less than one hundred dollars in the aggregate or food or beverage provided at a hospitality suite at a meeting or conference of an interstate legislative association, by a person who is not a registrant or is not doing business with the state of Connecticut;
- (N) Admission to a charitable or civic event, including food and beverage provided at such event, but excluding lodging or travel expenses, at which a public official or state employee participates in his or her official capacity, provided such admission is provided by the primary sponsoring entity;

- (O) Anything of value provided by an employer of (i) a public official, (ii) a state employee, or (iii) a spouse of a public official or state employee, to such official, employee or spouse, provided such benefits are customarily and ordinarily provided to others in similar circumstances;
 - (P) Anything having a value of not more than ten dollars, provided the aggregate value of all things provided by a donor to a recipient under this subdivision in any calendar year does not exceed fifty dollars;
- (Q) Training that is provided by a vendor for a product purchased by a state or quasi-public agency that is offered to all customers of such vendor;
 - (R) Travel expenses, lodging, food, beverage and other benefits customarily provided by a prospective employer, when provided to a student at a public institution of higher education whose employment is derived from such student's status as a student at such institution, in connection with bona fide employment discussions; or
 - (S) Expenses of a public official, paid by the party committee of which party such official is a member, for the purpose of accomplishing the lawful purposes of the committee. As used in this subparagraph, "party committee" has the same meaning as provided in subdivision (2) of section 9-601 and "lawful purposes of the committee" has the same meaning as provided in subsection (g) of section 9-607.
- Sec. 2. Subdivision (12) of section 1-79 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1*, 2021):
- 104 (12)"Quasi-public agency" means Connecticut Innovations, 105 Incorporated, the Connecticut Health and Education Facilities Authority, the Connecticut Higher Education Supplemental Loan 106 107 Authority, the Connecticut Student Loan Foundation, the Connecticut 108 Housing Finance Authority, the State Housing Authority, the Materials 109 Innovation and Recycling Authority, the Capital Region Development

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- 110 Authority, the Connecticut Lottery Corporation, the Connecticut
- 111 Airport Authority, the Connecticut Health Insurance Exchange, the
- 112 Connecticut Green Bank, the Connecticut Retirement Security
- Authority, the Connecticut Port Authority, the Connecticut Municipal
- 114 Redevelopment Authority, [and] the State Education Resource Center
- and the Paid Family and Medical Leave Insurance Authority.
- 116 Sec. 3. Section 1-79 of the general statutes is amended by adding
- subdivision (21) as follows (*Effective October 1, 2021*):
- (NEW) (21) "Confidential information" means any information in the
- possession of the state, a state employee or a public official, whatever its
- 120 form, which (A) is required not to be disclosed to the general public
- 121 under any provision of the general statutes or federal law; or (B) falls
- within a category of permissibly nondisclosable information under the
- 123 Freedom of Information Act, as defined in section 1-200, and which the
- 124 appropriate agency, state employee or public official has decided not to
- disclose to the general public.
- Sec. 4. Subsection (c) of section 1-81 of the general statutes is repealed
- and the following is substituted in lieu thereof (*Effective October 1, 2021*):
- (c) The Office of State Ethics shall employ an executive director,
- 129 general counsel and ethics enforcement officer, each of whom shall be
- exempt from classified state service. The ethics enforcement officer shall
- be a member of the bar of this state. The salary for the executive director,
- general counsel and the ethics enforcement officer shall be determined
- by the Commissioner of Administrative Services in accordance with
- accepted personnel practices. No one person may serve in more than
- one of the positions described in this subsection. The Office of State
- 136 Ethics may employ necessary staff within available appropriations.
- 137 Such necessary staff of the Office of State Ethics shall be in classified
- 138 state service.
- 139 Sec. 5. Subsection (g) of section 1-81 of the general statutes is repealed
- and the following is substituted in lieu thereof (*Effective October 1, 2021*):

(g) There shall be an enforcement division within the Office of State Ethics. The enforcement division shall be responsible for investigating complaints brought to or by the board. The ethics enforcement officer, described in subsection (c) of this section, shall supervise the enforcement division. The ethics enforcement officer may represent the Office of State Ethics before the Superior Court in an appeal of any ruling or finding pursuant to, or any matter arising under, section 1-82, as amended by this act, 1-93, as amended by this act, or 1-101nn, provided the board is not a party in such appeal. The enforcement division shall employ such attorneys and investigators, as necessary, within available appropriations, and may refer matters to the office of the Chief State's Attorney, as appropriate.

