



# 130th MAINE LEGISLATURE

## FIRST SPECIAL SESSION-2021

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Legislative Document

No. 1714

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S.P. 535

In Senate, May 19, 2021

### An Act To Protect the Private Information of Maine Residents

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Reference to the Committee on Innovation, Development, Economic Advancement and Business suggested and ordered printed.

A handwritten signature in black ink, appearing to read 'D M Grant'.

DAREK M. GRANT  
Secretary of the Senate

Presented by Senator KEIM of Oxford.

Cosponsored by Senator: HICKMAN of Kennebec, Representative: WHITE of Waterville.

1 **Be it enacted by the People of the State of Maine as follows:**

2 **Sec. 1. 10 MRSA c. 1057** is enacted to read:

3 **CHAPTER 1057**

4 **MAINE CONSUMER PRIVACY ACT**

5 **§9601. Short title**

6 This chapter may be known and cited as "the Maine Consumer Privacy Act."

7 **§9602. Definitions**

8 **1. Aggregate consumer information.** "Aggregate consumer information" means  
9 information that relates to a group or category of consumers, from which individual  
10 consumer identities have been removed, that is not linked or reasonably linkable to any  
11 consumer or household, including via a device. "Aggregate consumer information" does  
12 not mean one or more individual consumer records that have been deidentified.

13 **2. Biometric information.** "Biometric information" means an individual's  
14 physiological, biological or behavioral characteristics, including an individual's  
15 deoxyribonucleic acid, or DNA, that can be used, singly or in combination with each other  
16 or with other identifying data, to establish the individual's identity. "Biometric  
17 information" includes, but is not limited to, imagery of the iris, retina, fingerprint, face,  
18 hand, palm, vein patterns and voice recordings, from which an identifier template, such as  
19 a faceprint, a minutiae template or a voiceprint, can be extracted and keystroke patterns or  
20 rhythms, gait patterns or rhythms and sleep, health or exercise data that contain identifying  
21 information.

22 **3. Business.** "Business" means:

23 A. A sole proprietorship, partnership, limited liability company, corporation,  
24 association or other legal entity that is organized or operated for the profit or financial  
25 benefit of its shareholders or other owners that collects consumers' personal  
26 information or on the behalf of which that information is collected and that alone or  
27 jointly with others determines the purposes and means of the processing of consumers'  
28 personal information, that does business in the State and that satisfies one or more of  
29 the following thresholds:

30 (1) Has annual gross revenues in excess of \$25,000,000, as adjusted pursuant to  
31 section 9619, subsection 1, paragraph E;

32 (2) Alone or in combination annually buys, receives for the business's commercial  
33 purposes, sells or shares for commercial purposes alone or in combination the  
34 personal information of 50,000 or more consumers, households or devices; or

35 (3) Derives 50% or more of its annual revenues from selling consumers' personal  
36 information; or

37 B. An entity that controls or is controlled by a business as defined in paragraph A and  
38 that shares common branding with the business. For the purposes of this paragraph,  
39 "control" or "controlled" means ownership of, or the power to vote, more than 50% of

1 the outstanding shares of any class of voting security of a business; control in any  
2 manner over the election of a majority of the directors or of individuals exercising  
3 similar functions; or the power to exercise a controlling influence over the management  
4 of a company, and "common branding" means a shared name, service mark or  
5 trademark.

6 **4. Business purpose.** "Business purpose" means the use of personal information for  
7 the business's or a service provider's operational purposes or other purposes of which  
8 consumers are given notice, if the use of personal information is reasonably necessary and  
9 proportionate to achieve the operational purpose for which the personal information was  
10 collected or processed or for another operational purpose that is compatible with the context  
11 in which the personal information was collected. "Business purposes" includes:

12 A. Auditing related to a current interaction with the consumer and concurrent  
13 transactions, including, but not limited to, counting so-called ad impressions to unique  
14 visitors, verifying positioning and quality of ad impressions and auditing compliance  
15 with this specification and other standards;

16 B. Detecting security incidents, protecting against malicious, deceptive, fraudulent or  
17 illegal activity and prosecuting those responsible for that activity;

18 C. Debugging to identify and repair errors that impair existing intended functionality;

19 D. Short-term, transient use if the personal information is not disclosed to another 3rd  
20 party and is not used to build a profile about a consumer or otherwise alter an individual  
21 consumer's experience outside the current interaction, including, but not limited to, the  
22 contextual customization of ads shown as part of the same interaction;

23 E. Performing services on behalf of the business or service provider, including  
24 maintaining or servicing accounts, providing customer service, processing or fulfilling  
25 orders and transactions, verifying consumer information, processing payments,  
26 providing financing, providing advertising or marketing services, providing analytic  
27 services or providing similar services on behalf of the business or service provider;

28 F. Undertaking internal research for technological development and demonstration;  
29 and

30 G. Undertaking activities to verify or maintain the quality or safety of a service or  
31 device that is owned, manufactured, manufactured for or controlled by the business  
32 and to improve, upgrade or enhance the service or device that is owned, manufactured,  
33 manufactured for or controlled by the business.

34 **5. Collect.** "Collect" means to buy, rent, gather, obtain, receive or access any personal  
35 information pertaining to a consumer by any means and includes receiving information  
36 from the consumer, either actively or passively, or by observing the consumer's behavior.

37 **6. Commercial purposes.** "Commercial purposes" means to advance a person's  
38 commercial or economic interests, such as by inducing another person to buy, rent, lease,  
39 join, subscribe to, provide or exchange products, goods, property, information or services  
40 or enabling or effecting, directly or indirectly, a commercial transaction. "Commercial  
41 purposes" does not include engaging in speech that state or federal courts have recognized  
42 as noncommercial speech, including political speech and journalism.

43 **7. Consumer.** "Consumer" means a natural person who is a resident of the State.

