

General Assembly

Substitute Bill No. 226





AN ACT CONCERNING THE CITIZENS' ELECTION PROGRAM AND THE PAYMENT OF CERTAIN GRANTS BEFORE STATE CONVENTIONS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. Subsection (a) of section 9-705 of the general statutes is
- 2 repealed and the following is substituted in lieu thereof (Effective from
- 3 passage):
- 4 (a) (1) (A) The qualified candidate committee of a major party
- 5 candidate for the office of Governor shall be eligible to receive a grant
- 6 from the Citizens' Election Fund for the convention campaign, in
- 7 accordance with the provisions of subparagraph (A) of subdivision (1)
- 8 of subsection (a) of section 9-706, as amended by this act, in the amount
- 9 of eight hundred six thousand eight hundred seventy-five dollars,
- 10 provided in 2026, or thereafter, said amount shall be adjusted under
- 11 <u>subsection (d) of this section.</u>
- [(a) (1)] (B) The qualified candidate committee of a major party
- 13 candidate for the office of Governor who has a primary for nomination
- 14 to said office shall be eligible to receive a grant from the Citizens'
- 15 Election Fund for the primary campaign in the amount of [one million
- 16 two hundred fifty thousand dollars] (i) two million four hundred
- 17 twenty thousand six hundred twenty-five dollars, if such candidate

- 18 previously received a grant from the fund for the convention campaign,
- 19 <u>or (ii) three million two hundred twenty-seven thousand five hundred</u>
- 20 dollars, if such candidate did not previously receive a grant from the
- 21 <u>fund for the convention campaign</u>, provided, in the case of a primary
- 22 held in 2014, or thereafter, said [amount] amounts shall be adjusted
- 23 under subsection (d) of this section.

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- 24 (2) The qualified candidate committee of a candidate for the office of 25 Governor who has been nominated, or who has qualified to appear on 26 the election ballot in accordance with the provisions of subpart C of part 27 III of chapter 153, shall be eligible to receive a grant from the fund for 28 the general election campaign in the amount of [six million dollars] 29 fifteen million four hundred ninety-two thousand dollars, provided (A) 30 any such committee shall receive seventy-five per cent of said amount if 31 such committee applies for such grant, in accordance with section 9-706, 32 as amended by this act, on or after the seventieth day but before the fifty-33 sixth day preceding the election, (B) any such committee shall receive 34 sixty-five per cent of said amount if such committee so applies on or 35 after the fifty-sixth day but before the forty-second day preceding the 36 election, (C) any such committee shall receive fifty-five per cent of said 37 amount if such committee so applies on or after the forty-second day 38 but before the twenty-eighth day preceding the election, (D) any such 39 committee shall receive forty per cent of said amount if such committee 40 so applies on or after the twenty-eighth day preceding the election, and 41 (E) in the case of an election held in 2014, or thereafter, said amount shall 42 be adjusted under subsection (d) of this section.
 - Sec. 2. Subsection (d) of section 9-705 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (d) [(1) Except as provided in subdivision (2) of this subsection, for] <u>For</u> elections held in 2014, and thereafter, the amount of the grants in subsections (a), (b) and (c) of this section shall be adjusted by the State Elections Enforcement Commission not later than January 15, 2014, and quadrennially thereafter, in accordance with any change in the

- 51 consumer price index for all urban consumers as published by the
- 52 United States Department of Labor, Bureau of Labor Statistics, during
- 53 the period beginning on January 1, 2010, and ending on December
- 54 thirty-first in the year preceding the year in which said adjustment is to
- 55 be made.
- [(2) For elections held in 2018, the amount of the grants in subsections
- 57 (a), (b) and (c) of this section shall be adjusted by the State Elections
- 58 Enforcement Commission immediately in accordance with any change
- 59 in the consumer price index for all urban consumers as published by the
- 60 United States Department of Labor, Bureau of Labor Statistics, during
- 61 the period beginning on January 1, 2010, and ending on December 31,
- 62 2013.]
- 63 Sec. 3. Subsection (a) of section 9-706 of the general statutes is
- 64 repealed and the following is substituted in lieu thereof (Effective from
- 65 passage):
- 66 (a) (1) (A) A participating major party candidate for nomination to
- 67 the office of Governor in 2026, or thereafter, may apply to the State
- 68 Elections Enforcement Commission for a grant from the fund under the
- 69 Citizens' Election Program for a convention campaign, after such
- 70 candidate files the affidavit under section 9-703 certifying such
- 71 candidate's intent to abide by the expenditure limits under said
- 72 program.
- 73 [(a) (1)] (B) A participating candidate for nomination to the office of
- state senator or state representative in 2008, or thereafter, or the office of
- 75 Governor, Lieutenant Governor, Attorney General, State Comptroller,
- 76 Secretary of the State or State Treasurer in 2010, or thereafter, may apply
- 77 to the State Elections Enforcement Commission for a grant from the
- fund under the Citizens' Election Program for a primary campaign, after
- 79 the close of the state convention of the candidate's party that is called
- 80 for the purpose of choosing candidates for nomination for the office that
- 81 the candidate is seeking, if a primary is required under chapter 153, and
- 82 [(A)] (i) said party endorses the candidate for the office that the