Sec. 6. Subsection (b) of section 1-82 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2021*):

(b) If a judge trial referee determines that probable cause exists for the violation of a provision of this part, section 1-101bb or section 1-101nn, the board shall initiate hearings to determine whether there has been a violation of this part, section 1-101bb or section 1-101nn. Any such hearing shall be initiated by the board not later than thirty days after the finding of probable cause by a judge trial referee and shall be concluded not later than ninety days after its initiation, except that such thirty or ninety-day limitation period shall not apply if the judge trial referee determines that good cause exists for extending such limitation period. A judge trial referee, who has not taken part in the probable cause determination on the matter shall be assigned by the Chief Court Administrator and shall be compensated in accordance with section 52-434 out of funds available to the Office of State Ethics. Such judge trial referee shall preside over such hearing and rule on all issues concerning the application of the rules of evidence, which shall be the same as in judicial proceedings. The judge trial referee shall have no vote in any decision of the board. All hearings of the board held pursuant to this subsection shall be open. At such hearing the board shall have the same powers as the Office of State Ethics under subsection (a) of this section

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and the respondent shall have the right to be represented by legal counsel, to compel attendance of witnesses and the production of books, documents, records and papers and to examine and cross-examine witnesses. Not later than ten days prior to the commencement of any hearing conducted pursuant to this subsection, the Office of State Ethics shall provide the respondent with a list of its intended witnesses. The judge trial referee shall, while engaged in the discharge of the duties as provided in this subsection, have the same authority as is provided in section 51-35 over witnesses who refuse to obey a subpoena or to testify with respect to any matter upon which such witness may be lawfully interrogated, and may commit any such witness for contempt for a period no longer than thirty days. The Office of State Ethics shall make a record of all proceedings pursuant to this subsection. During the course of any such hearing, no ex-parte communication shall occur between the board, or any of its members, and: (1) The judge trial referee, or (2) any staff member of the Enforcement Division of the Office of State Ethics, concerning the complaint or the respondent. The board shall find no person in violation of any provision of this part, section 1-101bb or section 1-101nn except upon the concurring vote of two-thirds of its members present and voting. No member of the board shall vote on the question of whether a violation of any provision of this part has occurred unless such member was physically present for the duration of any hearing held pursuant to this subsection. Not later than [fifteen] forty-five days after the public hearing conducted in accordance with this subsection, the board shall publish its finding and a memorandum of the reasons therefor. Such finding and memorandum shall be deemed to be the final decision of the board on the matter for the purposes of chapter 54. The respondent, if aggrieved by the finding and memorandum, may appeal therefrom to the Superior Court in accordance with the provisions of section 4-183.

Sec. 7. Subsection (a) of section 1-83 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1*, 2021):

(a) (1) All state-wide elected officers, members of the General

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207 Assembly, department heads and their deputies, members or directors 208 of each quasi-public agency, members of the Investment Advisory 209 Council and such members of the Executive Department and such 210 employees of quasi-public agencies as the Governor shall require, shall 211 file electronically with the Office of State Ethics using the software 212 created by the office, under penalty of false statement, a statement of 213 financial interests for the preceding calendar year [with the Office of 214 State Ethics] on or before the May first next in any year in which they 215 hold such an office or position. If, in any year, May first falls on a 216 weekend or legal holiday, such statement shall be filed not later than the 217 next business day. Any such individual who leaves his or her office or 218 position shall file electronically a statement of financial interests covering that portion of the year during which such individual held his 219 220 or her office or position. The Office of State Ethics shall notify such 221 individuals of the requirements of this subsection not later than sixty 222 days after their departure from such office or position. Such individuals 223 shall file such statement electronically not later than sixty days after 224 receipt of the notification.