1           **8. Deidentified.** "Deidentified" means cannot reasonably identify, relate to, describe,  
2 be capable of being associated with or be linked, directly or indirectly, to a particular  
3 consumer, if a business that uses deidentified information:

4           A. Has implemented technical safeguards that prohibit reidentification of the consumer  
5 to whom the information may pertain;

6           B. Has implemented business processes that specifically prohibit reidentification of  
7 the information;

8           C. Has implemented business processes to prevent inadvertent release of deidentified  
9 information; and

10          D. Makes no attempt to reidentify the information.

11          **9. Designated method for submitting requests.** "Designated method for submitting  
12 requests" means a mailing address, e-mail address, Internet web page, Internet web portal,  
13 toll-free telephone number or other applicable contact information by which a consumer  
14 may submit a request or direction under this chapter and any consumer-friendly means of  
15 contacting a business as approved by the Attorney General pursuant to section 9619.

16          **10. Device.** "Device" means any physical object that is capable of connecting to the  
17 Internet, directly or indirectly, or to another device that is capable of connecting to the  
18 Internet, directly or indirectly.

19          **11. Health insurance information.** "Health insurance information" means a  
20 consumer's insurance policy number or subscriber identification number, any unique  
21 identifier used by a health insurer to identify the consumer or any information in the  
22 consumer's application and claims history, including any appeals records, if the information  
23 is linked or reasonably linkable to a consumer or household, including via a device, by a  
24 business or service provider.

25          **12. Homepage.** "Homepage" means the introductory page of an Internet website and  
26 any Internet web page where personal information is collected. In the case of an online  
27 service, such as a mobile application, "homepage" means the application's platform page  
28 or download page, a link within the application, such as from the application's  
29 configuration, "about," "information" or settings page, and any other location that allows  
30 consumers to review the form required by section 9610, subsection 1, including, but not  
31 limited to, before downloading the application.

32          **13. Person.** "Person" means an individual, proprietorship, firm, partnership, joint  
33 venture, syndicate, business trust, company, corporation, limited liability company,  
34 association, committee and any other organization or group of persons acting in concert.

35          **14. Personal information.** "Personal information":

36          A. Means information that identifies, relates to, describes, is reasonably capable of  
37 being associated with or could reasonably be linked, directly or indirectly, with a  
38 particular consumer or household and includes, but is not limited to:

39               (1) Identifiers such as a real name, alias, mailing address, signature, telephone  
40 number, unique identifier, online identifier, Internet protocol address, e-mail  
41 address, account name, social security number, driver's license number, nondriver  
42 identification card number, passport number or other similar identifiers;

- 1                   (2) Physical characteristics or description, insurance policy number, bank account  
2                   number, credit card number, debit card number or any other financial information,  
3                   medical information or health information;
- 4                   (3) Characteristics of protected classifications under state or federal law;
- 5                   (4) Commercial information, including records of personal property, products or  
6                   services purchased, obtained or considered, or other purchasing or consuming  
7                   histories or tendencies;
- 8                   (5) Biometric information;
- 9                   (6) Internet or other electronic network activity information, including, but not  
10                  limited to, browsing history, search history and information regarding a consumer's  
11                  interaction with an Internet website, application or advertisement;
- 12                  (7) Geolocation data;
- 13                  (8) Audio, electronic, visual, thermal, olfactory or similar information;
- 14                  (9) Professional or employment-related information;
- 15                  (10) Education information, defined as information that is not publicly available  
16                  personally identifiable information as defined in the Family Educational Rights  
17                  and Privacy Act of 1974, 20 United States Code, Section 1232g; and
- 18                  (11) Inferences drawn from any of the information identified in this paragraph to  
19                  create a profile about a consumer reflecting the consumer's preferences,  
20                  characteristics, psychological trends, predispositions, behavior, attitudes,  
21                  intelligence, abilities and aptitudes;
- 22                  B. "Personal information" does not include publicly available information. For  
23                  purposes of this paragraph, "publicly available" means information that is lawfully  
24                  made available from federal, state or local government records. "Publicly available"  
25                  does not mean biometric information collected by a business about a consumer without  
26                  the consumer's knowledge; and
- 27                  C. "Personal information" does not include consumer information that is deidentified  
28                  or aggregate consumer information.
- 29                  **15. Probabilistic identifier.** "Probabilistic identifier" means the identification of a  
30                  consumer or a device to a degree of certainty of more probable than not based on any  
31                  categories of personal information included in, or similar to, the categories enumerated in  
32                  the definition of "personal information."
- 33                  **16. Processing.** "Processing" means any operation or set of operations that is  
34                  performed on personal information or on sets of personal information, whether or not by  
35                  automated means.
- 36                  **17. Reidentify.** "Reidentify" means the process of reversal of deidentification  
37                  techniques, including, but not limited to, the addition of specific pieces of information or  
38                  data elements that can, individually or in combination, be used to uniquely identify an  
39                  individual or usage of any statistical method, contrivance, computer software or other  
40                  means that have the effect of associating deidentified information with a specific  
41                  identifiable individual.

1           **18. Research.** "Research" means scientific, systematic study and observation,  
2 including basic research or applied research that is in the public interest and that adheres to  
3 all other applicable ethics and privacy laws or studies conducted in the public interest in  
4 the area of public health. Research with personal information that may have been collected  
5 from a consumer in the course of the consumer's interactions with a business's service or  
6 device for other purposes must be:

7           A. Compatible with the business purpose for which the personal information was  
8 collected;

9           B. Subsequently deidentified, or deidentified and in the aggregate, such that the  
10 information cannot reasonably identify, relate to, describe, be capable of being  
11 associated with or be linked, directly or indirectly, to a particular consumer;

12           C. Made subject to technical safeguards that prohibit reidentification of the consumer  
13 to whom the information may pertain;

14           D. Subject to business processes that specifically prohibit reidentification of the  
15 information;

16           E. Made subject to business processes to prevent inadvertent release of deidentified  
17 information;

18           F. Protected from any reidentification attempts;

19           G. Used solely for research purposes that are compatible with the context in which the  
20 personal information was collected;

21           H. Not be used for any commercial purpose; and

22           I. Subjected by the business conducting the research to additional security controls that  
23 limit access to the research data to only those individuals in a business as are necessary  
24 to carry out the research purpose.

25           **19. Sell.** "Sell" means sell, rent, release, disclose, disseminate, make available, transfer  
26 or otherwise communicate orally, in writing or by electronic or other means, a consumer's  
27 personal information by a business to another business or a 3rd party for monetary or other  
28 valuable consideration.