83 candidate is seeking, [(B)] (ii) the candidate is seeking nomination to the 84 office of Governor, Lieutenant Governor, Attorney General, State 85 Comptroller, State Treasurer or Secretary of the State or the district 86 office of state senator or state representative and receives at least fifteen 87 per cent of the votes of the convention delegates present and voting on 88 any roll-call vote taken on the endorsement or proposed endorsement 89 of a candidate for the office the candidate is seeking, or [(C)] (iii) the 90 candidate circulates a petition and obtains the required number of 91 signatures for filing a candidacy for nomination for [(i)] (I) the office of 92 Governor, Lieutenant Governor, Attorney General, State Comptroller, 93 State Treasurer or Secretary of the State or the district office of state 94 senator or state representative, pursuant to section 9-400, or [(ii)] (II) the 95 municipal office of state senator or state representative, pursuant to 96 section 9-406, whichever is applicable.

- (C) The State Elections Enforcement Commission shall make any such grants to participating candidates in accordance with the provisions of subsections (d) to (g), inclusive, of this section.
- 100 (2) A participating candidate for nomination to the office of state 101 senator or state representative in 2008, or thereafter, or the office of 102 Governor, Attorney General, State Comptroller, Secretary of the State or 103 State Treasurer in 2010, or thereafter, may apply to the State Elections 104 Enforcement Commission for a grant from the fund under the Citizens' 105 Election Program for a general election campaign:
 - (A) After the close of the state or district convention or municipal caucus, convention or town committee meeting, whichever is applicable, of the candidate's party that is called for the purpose of choosing candidates for nomination for the office that the candidate is seeking, if (i) said party endorses said candidate for the office that the candidate is seeking and no other candidate of said party files a candidacy with the Secretary of the State in accordance with the provisions of section 9-400 or 9-406, whichever is applicable, (ii) the candidate is seeking election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or

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Secretary of the State or the district office of state senator or state representative and receives at least fifteen per cent of the votes of the convention delegates present and voting on any roll-call vote taken on the endorsement or proposed endorsement of a candidate for the office the candidate is seeking, no other candidate for said office at such convention either receives the party endorsement or said percentage of said votes for said endorsement or files a certificate of endorsement with the Secretary of the State in accordance with the provisions of section 9-388 or a candidacy with the Secretary of the State in accordance with the provisions of section 9-400, and no other candidate for said office circulates a petition and obtains the required number of signatures for filing a candidacy for nomination for said office pursuant to section 9-400, (iii) the candidate is seeking election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State or the district office of state senator or state representative, circulates a petition and obtains the required number of signatures for filing a candidacy for nomination for said office pursuant to section 9-400 and no other candidate for said office at the state or district convention either receives the party endorsement or said percentage of said votes for said endorsement or files a certificate of endorsement with the Secretary of the State in accordance with the provisions of section 9-388 or a candidacy with the Secretary of the State in accordance with the provisions of section 9-400, or (iv) the candidate is seeking election to the municipal office of state senator or state representative, circulates a petition and obtains the required number of signatures for filing a candidacy for nomination for the office the candidate is seeking pursuant to section 9-406 and no other candidate for said office at the caucus, convention or town committee meeting either receives the party endorsement or files a certification of endorsement with the town clerk in accordance with the provisions of section 9-391;

(B) After any primary held by such party for nomination for said office, if the Secretary of the State declares that the candidate is the party nominee in accordance with the provisions of section 9-440;