- (2) Each state agency, department, board and commission shall develop and implement, in cooperation with the Office of State Ethics, an ethics statement as it relates to the mission of the agency, department, board or commission. The executive head of each such agency, department, board or commission shall be directly responsible for the development and enforcement of such ethics statement and shall file a copy of such ethics statement with the Department of Administrative Services and the Office of State Ethics.
- Sec. 8. Subsection (o) of section 1-84 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2021*):
- (o) If (1) any person (A) is doing business with or seeking to do business with the department or agency in which a public official or state employee is employed, or (B) is engaged in activities which are directly regulated by such department or agency, and (2) such person or a representative of such person gives to such public official or state

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employee anything [of value which is subject to the reporting 240 241 requirements pursuant to subsection (e) of section 1-96] having a value 242 of more than ten dollars, such person or representative shall, not later 243 than ten days thereafter, give such recipient and the executive head of 244 the recipient's department or agency a written report stating the name 245 of the donor, a description of the item or items given, the value of such 246 items and the cumulative value of all items given to such recipient 247 during that calendar year. The provisions of this subsection shall not 248 apply to a political contribution otherwise reported as required by law.

Sec. 9. Subsections (c) to (e), inclusive, of section 1-84b of the general statutes are repealed and the following is substituted in lieu thereof (*Effective October 1, 2021*):

(c) The provisions of this subsection apply to present or former executive branch public officials or state employees of an agency who hold or formerly held positions which involve significant decisionmaking or supervisory responsibility. [and are] Such positions shall be designated as such by the agency concerned, in consultation with the Office of State Ethics, [in consultation with the agency concerned] except that such provisions shall not apply to members or former members of the boards or commissions who serve ex officio, who are required by statute to represent the regulated industry or who are permitted by statute to have a past or present affiliation with the regulated industry. [Designation] On or before November 1, 2021, and not less than annually thereafter, the head of each agency concerned, or his or her designee, shall submit the designation of all positions in existence on such date that are subject to the provisions of this subsection [shall be by regulations adopted by the Citizen's Ethics Advisory Board in accordance with chapter 54] to the office electronically, in a manner prescribed by the Citizen's Ethics Advisory Board. If an agency creates such a position after its annual submission under this subsection, the head of such agency, or his or her designee, shall submit the designation of the newly created position not later than thirty days after the creation of such position. As used in this subsection, "agency" means the Health

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Systems Planning Unit of the Office of Health Strategy, the Connecticut Siting Council, the Department of Banking, the Insurance Department, the Department of Emergency Services and Public Protection, the office within the Department of Consumer Protection that carries out the duties and responsibilities of sections 30-2 to 30-68m, inclusive, the Public Utilities Regulatory Authority, including the Office of Consumer Counsel, and the Department of Consumer Protection and the term "employment" means professional services or other services rendered as

an employee or as an independent contractor.

- (1) No public official or state employee in an executive branch position designated [by the Office of State Ethics] <u>pursuant to the provisions of this subsection</u> shall negotiate for, seek or accept employment with any business subject to regulation by his agency.
- (2) No former public official or state employee who held such a position in the executive branch shall, within one year after leaving an agency, accept employment with a business subject to regulation by that agency.
- (3) No business shall employ a present or former public official or state employee in violation of this subsection.
- (d) The provisions of subsection (e) of this section apply to (1) present or former Department of Consumer Protection public officials or state employees who hold or formerly held positions which involve significant decision-making or supervisory responsibility and [are] designated as such by the department, in consultation with the Office of State Ethics, [in consultation with the agency concerned,] and (2) present or former public officials or state employees of other agencies who hold or formerly held positions which involve significant decision-making or supervisory responsibility concerning the regulation or investigation of (A) any business entity (i) engaged in Indian gaming operations in the state, and (ii) in which a federally-recognized Indian tribe in the state owns a controlling interest, or (B) a governmental agency of a federally-recognized Indian tribe engaged in Indian gaming operations in the