29           For purposes of this chapter, a business does not sell personal information when:

30           A. A consumer uses or directs the business to intentionally disclose personal  
31 information or uses the business to intentionally interact with a 3rd party, if the 3rd  
32 party does not also sell the personal information, unless that disclosure would be  
33 consistent with the provisions of this chapter. An intentional interaction occurs when  
34 the consumer intends to interact with the 3rd party via one or more deliberate  
35 interactions. Hovering over, muting, pausing or closing a given piece of content does  
36 not constitute a consumer's intent to interact with a 3rd party;

37           B. The business uses or shares an identifier for a consumer who has opted out of the  
38 sale of the consumer's personal information for the purposes of alerting 3rd parties that  
39 the consumer has opted out of the sale of the consumer's personal information;

40           C. The business uses or shares with a service provider personal information of a  
41 consumer that is necessary to perform a business purpose if both of the following  
42 conditions are met:

1           (1) The business has provided notice of that information being used or shared in  
2           its terms and conditions consistent with section 9610; and

3           (2) The service provider does not further collect, sell or use the personal  
4           information of the consumer except as necessary to perform the business purpose;

5           D. The business transfers to a 3rd party the personal information of a consumer as an  
6           asset that is part of a merger, acquisition, bankruptcy or other transaction in which the  
7           3rd party assumes control of all or part of the business, if that information is used or  
8           shared consistent with the provisions of sections 9605 and 9606. If a 3rd party  
9           materially alters how it uses or shares the personal information of a consumer in a  
10           manner that is materially inconsistent with the promises made at the time of collection,  
11           it shall provide prior notice of the new or changed practice to the consumer. The notice  
12           must be sufficiently prominent and robust to ensure that existing consumers can easily  
13           exercise their choices consistent with section 9607. This paragraph does not authorize  
14           a business to make material, retroactive privacy policy changes or make other changes  
15           in its privacy policy in a manner that would violate the Unfair Trade Practices Act or  
16           chapter 206.

17           **20. Service.** "Service" means work or labor, including work or labor furnished in  
18           connection with the sale or repair of goods.

19           **21. Service provider.** "Service provider" means a sole proprietorship, partnership,  
20           limited liability company, corporation, association or other legal entity that is organized or  
21           operated for the profit or financial benefit of its shareholders or other owners, that processes  
22           information on behalf of a business and to which the business discloses a consumer's  
23           personal information for a business purpose pursuant to a written contract, if the contract  
24           prohibits the entity receiving the information from retaining, using or disclosing the  
25           personal information for any purpose other than for the specific purpose of performing the  
26           services specified in the contract for the business, or as otherwise permitted by this chapter,  
27           including retaining, using or disclosing the personal information for a commercial purpose  
28           other than providing the services specified in the contract with the business.

29           **22. Third party.** "Third party" means a person who is not any of the following:

30           A. The business that collects personal information from consumers under this chapter;

31           B. A person to whom the business discloses a consumer's personal information for a  
32           business purpose pursuant to a written contract, if the contract:

33           (1) Prohibits the person receiving the personal information from:

34           (a) Selling the personal information;

35           (b) Retaining, using or disclosing the personal information for any purpose  
36           other than for the specific purpose of performing the services specified in the  
37           contract, including retaining, using or disclosing the personal information for  
38           a commercial purpose other than providing the services specified in the  
39           contract; or

40           (c) Retaining, using or disclosing the information outside of the direct business  
41           relationship between the person and the business; and

1                   (2) Includes a certification made by the person receiving the personal information  
2                   that the person understands the restrictions in subparagraph (1) and will comply  
3                   with them.

4                   **23. Unique identifier.** "Unique identifier" means a persistent identifier that can be  
5                   used to recognize a consumer, a household or a device that is linked to a consumer or  
6                   household, over time and across different services, including, but not limited to: a device  
7                   identifier; an Internet protocol address; cookies, beacons, pixel tags, mobile ad identifiers  
8                   or similar technology; customer number, unique pseudonym or user alias; telephone  
9                   numbers; or other forms of persistent or probabilistic identifiers that can be used to identify  
10                  a particular consumer or device. For purposes of this subsection, "household" means a  
11                  custodial parent or guardian and any minor children of whom the parent or guardian has  
12                  custody.

13                  **24. Verifiable consumer request.** "Verifiable consumer request" means a request  
14                  that is made by a consumer or by a consumer on behalf of the consumer's minor child, or  
15                  by a natural person or a person registered with the Secretary of State authorized by the  
16                  consumer to act on the consumer's behalf, and that the business can reasonably verify,  
17                  pursuant to rules adopted by the Attorney General pursuant to section 9619, subsection 1,  
18                  paragraph G to be the consumer about whom the business has collected personal  
19                  information.

20                  **§9603. Consumer's right to request personal information**

21                  **1. Request.** A consumer has the right to request that a business that collects a  
22                  consumer's personal information disclose to that consumer the categories of personal  
23                  information the business has collected regarding the consumer.

24                  **2. Categories to be collected; purposes.** A business that collects a consumer's  
25                  personal information shall, at or before the point of collection, inform consumers as to the  
26                  categories of personal information to be collected and the purposes for which the categories  
27                  of personal information may be used. A business may not collect additional categories of  
28                  personal information or use personal information collected for additional purposes without  
29                  providing the consumer with notice consistent with this section.

30                  **3. Verifiable consumer request required.** A business shall provide the information  
31                  specified in subsection 1 to a consumer only upon receipt of a verifiable consumer request.  
32                  A business is not obligated to provide information to the consumer pursuant to this section  
33                  and sections 9604, 9605 and 9606 if the business cannot verify, pursuant to this subsection  
34                  and rules adopted by the Attorney General pursuant to section 9616, subsection 1,  
35                  paragraph G, that the consumer making the request is the consumer about whom the  
36                  business has collected information or is a person authorized by the consumer to act on such  
37                  consumer's behalf.