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- (C) In the case of a minor party candidate, after the nomination of such candidate is certified and filed with the Secretary of the State pursuant to section 9-452; or
- (D) In the case of a petitioning party candidate, after approval by the Secretary of the State of such candidate's nominating petition pursuant to section 9-4530.
 - (3) A participating candidate for nomination to the office of state senator or state representative at a special election in 2008, or thereafter, may apply to the State Elections Enforcement Commission for a grant from the fund under the Citizens' Election Program for a general election campaign after the close of the district convention or municipal caucus, convention or town committee meeting of the candidate's party that is called for the purpose of choosing candidates for nomination for the office that the candidate is seeking.
 - (4) Notwithstanding the provisions of subdivisions (1) and (2) of this subsection, no participating candidate for nomination or election who changes the candidate's status as a major party, minor party or petitioning party candidate or becomes a candidate of a different party, after filing the affidavit required under section 9-703, shall be eligible to apply for a grant under the Citizens' Election Program for such candidate's primary campaign for such nomination or general election campaign for such election. The provisions of this subdivision shall not apply in the case of a candidate who is nominated by more than one party and does not otherwise change the candidate's status as a major party, minor party or petitioning party candidate.
 - (5) Notwithstanding the provisions of this subsection, no candidate may apply to the State Elections Enforcement Commission for a grant from the fund under the Citizens' Election Program if such candidate has been convicted of or pled guilty or nolo contendere to, in a court of competent jurisdiction, any (A) criminal offense under this title unless at least eight years have elapsed from the date of the conviction or plea or the completion of any sentence, whichever date is later, without a

- subsequent conviction of or plea to another such offense, or (B) a felony related to the individual's public office, other than an offense under this title in accordance with subparagraph (A) of this subdivision.
- Sec. 4. Subsection (d) of section 9-706 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- 188 (d) In accordance with the provisions of subsection (g) of this section, 189 the commission shall review the application, determine whether (1) the 190 candidate committee for the applicant has received the required 191 qualifying contributions, (2) in the case of an application for a grant from 192 the fund for a convention campaign, the applicant has met the 193 applicable condition under subsection (a) of this section for applying for 194 such grant and complied with the provisions of subsections (b) and (c) 195 of this section, (3) in the case of an application for a grant from the fund for a primary campaign, the applicant has met the applicable condition 196 197 under subsection (a) of this section for applying for such grant and 198 complied with the provisions of subsections (b) and (c) of this section, 199 [(3)] (4) in the case of an application for a grant from the fund for a 200 general election campaign, the applicant has met the applicable 201 condition under subsection (a) of this section for applying for such 202 [moneys] grant and complied with the provisions of subsections (b) and 203 (c) of this section, and [(4)] (5) in the case of an application by a minor 204 party or petitioning party candidate for a grant from the fund for a 205 general election campaign, the applicant qualifies as an eligible minor 206 party candidate or an eligible petitioning party candidate, whichever is 207 applicable. If the commission approves an application, the commission 208 shall determine the amount of the grant payable to the candidate 209 committee for the applicant pursuant to section 9-705, as amended by 210 this act, from the fund, and notify the State Comptroller and the 211 candidate of such candidate committee [,] of such amount. If the timing 212 of the commission's approval of the grant for a primary campaign or 213 general election campaign in relation to the Secretary of the State's 214 determination of ballot status is such that the commission cannot

determine whether the qualified candidate committee is entitled to the applicable full initial grant for the primary or election or the applicable partial grant for the primary or election, as the case may be, the commission shall approve the lesser applicable partial initial grant. The commission shall then authorize the payment of the remaining portion of the applicable primary campaign or general election campaign grant after the commission has knowledge of the circumstances regarding the ballot status of the opposing candidates in such primary or election. Not later than thirty days following notification by the commission in the case of a convention campaign grant, or not later than two business days following notification by the commission in the case of any other grant, the State Comptroller shall draw an order on the State Treasurer for payment of any such approved amount to the qualified candidate committee from the fund.

- Sec. 5. Subdivision (1) of subsection (g) of section 9-706 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- (g) (1) In the case of any application submitted pursuant to subparagraph (A) of subdivision (1) of subsection (a) of this section for a convention campaign grant by a participating major party candidate seeking nomination to the office of Governor, not later than ten business days following receipt of such submission, the commission shall review such application in accordance with the provisions of subsection (d) of this section and determine whether such application shall be approved or disapproved.
 - [(g) (1)] (2) Any application submitted pursuant to this section for a primary <u>campaign grant</u> or general election <u>campaign grant</u> shall be submitted in accordance with the following schedule: (A) By five o'clock p.m. on the third Wednesday in May of the year that the primary or election will be held at which such participating candidate will seek nomination or election, or (B) by five o'clock p.m. on any subsequent Wednesday of such year, provided no application shall be accepted by the commission after five o'clock p.m. on or after the fourth to last Friday