state, which positions are designated as such by the agency concerned, in consultation with the Office of State Ethics. [, in consultation with the agency concerned. Designation of 1 On or before November 1, 2021, and not less than annually thereafter, the Commissioner of Consumer Protection and the head of each agency concerned, or their designees, shall submit designations of all positions in existence on such date that are subject to the provisions of this subsection [shall be by regulations adopted by the Citizen's Ethics Advisory Board in accordance with chapter 54. As used in subsection (e) of this section, the term "employment" means professional services or other services rendered as an employee or as an independent contractor] to the office electronically, in a manner prescribed by the Citizen's Ethics Advisory Board. If the department or agency concerned creates such a position after its annual submission under this subsection, the Commissioner of Consumer Protection or the head of such agency, as applicable, or their designees, shall submit the designation of the newly created position not later than thirty days after the creation of such position.

- (e) (1) No Department of Consumer Protection public official or state employee or other public official or state employee described in subdivision (2) of subsection (d) of this section [, in a position designated by the Office of State Ethics,] shall negotiate for, seek or accept employment with (A) a business entity (i) engaged in Indian gaming operations in the state, and (ii) in which a federally-recognized Indian tribe in the state owns a controlling interest, or (B) a governmental agency of a federally-recognized Indian tribe engaged in Indian gaming operations in the state.
- (2) No former Department of Consumer Protection public official or state employee or other former public official or state employee described in subdivision (2) of subsection (d) of this section, who held such a position shall, within two years after leaving such agency, accept employment with (A) a business entity (i) engaged in Indian gaming operations in the state, and (ii) in which a federally-recognized Indian tribe in the state owns a controlling interest, or (B) a governmental

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- 338 agency of a federally-recognized Indian tribe engaged in Indian gaming 339 operations in the state.
- 340 (3) As used in this subsection, "employment" means professional 341 services or other services rendered as an employee or as an independent 342 contractor.
- 343 Sec. 10. Section 1-86e of the general statutes is repealed and the 344 following is substituted in lieu thereof (*Effective October 1, 2021*):
- 345 (a) No person hired by the state as a consultant or independent 346 contractor, and no person employed by such consultant or independent 347 contractor, shall:
- 348 (1) Use the authority provided [to the person] under the contract, or 349 any confidential information acquired in the performance of the 350 contract, to obtain financial gain for the [person] consultant or 351 independent contractor, an employee of the [person] consultant or 352 independent contractor or a member of the immediate family of any 353 such [person] consultant, independent contractor or employee;
- 354 (2) Accept another state contract which would impair the 355 independent judgment of the [person] consultant, independent 356 contractor or employee in the performance of the existing contract; or
 - (3) Accept anything of value based on an understanding that the actions of the [person] consultant, independent contractor or employee on behalf of the state would be influenced.
- 360 (b) No person shall give anything of value to a person hired by the state as a consultant or independent contractor or an employee of a 362 consultant or independent contractor based on an understanding that 363 the actions of the consultant, [or] independent contractor or employee 364 on behalf of the state would be influenced.
- 365 Sec. 11. Subsection (a) of section 1-90a of the general statutes is 366 repealed and the following is substituted in lieu thereof (Effective October

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367 1, 2021):

- 368 (a) Notwithstanding the provisions of sections 1-84, as amended by 369 this act, 1-84a, 1-85 and 1-86, a public official or state employee of a 370 public institution of higher education whose employment is derived 371 from such official's or employee's status as a student at such institution 372 shall not be subject to the provisions of said sections, if (1) such 373 institution has adopted written policies and procedures to regulate 374 student conduct concerning conflicts of interest relating to student 375 holding of public office or state employment, and (2) such policies and 376 procedures have been approved by the Citizen's Ethics Advisory Board 377 in accordance with subsection (b) of this section.
- Sec. 12. Subdivision (7) of section 1-91 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October* 380 1, 2021):
- 381 (7) "Gift" means anything of value, which is directly and personally received, unless consideration of equal or greater value is given in return. "Gift" does not include:
- (A) A political contribution otherwise reported as required by law or a donation or payment described in subdivision (9) or (10) of subsection (b) of section 9-601a;
- (B) Services provided by persons volunteering their time, if provided to aid or promote the success or defeat of any political party, any candidate or candidates for public office or the position of convention delegate or town committee member or any referendum question;
- 391 (C) A commercially reasonable loan made on terms not more favorable than loans made in the ordinary course of business;
- 393 (D) A gift received from (i) the individual's spouse, fiancé or fiancée, 394 (ii) the parent, grandparent, brother or sister of such spouse or such 395 individual, or (iii) the child of such individual or the spouse of such 396 child;