38                  **4. Disclose and deliver personal information.** A business that receives a verifiable  
39                  consumer request from a consumer to access personal information shall promptly take steps  
40                  to disclose and deliver, free of charge to the consumer, the personal information required  
41                  by this section. The information may be delivered by mail or electronically, and, if  
42                  provided electronically, the information must be in a portable and, to the extent technically  
43                  feasible, readily useable format that allows the consumer to transmit this information to  
44                  another entity without hindrance. A business may provide personal information to a



1 consumer at any time, but is not required to provide personal information to a consumer  
2 more than twice in a 12-month period.

3 **5. Single, one-time transaction.** This section does not require a business to retain  
4 any personal information collected for a single, one-time transaction, if such information  
5 is not sold or retained by the business or to reidentify or otherwise link information that is  
6 not maintained in a manner that would be considered personal information.

7 **§9604. Consumer's right to request deletion of personal information**

8 **1. Request to delete.** A consumer has the right to request that a business delete any  
9 personal information about the consumer that the business has collected from the consumer.

10 **2. Disclose right to request deletion.** A business that collects personal information  
11 about a consumer shall disclose, pursuant to section 9609, the consumer's right to request  
12 the deletion of the consumer's personal information.

13 **3. Verifiable consumer request.** A business that receives a verifiable consumer  
14 request from a consumer to delete the consumer's personal information pursuant to  
15 subsection 1 shall delete the consumer's personal information from its records and direct  
16 any service providers to delete the consumer's personal information from their records. A  
17 business is not obligated to provide information to the consumer pursuant to this section  
18 and sections 9603, 9605 and 9606 if the business cannot verify, pursuant to this subsection  
19 and rules adopted by the Attorney General pursuant to section 9616, subsection 1,  
20 paragraph G, that the consumer making the request is the consumer about whom the  
21 business has collected information or is a person authorized by the consumer to act on such  
22 consumer's behalf.

23 **4. Deletion not required.** A business or a service provider is not required to comply  
24 with a consumer's request to delete the consumer's personal information if it is necessary  
25 for the business or service provider to maintain the consumer's personal information in  
26 order to:

27 A. Complete the transaction for which the personal information was collected, fulfill  
28 the terms of a written warranty or product recall conducted in accordance with federal  
29 law, provide a good or service requested by the consumer or reasonably anticipated  
30 within the context of a business's ongoing business relationship with the consumer or  
31 otherwise perform a contract between the business and the consumer;

32 B. Detect security incidents, protect against malicious, deceptive, fraudulent or illegal  
33 activity or prosecute those responsible for that activity;

34 C. Debug to identify and repair errors that impair existing intended functionality;

35 D. Exercise free speech, ensure the right of another consumer to exercise that  
36 consumer's right of free speech or exercise another right provided for by law;

37 E. Comply with a warrant under Title 16, chapter 3, subchapter 9-A, 10 or 11;

38 F. Engage in public or peer-reviewed scientific, historical or statistical research in the  
39 public interest that adheres to all other applicable ethics and privacy laws, when the  
40 business's deletion of the information is likely to render impossible or seriously impair  
41 the achievement of such research, if the consumer has provided informed consent;

1 G. To enable solely internal uses that are reasonably aligned with the expectations of  
2 the consumer based on the consumer's relationship with the business;

3 H. Comply with a legal obligation; or

4 I. Otherwise use the consumer's personal information, internally, in a lawful manner  
5 that is compatible with the context in which the consumer provided the information.

6 **§9605. Consumer's right to disclosure of information**

7 **1. Request.** A consumer has the right to request that a business that collects personal  
8 information about the consumer disclose to the consumer the following:

9 A. The categories of sources from which the personal information is collected;

10 B. The business purpose or commercial purpose for collecting or selling personal  
11 information;

12 C. The categories of 3rd parties with whom the business shares personal information;  
13 and

14 D. The specific pieces of personal information it has collected about that consumer.

15 **2. Verifiable consumer request.** A business that collects personal information about  
16 a consumer shall disclose to the consumer, pursuant to section 9609, subsection 1,  
17 paragraph C, the information specified in subsection 1 upon receipt of a verifiable  
18 consumer request from the consumer. A business is not obligated to provide information  
19 to the consumer pursuant to this section and sections 9603, 9604 and 9606 if the business  
20 cannot verify, pursuant to this subsection and rules adopted by the Attorney General  
21 pursuant to section 9616, subsection 1, paragraph G, that the consumer making the request  
22 is the consumer about whom the business has collected information or is a person  
23 authorized by the consumer to act on such consumer's behalf.

24 **3. Information disclosed.** A business that collects personal information about  
25 consumers shall disclose, pursuant to section 9609, subsection 1, paragraph E,  
26 subparagraph (2):

27 A. The categories of personal information it has collected about consumers;

28 B. The categories of sources from which the personal information is collected;

29 C. The business purpose or commercial purpose for collecting or selling personal  
30 information;

31 D. The categories of 3rd parties with whom the business shares personal information;  
32 and

33 E. That a consumer has the right to request the specific pieces of personal information  
34 the business has collected about that consumer.

35 **4. Actions not required.** This section does not require a business to:

36 A. Retain any personal information about a consumer collected for a single, one-time  
37 transaction if, in the ordinary course of business, that information about the consumer  
38 is not retained; or

39 B. Reidentify or otherwise link any data that, in the ordinary course of business, is not  
40 maintained in a manner that would be considered personal information.

1 **§9606. Consumer's rights when information sold or disclosed**

2 **1. Request.** A consumer has the right to request that a business that sells the  
3 consumer's personal information, or that discloses it for a business purpose, disclose to that  
4 consumer:

5 A. The categories of personal information about the consumer that the business  
6 collected;

7 B. The categories of personal information about the consumer that the business sold  
8 and the categories of 3rd parties to whom the personal information was sold, by  
9 category or categories of personal information for each category of 3rd parties to whom  
10 the personal information was sold; and

11 C. The categories of personal information about the consumer that the business  
12 disclosed for a business purpose.

13 **2. Verifiable consumer request.** A business that sells personal information about a  
14 consumer, or that discloses a consumer's personal information for a business purpose, shall  
15 disclose, pursuant to section 9609, subsection 1, paragraph D, the information specified in  
16 subsection 1 to the consumer upon receipt of a verifiable consumer request from the  
17 consumer. A business is not obligated to provide information to the consumer pursuant to  
18 this section and sections 9603, 9604 and 9605 if the business cannot verify, pursuant to this  
19 subsection and rules adopted by the Attorney General pursuant to section 9616, subsection  
20 1, paragraph G, that the consumer making the request is the consumer about whom the  
21 business has collected information or is a person authorized by the consumer to act on such  
22 consumer's behalf.

