

## General Assembly

Substitute Bill No. 668



January Session, 2021

## AN ACT CONCERNING A FAIR WORK WEEK SCHEDULE.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. (NEW) (*Effective October 1, 2021*) (a) As used in this section:
- 2 (1) "Employee" means any person (A) paid on an hourly basis, (B) not
- 3 exempt from the minimum wage and overtime compensation
- 4 requirements of the Fair Labor Standards Act of 1938 and the
- 5 regulations promulgated thereunder, as amended from time to time,
- 6 and (C) suffered or permitted to work by an employer in:
- 7 (i) Any occupation in the mercantile trade, which, for the purposes of
- 8 this clause, means the trade of wholesale or retail selling of groceries or
- 9 commodities and any operation incidental or supplemental thereto,
- including, but not limited to, buying, delivering or maintaining such
- 11 groceries or commodities, and any office, stock or clerical work, except
- 12 repair and service employees having the major portion of their duties
- 13 unrelated to the mercantile trade;
- 14 (ii) A restaurant occupation, including any person engaged in the
- 15 preparation and serving of food for human consumption or in any
- 16 operation incidental or supplemental thereto, whether the food is
- 17 served at or away from the point of preparation or the preparation and
- 18 serving of food is the sole business of the employing establishment or
- 19 enterprise. A restaurant occupation includes, but is not limited to,

employees of restaurants, cafeterias, that portion of hotel businesses involving the preparation and serving of food, commissaries, fast food outlets, grills, coffee shops, luncheonettes, sandwich shops, tearooms, nightclubs, cabarets, automats, caterers, frankfurter stands, operators of food vending machines, and that portion of a business involving the serving of food in department stores, drugstores, candy stores, bakeries, delicatessens, places of amusement and recreation, commercial and industrial establishments and social, recreational, fraternal and professional clubs that either regularly or intermittently serve food. A restaurant occupation does not include the preparation 30 and serving of food in a nonprofit educational, charitable or religious organization where the food service is not regularly available to the general public, or the preparation and serving of food in hospitals, convalescent homes or homes for the elderly where the food service is not regularly available to the general public and is incidental to the care of the patient;

- (iii) An occupation within a hotel, motel or resort with one of the following broad or detailed occupation code numbers and titles, as defined by the federal Bureau of Labor Statistics Standard Occupational Classification system or any successor system: 35-3010 Bartenders; 35-9020 Dishwashers; 35-9030 Hosts and Hostesses, Restaurant, Lounge and Coffee Shop; 37-2010 Building Cleaning Workers; 37-3010 Grounds Maintenance Workers; 39-3030 Ushers, Lobby Attendants and Ticket Takers; 39-6010 Baggage Porters, Bellhops and Concierges; 43-4080 Hotel, Motel and Resort Desk Clerks; 43-4170 Receptionists and Information Clerks; or
- (iv) Any occupation in long-term health care services, as defined both (I) in the 2012 North American Industry Classification System under code 623110 for nursing homes, and (II) under the Labor Department classification (T) 31-1012 for nursing aides, orderlies and attendants;
  - (2) "Employer" means (A) an employer, as defined in section 31-71a of the general statutes, who employs not less than two hundred fifty employees, (B) a franchisee, as defined in section 42-133e of the general