- (E) Goods or services (i) that are provided to a state agency or quasipublic agency (I) for use on state or quasi-public agency property, or (II) that support an event or the participation by a public official or state employee at an event, and (ii) that facilitate state or quasi-public agency action or functions. As used in this subparagraph, "state property" means property owned by the state or a quasi-public agency or property leased to a state or quasi-public agency;
- 404 (F) A certificate, plaque or other ceremonial award costing less than 405 one hundred dollars;
- 406 (G) A rebate, discount or promotional item available to the general 407 public;
- 408 (H) Printed or recorded informational material germane to state 409 action or functions;
 - (I) Food or beverage or both, costing less than fifty dollars in the aggregate per recipient in a calendar year, and consumed on an occasion or occasions at which the person paying, directly or indirectly, for the food or beverage, or his representative, is in attendance;
 - (J) Food or beverage or both, costing less than fifty dollars per person and consumed at a publicly noticed legislative reception to which all members of the General Assembly are invited and which is hosted not more than once in any calendar year by a lobbyist or business organization. For the purposes of such limit, (i) a reception hosted by a lobbyist who is an individual shall be deemed to have also been hosted by the business organization which he owns or is employed by, and (ii) a reception hosted by a business organization shall be deemed to have also been hosted by all owners and employees of the business organization who are lobbyists. In making the calculation for the purposes of such fifty-dollar limit, the donor shall divide the amount spent on food and beverage by the number of persons whom the donor reasonably expects to attend the reception;
 - (K) Food or beverage or both, costing less than fifty dollars per person

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and consumed at a publicly noticed reception to which all members of 428 429 the General Assembly from a region of the state are invited and which 430 is hosted not more than once in any calendar year by a lobbyist or 431 business organization. For the purposes of such limit, (i) a reception 432 hosted by a lobbyist who is an individual shall be deemed to have also 433 been hosted by the business organization which he owns or is employed 434 by, and (ii) a reception hosted by a business organization shall be 435 deemed to have also been hosted by all owners and employees of the 436 business organization who are lobbyists. In making the calculation for 437 the purposes of such fifty-dollar limit, the donor shall divide the amount 438 spent on food and beverage by the number of persons whom the donor 439 reasonably expects to attend the reception. As used in this 440 subparagraph, "region of the state" means the established geographic 441 service area of the organization hosting the reception;

- (L) A gift, including, but not limited to, food or beverage or both, provided by an individual for the celebration of a major life event, provided any such gift provided by an individual who is not a member of the family of the recipient does not exceed one thousand dollars in value;
- (M) Gifts costing less than one hundred dollars in the aggregate or food or beverage provided at a hospitality suite at a meeting or conference of an interstate legislative association, by a person who is not a registrant or is not doing business with the state of Connecticut;
- (N) Admission to a charitable or civic event, including food and beverage provided at such event, but excluding lodging or travel expenses, at which a public official or state employee participates in his or her official capacity, provided such admission is provided by the primary sponsoring entity;
- (O) Anything of value provided by an employer of (i) a public official, (ii) a state employee, or (iii) a spouse of a public official or state employee, to such official, employee or spouse, provided such benefits are customarily and ordinarily provided to others in similar