23 **3. Information disclosed.** A business that sells consumers' personal information, or  
24 that discloses consumers' personal information for a business purpose, shall disclose,  
25 pursuant to section 9609, subsection 1, paragraph E, subparagraph (2):

26 A. The category or categories of consumers' personal information it has sold, or if the  
27 business has not sold consumers' personal information, it shall disclose that fact; and

28 B. The category or categories of consumers' personal information it has disclosed for  
29 a business purpose, or if the business has not disclosed the consumers' personal  
30 information for a business purpose, it shall disclose that fact.

31 **4. Sale by 3rd party.** A 3rd party may not sell personal information about a consumer  
32 that has been sold to the 3rd party by a business unless the consumer has received explicit  
33 notice and is provided an opportunity to exercise the right to opt out pursuant to section  
34 9607.

35 **5. Violations.** A person covered by this section that violates any of the restrictions set  
36 forth in this chapter is liable for the violations. A business that discloses personal  
37 information to a person covered by this section in compliance with this section is not liable  
38 under this chapter if the person receiving the personal information uses it in violation of  
39 the restrictions set forth in this chapter, if, at the time of disclosing the personal information,  
40 the business does not have actual knowledge, or reason to believe, that the person intends  
41 to commit such a violation.

42 **§9607. Consumer's right to prohibit sale; right to opt out**

1           **1. Right to opt out.** A consumer has the right, at any time, to direct a business that  
2 sells personal information about the consumer to 3rd parties not to sell the consumer's  
3 personal information. This right may be referred to as "the right to opt out."

4           **2. Notice of right to opt out.** A business that sells consumers' personal information  
5 to 3rd parties shall provide notice to consumers, pursuant to section 9610, subsection 1,  
6 that this information may be sold and that consumers have the right to opt out of the sale  
7 of their personal information.

8           **3. Right to opt in; consumer's age.** Notwithstanding subsection 1, a business may  
9 not sell the personal information of a consumer if the business has actual knowledge that  
10 the consumer is less than 16 years of age, unless the consumer, in the case of a consumer  
11 at least 13 years of age and less than 16 years of age, or the consumer's parent or guardian,  
12 in the case of a consumer less than 13 years of age, has affirmatively authorized the sale of  
13 the consumer's personal information. A business that willfully disregards the consumer's  
14 age is deemed to have had actual knowledge of the consumer's age.

15 The affirmative authorization required under this subsection may be referred to as "the right  
16 to opt in."

17           **4. Sale prohibited.** A business that has received direction from a consumer not to sell  
18 the consumer's personal information or, in the case of a minor consumer's personal  
19 information has not received consent to sell the minor consumer's personal information, is  
20 prohibited, pursuant to section 9610, subsection 1, paragraph D, from selling the  
21 consumer's personal information after its receipt of the consumer's direction, unless the  
22 consumer subsequently provides express authorization for the sale of the consumer's  
23 personal information.

24 **§9608. Business practices**

25           **1. Discrimination based on exercise of rights prohibited.** A business may not  
26 discriminate against a consumer because the consumer exercised any of the consumer's  
27 rights under this chapter, including, but not limited to, by:

28           A. Denying goods or services to the consumer;

29           B. Charging different prices or rates for goods or services, including through the use  
30 of discounts or other benefits or imposing penalties;

31           C. Providing a different level or quality of goods or services to the consumer; or

32           D. Suggesting that the consumer will receive a different price or rate for goods or  
33 services or a different level or quality of goods or services.

34 Nothing in this subsection prohibits a business from charging a consumer a different price  
35 or rate, or from providing a different level or quality of goods or services to the consumer,  
36 if that difference is reasonably related to the value provided to the business by the  
37 consumer's personal information.

38           **2. Financial incentives.** A business may offer financial incentives, including  
39 payments to consumers as compensation, for the collection of personal information, the  
40 sale of personal information or the deletion of personal information. A business may also  
41 offer a different price, rate, level or quality of goods or services to the consumer if that  
42 price or difference is directly related to the value provided to the business by the consumer's  
43 personal information.

1 A. A business that offers any financial incentives pursuant to this subsection shall  
2 notify consumers of the financial incentives pursuant to section 9609.

3 B. A business may enter a consumer into a financial incentive program only if the  
4 consumer gives the business prior opt in consent pursuant to section 9609 that clearly  
5 describes the material terms of the financial incentive program, and which may be  
6 revoked by the consumer at any time.

7 C. A business may not use financial incentive practices that are unjust, unreasonable,  
8 coercive or usurious in nature.

9 **§9609. Requests for and disclosure of information**

10 **1. Requests; disclosure and delivery.** In order to comply with sections 9603, 9604,  
11 9605, 9606 and 9608, a business shall, in a form that is reasonably accessible to consumers:

12 A. Make available to consumers 2 or more designated methods for submitting requests  
13 for information required to be disclosed pursuant to sections 9605 and 9606, including,  
14 at a minimum, a toll-free telephone number.

15 (1) A business that operates exclusively online and has a direct relationship with  
16 a consumer from whom it collects personal information is required to provide only  
17 an e-mail address for submitting requests for information required to be disclosed  
18 pursuant to sections 9605 and 9606.