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- statutes, if the network of franchises employs not less than two hundred fifty employees in aggregate, and (C) a nursing home, as defined in section 19a-490 of the general statutes, if the nursing home (i) employs not less than one hundred employees, or (ii) is controlled or operated by a management company that employs not less than one hundred employees in the aggregate;
- 59 (3) "Regular rate" has the same meaning as provided in section 31-60 76b of the general statutes;
- 61 (4) "Scheduled work hours" means the hours an employee is 62 scheduled to work pursuant to a work schedule;
  - (5) "Shift" means the consecutive hours an employer schedules an employee to work, or to be available to report to work at the request or permission of the employer, except that a break of not more than one hour shall not be considered an interruption of consecutive hours;
  - (6) "Work schedule" means a written notice of an employee's regular and on-call hours, including specific start and end times for each shift, during a consecutive seven-day period; and
    - (7) "Work schedule change" means any employer-initiated modification to the employee's work schedule, including: (A) The addition or reduction of hours; (B) cancellation of a work shift or portion of a work shift; (C) a change in the date, time or location of a work shift; or (D) scheduling the employee for an on-call work shift for which the employee is subsequently not needed to report to work.
    - (b) Upon hiring an employee, an employer shall (1) obtain a written statement of the employee's desired number of weekly work hours and the days and times the employee is available to work, and (2) provide the employee with a written estimate of the employee's work schedule. The employer shall notify the employee that the employee may modify such written statement at any time and the employer shall revise the written estimate of the employee's work schedule if there is a significant change to such schedule due to changes in the employee's availability

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or the employer's business needs. Such written estimate shall not be considered a contractual offer binding the employer and shall contain: (A) The average number of work hours the employee can expect to work each week; (B) the minimum and maximum numbers of work hours the employee can expect to work each week; (C) the minimum length of shifts that the employee can expect to work; and (D) the number of days, the amount of time and the number of shifts that the employee can expect to work and days of the week and times or shifts the employee will not be scheduled to work. An estimate made without a basis in good faith shall be a violation of this subsection. An employer is not in violation of any provision of this subsection when an employee's average weekly work hours significantly exceed the number provided in the written estimate if the employer makes every effort to schedule the employee for the employee's desired number of weekly work hours.

- (c) Not later than the date of an employee's first shift, the employer shall provide to the employee the employee's work schedule for the period commencing on the date of the employee's first shift and ending on the last date of the seven-day period covered by the work schedule posted by the employer pursuant subsection (d) of this section. Thereafter, the employer shall notify the employee of the employee's work schedule in accordance with the provisions of subsection (d) of this section.
- (d) Not later than fourteen days prior to the first date of the sevenday period of any work schedule, an employer shall post the work schedule in a conspicuous place that is readily accessible and visible to all employees at the workplace and shall transmit such schedule to each employee. Such transmission may be electronic if electronic means are regularly used to communicate scheduling information to employees. The work schedule shall identify all employees currently employed at the worksite, whether or not such employees are scheduled to work any hours in the work schedule.
- (e) An employer shall provide to each employee written notice of any work schedule change as promptly as possible and prior to such change

- taking effect. Not later than twenty-four hours after making a change to the work schedule, the employer shall revise the posted work schedule to reflect the change. An employee may decline to work any hours not included in the posted work schedule. If the employee voluntarily consents to work such hours, such consent shall be recorded in writing.
- (f) (1) Except as provided in subdivision (2) of this subsection, an employer shall pay an employee (A) one hour of pay at the employee's regular rate for each instance that the employer, less than fourteen days prior to the commencement of scheduled work hours, adds one or more hours of work or changes the date, time or location of a work shift without a reduction of hours, and (B) one-half of the employee's regular rate for any scheduled work hours the employee does not work due to the employer cancelling or reducing the employee's scheduled work hours: (i) After the employee reports to work such scheduled work hours, or (ii) less than fourteen days prior to the commencement of such scheduled work hours.
- (2) The provisions of subdivision (1) of this subsection shall not apply if the employee's scheduled work hours are changed due to: (A) The employee's written request, including, but not limited to, a request to use sick leave, vacation leave or other leave pursuant to employer policy; (B) a mutually agreed-upon shift trade or coverage arrangement between employees, subject to an existing employer policy regarding such shift trade or coverage arrangement; or (C) the inability of the employer's operations to begin or continue due to (i) the failure of a public utility or the shutdown of public transportation, (ii) fire, flood or other natural disaster, or (iii) an emergency declaration issued by the President of the United States or the Governor of this state.
- (g) An employee may decline to work any shift that begins less than eleven hours after the end of the employee's previous day's shift or during the eleven-hour period following the end of a shift that spanned more than one day. If an employee consents to work such shift, such consent shall be in writing and the employee shall be compensated at one and one-half times the employee's regular rate of pay for any hours

worked during the shift for which the employee consented.