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- 461 (P) Anything having a value of not more than ten dollars, provided 462 the aggregate value of all things provided by a donor to a recipient 463 under this subdivision in any calendar year does not exceed fifty dollars; 464 or
- 465 (Q) Training that is provided by a vendor for a product purchased by 466 a state or quasi-public agency that is offered to all customers of such 467 vendor.
- Sec. 13. Subsection (b) of section 1-93 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October* 1, 2021):
 - (b) If a judge trial referee indicates that probable cause exists for the violation of a provision of this part or section 1-101bb, the board shall initiate hearings to determine whether there has been a violation of this part or section 1-101bb. Any such hearing shall be initiated by the board not later than thirty days after the finding of probable cause by a judge trial referee and shall be concluded not later than ninety days after its initiation, except that such thirty-day or ninety-day limitation period shall not apply if the judge trial referee determines that good cause exists for extending such limitation period. A judge trial referee, who has not taken part in the probable cause determination on the matter shall be assigned by the Chief Court Administrator and shall be compensated in accordance with section 52-434 out of funds available to the board. Such judge trial referee shall preside over such hearing and rule on all issues concerning the application of the rules of evidence, which shall be the same as in judicial proceedings. The judge trial referee shall have no vote in any decision of the board. All hearings of the board held pursuant to this subsection shall be open. At such hearing the board shall have the same powers as the Office of State Ethics under subsection (a) of this section and the respondent shall have the right to be represented by legal counsel, to compel attendance of witnesses and the production of books, documents, records and papers and to examine

and cross-examine witnesses. Not later than ten days prior to the commencement of any hearing conducted pursuant to this subsection, the Office of State Ethics shall provide the respondent with a list of its intended witnesses. The judge trial referee shall, while engaged in the discharge of the duties as provided in this subsection, have the same authority as is provided in section 51-35 over witnesses who refuse to obey a subpoena or to testify with respect to any matter upon which such witness may be lawfully interrogated, and may commit any such witness for contempt for a period no longer than thirty days. The Office of State Ethics shall make a record of all proceedings pursuant to this subsection. During the course of any such hearing, no ex-parte communication shall occur between the board, or any of its members, and: (1) The judge trial referee, or (2) any staff member of the Enforcement Division of the Office of State Ethics, concerning the complaint or the respondent. The board shall find no person in violation of any provision of this part or section 1-101bb except upon the concurring vote of two-thirds of its members present and voting. No member of the board shall vote on the question of whether a violation of any provision of this part or section 1-101bb has occurred unless such member was physically present for the duration of any hearing held pursuant to this subsection. Not later than [fifteen] forty-five days after the public hearing conducted in accordance with this subsection, the board shall publish its finding and a memorandum of the reasons therefor. Such finding and memorandum shall be deemed to be the final decision of the board on the matter for the purposes of chapter 54. The respondent, if aggrieved by the finding and memorandum, may appeal therefrom to the Superior Court in accordance with the provisions of section 4-183.

- Sec. 14. Subsection (b) of section 1-97 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October* 1, 2021):
- 523 (b) No person shall <u>employ</u>, or be employed as, a lobbyist for compensation [which] <u>that</u> is contingent upon the outcome of any

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525 administrative or legislative action.

Sec. 15. Sections 1-80b to 1-80d, inclusive, 1-82b and 1-89a of the general statutes are repealed. (*Effective October 1*, 2021)

| This act shall take effect as follows and shall amend the following | | |
|---|-----------------|------------------|
| sections: | | |
| | | |
| Section 1 | October 1, 2021 | 1-79(5) |
| Sec. 2 | July 1, 2021 | 1-79(12) |
| Sec. 3 | October 1, 2021 | 1-79 |
| Sec. 4 | October 1, 2021 | 1-81(c) |
| Sec. 5 | October 1, 2021 | 1-81(g) |
| Sec. 6 | October 1, 2021 | 1-82(b) |
| Sec. 7 | October 1, 2021 | 1-83(a) |
| Sec. 8 | October 1, 2021 | 1-84(o) |
| Sec. 9 | October 1, 2021 | 1-84b(c) to (e) |
| Sec. 10 | October 1, 2021 | 1-86e |
| Sec. 11 | October 1, 2021 | 1-90a(a) |
| Sec. 12 | October 1, 2021 | 1-91(7) |
| Sec. 13 | October 1, 2021 | 1-93(b) |
| Sec. 14 | October 1, 2021 | 1-97(b) |
| Sec. 15 | October 1, 2021 | Repealer section |

Statement of Legislative Commissioners:

In Section 9(d), references to "Commissioner of Consumer Protection" and "department" were added for consistency.

GAE Joint Favorable Subst.