19 (2) If the business maintains an Internet website, the business shall make the  
20 Internet website available to consumers to submit requests for information required  
21 to be disclosed pursuant to sections 9605 and 9606;

22 B. Disclose and deliver the required information to a consumer free of charge within  
23 45 days of receiving a verifiable consumer request from the consumer. The business  
24 shall promptly take steps to determine whether the request is a verifiable consumer  
25 request, but this does not extend the business's duty to disclose and deliver the  
26 information within 45 days of receipt of the consumer's request. The time period to  
27 provide the required information may be extended once by an additional 45 days when  
28 reasonably necessary, if the consumer is provided notice of the extension within the  
29 first 45-day period. The disclosure must cover at least the 12-month period preceding  
30 the business's receipt of the verifiable consumer request and must be made in writing  
31 and delivered through the consumer's account with the business, if the consumer  
32 maintains an account with the business, or by mail or electronically at the consumer's  
33 option if the consumer does not maintain an account with the business, in a readily  
34 useable format that allows the consumer to transmit this information from one entity  
35 to another entity without hindrance. The business may require authentication of the  
36 consumer that is reasonable in light of the nature of the personal information requested,  
37 but may not require the consumer to create an account with the business in order to  
38 make a verifiable consumer request. If the consumer maintains an account with the  
39 business, the business may require the consumer to submit the request through that  
40 account.

41 C. For purposes of section 9605, subsection 2:

42 (1) To identify the consumer, associate the information provided by the consumer  
43 in the verifiable consumer request to any personal information previously collected  
44 by the business about the consumer; and

1                   (2) Identify by category or categories the personal information collected about the  
2                   consumer in the preceding 12 months by reference to the enumerated category or  
3                   categories in subsection 3 that most closely describe the personal information  
4                   collected;

5                   D. For purposes of section 9606, subsection 2:

6                   (1) Identify the consumer and associate the information provided by the consumer  
7                   in the verifiable consumer request to any personal information previously collected  
8                   by the business about the consumer;

9                   (2) Identify by category or categories the personal information of the consumer  
10                  that the business sold in the preceding 12 months by reference to the enumerated  
11                  category or categories in subsection 3 that most closely describe the personal  
12                  information, and provide the categories of 3rd parties to whom the consumer's  
13                  personal information was sold in the preceding 12 months by reference to the  
14                  enumerated category or categories in subsection 3 that most closely describe the  
15                  personal information sold. The business shall disclose the information in a list that  
16                  is separate from a list generated for the purposes of subparagraph (3); and

17                  (3) Identify by category or categories the personal information of the consumer  
18                  that the business disclosed for a business purpose in the preceding 12 months by  
19                  reference to the enumerated category or categories in subsection 3 that most closely  
20                  describes the personal information, and provide the categories of 3rd parties to  
21                  whom the consumer's personal information was disclosed for a business purpose  
22                  in the preceding 12 months by reference to the enumerated category or categories  
23                  in subsection 3 that most closely describe the personal information disclosed. The  
24                  business shall disclose the information in a list that is separate from a list generated  
25                  for the purposes of subparagraph (2);

26                  E. Disclose the following information in its online privacy policy or policies if the  
27                  business has an online privacy policy or policies and in any description of consumers'  
28                  privacy rights specific to the State or, if the business does not maintain those policies,  
29                  on its Internet website and update that information at least once every 12 months:

30                  (1) A description of a consumer's rights pursuant to sections 9603, 9604, 9605,  
31                  9606 and 9608 and one or more designated methods for submitting requests;

32                  (2) For purposes of section 9605, subsection 3, a list of the categories of personal  
33                  information it has collected about consumers in the preceding 12 months by  
34                  reference to the enumerated category or categories in subsection 3 that most closely  
35                  describe the personal information collected;

36                  (3) For purposes of section 9606, subsection 3, paragraphs A and B, 2 separate  
37                  lists:

38                         (a) A list of the categories of personal information it has sold about consumers  
39                         in the preceding 12 months by reference to the enumerated category or  
40                         categories in subsection 3 that most closely describe the personal information  
41                         sold or, if the business has not sold consumers' personal information in the  
42                         preceding 12 months, the business shall disclose that fact; and

43                         (b) A list of the categories of personal information it has disclosed about  
44                         consumers for a business purpose in the preceding 12 months by reference to

1                   the enumerated category or categories in subsection 3 that most closely  
2                   describe the personal information disclosed or, if the business has not disclosed  
3                   consumers' personal information for a business purpose in the preceding 12  
4                   months, the business shall disclose that fact;

5                   (4) In the case of a business that sells or discloses deidentified patient information  
6                   not subject to this chapter pursuant to section 9612, subsection 1, paragraph D,  
7                   subparagraph (1), whether the business sells or discloses deidentified patient  
8                   information derived from patient information and, if so, whether that patient  
9                   information was deidentified pursuant to one or more of the following:

10                   (a) The deidentification methodology described in of 45 Code of Federal  
11                   Regulations, Section 164.514(b)(1); and

12                   (b) The deidentification methodology described in 45 Code of Federal  
13                   Regulations, Section 164.514(b)(2);

14                   F. Ensure that all individuals responsible for handling consumer inquiries about the  
15                   business's privacy practices or the business's compliance with this chapter are informed  
16                   of all requirements in sections 9603, 9604, 9605, 9606 and 9608 and this section and  
17                   how to direct consumers to exercise their rights under those sections; and

18                   G. Use any personal information collected from the consumer in connection with the  
19                   business's verification of the consumer's request solely for the purposes of verification.

20                   **2. Limit.** A business is not obligated to provide the information required by sections  
21                   9605 and 9606 to the same consumer more than twice in a 12-month period.

22                   **3. Categories of personal information.** The categories of personal information  
23                   required to be disclosed pursuant to sections 9605 and 9606 must follow the enumerated  
24                   categories set out in the definition of personal information in section 9602, subsection 14.