prior to the primary or election at which such participating candidate 248 249 will seek nomination or election. Not later than five business days 250 following any such Wednesday or Friday, as applicable, for 251 participating candidates seeking nomination or election to the office of 252 state senator or state representative, or ten business days following any 253 such Wednesday or Friday, as applicable, for participating candidates 254 seeking nomination or election to the office of Governor, Lieutenant 255 Governor, Attorney General, State Comptroller, State Treasurer or 256 Secretary of the State or, in the event of a national, regional or local 257 emergency or local natural disaster, as soon thereafter as is practicable, 258 the commission shall review any application received by such 259 Wednesday or Friday, in accordance with the provisions of subsection 260 (d) of this section, and determine whether such application shall be approved or disapproved. Notwithstanding the provisions of this 261 262 [subsection] <u>subdivision</u>, if an application for a general election grant is 263 received during the period beginning at five [o'clock] o'clock p.m. on 264 the Wednesday of the week preceding the week of the last primary 265 application deadline and ending five [o'clock] o'clock p.m. on the last 266 primary application deadline, as set forth in this [subsection] 267 subdivision, the commission shall review such application in 268 accordance with the provisions of subsection (d) of this section and 269 determine whether [it] <u>such application</u> shall be approved or 270 disapproved not later than five business days or ten business days, as 271 applicable, after the first application deadline following the last primary 272 application deadline. For any such application that is approved, any 273 disbursement of funds by the commission shall be made not later than 274 twelve business days prior to any such primary or general election. 275 From the third week of June in even-numbered years until the third 276 week in July, the commission shall meet twice weekly to determine 277 whether or not to approve applications for primary campaign and 278 general election campaign grants if there are pending grant 279 applications.

Sec. 6. Section 9-702 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

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- (a) There is established a Citizens' Election Program under which (1) the candidate committee of a major party candidate for nomination to the office of Governor in 2026, or thereafter, may receive a grant from the Citizens' Election Fund for the candidate's convention campaign for said nomination, (2) the candidate committee of a major party candidate for nomination to the office of state senator or state representative in 2008, or thereafter, or the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer in 2010, or thereafter, may receive a grant from the Citizens' Election Fund for the candidate's primary campaign for said nomination, and [(2)] (3) the candidate committee of a candidate nominated by a major party, or the candidate committee of an eligible minor party candidate or an eligible petitioning party candidate, for election to the office of state senator or state representative at a special election held on or after December 31, 2006, or at a regular election held in 2008, or thereafter, or for election to the office of Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer in 2010, or thereafter, may receive a grant from the fund for the candidate's general election campaign for said office.
- (b) Any such candidate committee is eligible to receive such grants for a convention campaign, if applicable, a primary campaign, if applicable, and a general election campaign if (1) the candidate certifies as a participating candidate under section 9-703, (2) the candidate's candidate committee receives the required amount of qualifying contributions under section 9-704, (3) the candidate's candidate committee returns all contributions that do not meet the criteria for qualifying contributions under section 9-704, (4) the candidate agrees to limit the campaign expenditures of the candidate's candidate committee in accordance with the provisions of subsection (c) of this section, and (5) the candidate submits an application and the commission approves the application in accordance with the provisions of section 9-706, as amended by this act.
 - (c) (1) A candidate participating in the Citizens' Election Program

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shall limit the expenditures of the candidate's candidate committee (A) before a primary campaign and a general election campaign, to the amount of qualifying contributions permitted in section 9-704 and any personal funds provided by the candidate under subsection (c) of section 9-710, except as provided in subdivision (2) of this subsection, (B) for a primary campaign, to the sum of (i) the amount of such qualifying contributions and personal funds that have not been spent before the primary campaign, and (ii) the amount of the grant for the primary campaign authorized under section 9-705, as amended by this act, and (C) for a general election campaign, to the sum of (i) the amount of such qualifying contributions and personal funds that have not been spent before the general election campaign, (ii) any unexpended funds from any grant for a primary campaign authorized under section 9-705, as amended by this act, and (iii) the amount of the grant for the general election campaign authorized under section 9-705, as amended by this act. The candidate committee of a minor or petitioning party candidate who has received a general election campaign grant from the fund pursuant to section 9-705, as amended by this act, shall be permitted to receive contributions in addition to the qualifying contributions subject to the limitations and restrictions applicable to participating candidates for the same office, provided such minor or petitioning party candidate shall limit the expenditures of the candidate committee for a general election campaign to the sum of the qualifying contributions and personal funds, the amount of the general election campaign grant received and the amount raised in additional contributions that is equivalent to the difference between the amount of the applicable general election campaign grant for a major party candidate for such office and the amount of the general election campaign grant received by such minor or petitioning party candidate.