- (h) An employee may request adjustments to such employee's work schedule, including, but not limited to, requests: (1) Not to be scheduled for work shifts during certain days or times or at certain locations; (2) for certain hours, days or locations of work; (3) for more or fewer work hours; and (4) to be scheduled consistently for a specified or minimum number of weekly work hours. The employer shall engage in an interactive process to discuss such employee requests, but may grant or deny the request for any bona fide business reason that is not unlawful.
- (i) Each employer subject to the provisions of this section shall, unless exempted by regulations adopted by the Labor Commissioner pursuant to subsection (k) of this section, keep a true and accurate record for not less than three years of: (1) The shifts worked each day and each week by each of its employees, (2) each employee's work schedule, and (3) any revisions to such work schedule.
- (j) Nothing in this section shall be construed to prohibit an employer from adopting policies related to employee scheduling that are more favorable to an employee than those required by this section.
- (k) Prior to hiring a new employee from an external applicant pool or through a contractor, including a temporary help service or employment agency, as defined in section 31-129 of the general statutes, an employer shall make every effort to schedule existing employees for the desired number of weekly work hours identified in the written statements provided pursuant to subsection (b) of this section, provided the employer may hire a new employee if existing employees lack, and cannot obtain with reasonable training, the qualifications necessary to perform the duties of the position being filled. This section shall not be construed to require any employer to schedule employees to work hours required to be paid at an overtime rate under state or federal law. If an employer fails to offer existing employees opportunities to work their desired number of weekly work hours before hiring a new employee, the employer shall compensate existing employees at the

- employees' regular hourly rate for hours worked by a newly hired employee that occurred within the existing employees' written availability.
- (l) The Labor Commissioner may adopt regulations, in accordance with the provisions of chapter 54 of the general statutes, to implement and enforce the provisions of this section.
- 188 (m) Any person aggrieved by a violation of any provision of this 189 section, the Labor Commissioner, the Attorney General or any entity a 190 member of which is aggrieved by a violation of this section, may bring 191 a civil action to recover damages, civil penalties and such equitable and 192 injunctive relief as the court deems appropriate. Any individual who 193 prevails in such civil action shall be awarded reasonable attorney's fees 194 and costs to be taxed by the court. For each violation of a provision of 195 subsections (b) to (f), inclusive, of this section or subsection (k) of this 196 section, the employer shall pay a civil penalty of two hundred dollars to 197 the Labor Commissioner for each employee affected by the violation 198 during each pay period the violation continued.
  - (n) The Labor Commissioner, or, in the case of a civil action, a court, may grant the following relief to an employee or former employee for a violation of any provision of this section, in addition to, or as an alternative to, any other remedies provided by law:
  - (1) All compensatory damages and other relief required to make the employee or former employee whole;
  - (2) An order directing the employer to comply with the recordkeeping requirements of subsection (i) of this section; and
  - (3) (A) For each violation of a provision of subsection (b) of this section, two hundred dollars and an order directing compliance with said subsection; (B) for each violation of a provision of subsections (c) to (e), inclusive, of this section, two hundred dollars and an order directing compliance with said subsections, as applicable; (C) for each violation of a provision of subsection (f) of this section, three hundred dollars,

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payment of any compensation unpaid in violation of said subsection and an order directing compliance with said subsection; and (D) for each violation of a provision of subsection (k) of this section, the greater of five hundred dollars or such employee's actual damages and an order directing compliance with said subsection. The relief authorized pursuant to this subsection shall be imposed on a per employee and per instance basis for each violation.

This act shall take effect as follows and shall amend the following sections:		
Section 1	October 1, 2021	New section

## Statement of Legislative Commissioners:

In Subsec. (a)(7), "does not need" was changed to "is subsequently not needed" for clarity; and Subsec. (n)(3) was rewritten for clarity and consistency with standard drafting conventions.

LAB Joint Favorable Subst. -LCO