25                   **§9610. Posted links and information**

26                   **1. Required links and information.** A business that is required to comply with  
27                   section 9607 shall, in a form that is reasonably accessible to consumers:

28                   A. Provide a clear and conspicuous link on the business's homepage, titled "Do Not  
29                   Sell My Personal Information," to an Internet webpage that enables a consumer, or a  
30                   person authorized by the consumer, to opt out of the sale of the consumer's personal  
31                   information. A business may not require a consumer to create an account in order to  
32                   direct the business not to sell the consumer's personal information;

33                   B. Include a description of a consumer's rights pursuant to section 9607, along with a  
34                   separate link to the "Do Not Sell My Personal Information" Internet webpage in:

35                   (1) Its online privacy policy or policies if the business has an online privacy policy  
36                   or policies; and

37                   (2) Any description of consumers' privacy rights specific to this State;

38                   C. Ensure that all individuals responsible for handling consumer inquiries about the  
39                   business's privacy practices or the business's compliance with this chapter are informed  
40                   of all requirements in section 9607 and this section and how to direct consumers to  
41                   exercise their rights under section 9607 and this section;

1 D. For consumers who exercise their right to opt out of the sale of their personal  
2 information under section 9607, refrain from selling personal information collected by  
3 the business about the consumer;

4 E. For a consumer who has opted out of the sale of the consumer's personal information  
5 under section 9607, respect the consumer's decision to opt out for at least 12 months  
6 before requesting that the consumer authorize the sale of the consumer's personal  
7 information; and

8 F. Use any personal information collected from a consumer in connection with the  
9 submission of the consumer's opt out request pursuant to section 9607 solely for the  
10 purposes of complying with the opt out request.

11 **2. Location of links and information.** Nothing in this chapter may be construed to  
12 require a business to comply with this chapter by including the required links and text on  
13 the homepage that the business makes available to the public generally, if the business  
14 maintains a separate and additional homepage that is dedicated to consumers in this State  
15 and that includes the required links and text, and the business takes reasonable steps to  
16 ensure that consumers in this State are directed to the homepage for consumers in this State  
17 and not the homepage made available to the public generally.

18 **3. Authorized person.** A consumer may authorize another person solely to opt out of  
19 the sale of the consumer's personal information on the consumer's behalf, and a business  
20 shall comply with an opt out request received from a person authorized by the consumer to  
21 act on the consumer's behalf, pursuant to rules adopted by the Attorney General pursuant  
22 to section 9619.

23 **§9611. Unaffected ability; continued application**

24 **1. Business ability not restricted.** The obligations imposed on businesses by this  
25 chapter do not restrict a business's, service provider's or 3rd party's ability to:

26 A. Comply with federal, state or local laws;

27 B. Comply with a civil, criminal or regulatory inquiry, investigation, subpoena or  
28 summons by federal, state or local authorities;

29 C. Cooperate with law enforcement agencies concerning conduct or activity that the  
30 business, service provider or 3rd party reasonably and in good faith believes may  
31 violate federal, state or local law;

32 D. Exercise or defend legal claims;

33 E. Collect, use, retain, sell or disclose consumer information that is deidentified or  
34 aggregate consumer information;

35 F. Collect or sell a consumer's personal information if every aspect of that commercial  
36 conduct takes place wholly outside of the State. For purposes of this chapter,  
37 commercial conduct takes place wholly outside of the State if the business, service  
38 provider or 3rd party collected that information while the consumer was outside of the  
39 State, no part of the sale of the consumer's personal information occurred in the State  
40 and no personal information collected while the consumer was in the State is sold. This  
41 paragraph does not permit a business, service provider or 3rd party to store, including  
42 on a device, personal information about a consumer when the consumer is in the State



1 and then collect that personal information when the consumer and stored personal  
2 information is outside of the State.

3 **2. Violation of evidentiary privilege.** The obligations imposed on businesses by  
4 sections 9605 to 9610 do not apply when compliance by the business with those sections  
5 would violate an evidentiary privilege under the law of this State and do not prevent a  
6 business from providing the personal information of a consumer to a person covered by an  
7 evidentiary privilege under the law of this State as part of a privileged communication.

8 **3. Credit reporting agency information.** This chapter does not apply to an activity  
9 involving the collection, maintenance, disclosure, sale, communication or use of any  
10 personal information bearing on a consumer's credit worthiness, credit standing, credit  
11 capacity, character, general reputation, personal characteristics or mode of living by a  
12 consumer reporting agency, as defined in 15 United States Code, Section 1681a(f), by a  
13 furnisher of information, as set forth in 15 United States Code, Section 1681s-2, who  
14 provides information for use in a consumer report, as defined in 15 United States Code,  
15 Section 1681a(d), and by a user of a consumer report as set forth in 15 United States Code,  
16 Section 1681b.

17 This subsection applies only to the extent that such activity involving the collection,  
18 maintenance, disclosure, sale, communication or use of such information by that agency,  
19 furnisher or user is subject to regulation under the Fair Credit Reporting Act, 15 United  
20 States Code, Section 1681 et seq., and the information is not used, communicated, disclosed  
21 or sold except as authorized by the Fair Credit Reporting Act.

22 This subsection does not apply to section 9614.

23 **4. Gramm-Leach-Bliley Act information.** This chapter does not apply to personal  
24 information collected, processed, sold or disclosed pursuant to the federal Gramm-Leach-  
25 Bliley Act, Public Law 106-102, and implementing regulations.

26 This subsection does not apply to section 9614.

27 **5. Driver's Privacy Protection Act information.** This chapter does not apply to  
28 personal information collected, processed, sold or disclosed pursuant to the Driver's  
29 Privacy Protection Act of 1994, 18 United States Code, Section 2721 et seq.

30 This subsection does not apply to section 9614.

31 **6. Vehicle information or ownership information.** Section 9607 does not apply to  
32 vehicle information or ownership information retained or shared between a new motor  
33 vehicle dealer, as defined in section 1171, subsection 12, and the vehicle's manufacturer,  
34 as defined in section 1171, subsection 10, if the vehicle information or ownership  
35 information is shared for the purpose of effectuating, or in anticipation of effectuating, a  
36 vehicle repair covered by a vehicle warranty or a recall conducted pursuant to 49 United  
37 States Code, Sections 30118 to 30120, as long as the new motor vehicle dealer or vehicle  
38 manufacturer with which that vehicle information or ownership information is shared does  
39 not sell, share or use that information for any other purpose.

40 For purposes of this subsection:

41 A. "Vehicle information" means the vehicle information number, make, model, year  
42 and odometer reading; and

1           B. "Ownership information" means the name or names of the registered owner or  
2           owners and the contact information for the owner or owners.