(2) A major party candidate for Governor participating in the Citizens' Election Program shall limit the expenditures of the candidate's candidate committee before a primary campaign and a general election campaign, to the sum of (A) the amount of qualifying contributions permitted in section 9-704 and any personal funds

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- provided by the candidate under subsection (c) of section 9-710, and (B)
 the amount of the grant for the convention campaign authorized under
 section 9-705, as amended by this act.
- (d) (1) For the purposes of this chapter, if a qualified candidate committee receives a grant for a primary campaign and has qualifying contributions that have not been spent before the primary campaign, no expenditures by such committee during the primary campaign shall be deemed to have been made from such qualifying contributions until the primary campaign grant funds have been fully spent.
- (2) For the purposes of this chapter, if a qualified candidate committee of a candidate for nomination to the office of Governor receives a grant for the convention campaign and has qualifying contributions that have not been spent before the convention campaign, no expenditures by such committee during the convention campaign shall be deemed to have been made from such qualifying contributions until the convention campaign grant funds have been fully spent.
 - (e) No grants or moneys paid to a qualified candidate committee from the Citizens' Election Fund under this chapter shall be deemed to be public funds under any other provision of the general statutes or any public or special act unless specifically stated by such provision.
- Sec. 7. Section 9-700 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- As used in sections 9-700 to 9-716, inclusive, as amended by this act:
- 372 (1) "Commission" means the State Elections Enforcement 373 Commission.
- (2) "Convention campaign" means, in the case of a major party
 candidate for Governor, the period beginning the day such candidate
 files the affidavit under section 9-703 certifying such candidate's intent
 to abide by the expenditure limits under the Citizens' Election Program
 and ending at the close of the state convention held pursuant to section

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- 9-382 by such major party for the purpose of endorsing a candidate for
 nomination to the office of Governor.
- [(2)] (3) "Depository account" means the single checking account at the depository institution designated as the depository for the candidate committee's moneys in accordance with the provisions of subsection (a) of section 9-604.
- [(3)] (4) "District office" has the same meaning as provided in section 9-372.
- [(4)] (5) "Eligible minor party candidate" means a candidate for election to an office who is nominated by a minor party pursuant to subpart B of part III of chapter 153.
- [(5)] (6) "Eligible petitioning party candidate" means a candidate for election to an office pursuant to subpart C of part III of chapter 153 whose nominating petition has been approved by the Secretary of the State pursuant to section 9-453o.
- [(6)] (7) "Fund" means the Citizens' Election Fund established in section 9-701.
- 396 [(7)] (8) "General election campaign" means (A) in the case of a 397 candidate nominated at a primary, the period beginning on the day 398 following the primary and ending on the date the treasurer files the final 399 statement for such campaign pursuant to section 9-608, or (B) in the case 400 of a candidate nominated without a primary, the period beginning on 401 the day following the day on which the candidate is nominated and 402 ending on the date the treasurer files the final statement for such 403 campaign pursuant to section 9-608.
- [(8)] (9) "Major party" has the same meaning as provided in section 9-405 372.
- [(9)] (10) "Minor party" has the same meaning as provided in section 9-372.

[(10)] (11) "Municipal office" has the same meaning as provided in section 9-372.

[(11)] (12) "Primary campaign" means the period beginning on the day following the close of (A) a convention held pursuant to section 9-382 for the purpose of endorsing a candidate for nomination to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State or the district office of state senator or state representative, or (B) a caucus, convention or town committee meeting held pursuant to section 9-390 for the purpose of endorsing a candidate for the municipal office of state senator or state representative, whichever is applicable, and ending on the day of a primary held for the purpose of nominating a candidate for such office.

[(12)] (13) "Qualified candidate committee" means a candidate committee (A) established to aid or promote the success of any candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer, Secretary of the State, state senator or state representative, and (B) approved by the commission to receive a grant from the Citizens' Election Fund under section 9-706, as amended by this act.

This act shall take effect as follows and shall amend the following sections:		
Section 1	from passage	9-705(a)
Sec. 2	from passage	9-705(d)
Sec. 3	from passage	9-706(a)
Sec. 4	from passage	9-706(d)
Sec. 5	from passage	9-706(g)(1)
Sec. 6	from passage	9-702
Sec. 7	from passage	9-700

Statement of Legislative Commissioners:

In Section 1(a)(1)(B)(i), "dollars" was added for accuracy; and in Section 4(d), "conditions" was changed to "condition" in Subdiv. (2), and

"moneys" was changed to "[moneys] grant" in Subdiv. (4), for consistency.

GAE Joint Favorable Subst.