3           **7. Extensions; reasons for not taking action; manifestly unfounded or excessive**  
4           **requests.** Notwithstanding a business's obligations to respond to and honor consumer  
5           rights requests pursuant to this chapter:

6           A. A time period for a business to respond to any verifiable consumer request may be  
7           extended by up to 90 additional days where necessary, taking into account the  
8           complexity and number of the requests. The business shall inform the consumer of any  
9           such extension within 45 days of receipt of the request, together with the reasons for  
10           the delay;

11           B. If the business does not take action on the request of the consumer, the business  
12           shall inform the consumer, without delay and at the latest within the time period  
13           permitted for response by this section, of the reasons for not taking action and any  
14           rights the consumer may have to appeal the decision to the business; and

15           C. If requests from a consumer are manifestly unfounded or excessive, in particular  
16           because of their repetitive character, a business may either charge a reasonable fee,  
17           taking into account the administrative costs of providing the information or  
18           communication or taking the action requested, or refuse to act on the request and notify  
19           the consumer of the reason for refusing the request. The business shall bear the burden  
20           of demonstrating that any verifiable consumer request is manifestly unfounded or  
21           excessive.

22           **8. Disclosure to service provider.** A business that discloses personal information to  
23           a service provider is not liable under this chapter if the service provider receiving the  
24           personal information uses it in violation of the restrictions set forth in this chapter, if, at the  
25           time of disclosing the personal information, the business does not have actual knowledge  
26           or reason to believe that the service provider intends to commit such a violation. A service  
27           provider is likewise not liable under this chapter for the obligations of a business for which  
28           it provides services as set forth in this chapter.

29           **9. Ordinary course of business.** This chapter may not be construed to require a  
30           business to collect personal information that it would not otherwise collect in the ordinary  
31           course of its business, retain personal information for longer than it would otherwise retain  
32           such information in the ordinary course of its business or reidentify or otherwise link  
33           information that is not maintained in a manner that would be considered personal  
34           information.

35           **10. Rights and freedoms of other consumers.** The rights afforded to consumers and  
36           the obligations imposed on a business under this chapter may not adversely affect the rights  
37           and freedoms of other consumers.

38           **§9612. Medical and health information**

39           **1. Nonapplicability.** This chapter does not apply to any of the following:

40           A. Health care information governed by Title 22, section 1711-C or protected health  
41           care information that is collected by a covered entity or business associate governed by  
42           the privacy, security and breach notification rules issued by the United States  
43           Department of Health and Human Services, 45 Code of Federal Regulations, Parts 160  
44           and 164, established pursuant to the federal Health Insurance Portability and

1 Accountability Act of 1996, Public Law 104-191, and the federal Health Information  
2 Technology for Economic and Clinical Health Act, Title XIII of the federal American  
3 Recovery and Reinvestment Act of 2009, Public Law 111-5;

4 B. A health care practitioner governed by Title 22, section 1711-C or a covered entity  
5 governed by the privacy, security and breach notification rules issued by the United  
6 States Department of Health and Human Services, 45 Code of Federal Regulations,  
7 Parts 160 and 164, established pursuant to the federal Health Insurance Portability and  
8 Accountability Act of 1996, Public Law 104-191, to the extent the provider or covered  
9 entity maintains, uses and discloses patient information in the same manner as health  
10 care information or protected health care information as described in paragraph A;

11 C. A business associate of a covered entity governed by the privacy, security and data  
12 breach notification rules issued by the United States Department of Health and Human  
13 Services, 45 Code of Federal Regulations, Parts 160 and 164, established pursuant to  
14 the federal Health Insurance Portability and Accountability Act of 1996, Public Law  
15 104-191, and the federal Health Information Technology for Economic and Clinical  
16 Health Act, Title XIII of the federal American Recovery and Reinvestment Act of  
17 2009, Public Law 111-5, to the extent that the business associate maintains, uses and  
18 discloses patient information in the same manner as health care information or  
19 protected health care information as described in paragraph A;

20 D. Information that meets both of the following conditions:

21 (1) It is deidentified in accordance with the requirements for deidentification set  
22 forth in 45 Code of Federal Regulations, Section 164.514; and

23 (2) It is derived from patient information that was originally collected, created,  
24 transmitted or maintained by an entity regulated by the federal Health Insurance  
25 Portability and Accountability Act of 1996 or 45 Code of Federal Regulations, Part  
26 46.

27 Information that met the requirements of subparagraphs (1) and (2) but is subsequently  
28 reidentified is no longer eligible for the exemption in this paragraph and is subject to  
29 applicable federal and state data privacy and security laws, including, but not limited  
30 to, the federal Health Insurance Portability and Accountability Act of 1996 and this  
31 chapter; and

32 E. Information that is collected, used or disclosed in research, as defined in 45 Code  
33 of Federal Regulations, Section 164.501, including, but not limited to, a clinical trial,  
34 and that is conducted in accordance with applicable ethics, confidentiality, privacy and  
35 security rules of 45 Code of Federal Regulations, Parts 46 and 164, good clinical  
36 practice guidelines issued by the International Council for Harmonisation of Technical  
37 Requirements for Pharmaceuticals for Human Use, or successor organization, or  
38 human subject protection requirements of the United States Food and Drug  
39 Administration.

40 **2. Terms.** For purposes of this section:

41 A. "Business associate" has the same meaning as in 45 Code of Federal Regulations,  
42 Section 160.103;

43 B. "Covered entity" has the same meaning as in 45 Code of Federal Regulations,  
44 Section 160.103;

1 C. "Health care information" means any individually identifiable information, in  
2 electronic or physical form, in possession of or derived from a provider of health care,  
3 health care service plan, pharmaceutical company or contractor regarding a patient's  
4 medical history, mental or physical condition or treatment. "Individually identifiable"  
5 means that the medical information includes or contains any element of personal  
6 identifying information sufficient to allow identification of the individual, such as the  
7 patient's name, mailing address, e-mail address, telephone number or social security  
8 number, or other information that, alone or in combination with other publicly available  
9 information, reveals the individual's identity;

10 D. "Health care practitioner" means a person licensed by this State to provide or  
11 otherwise lawfully providing health care or a partnership or corporation made up of  
12 health care practitioners or an officer, employee, agent or contractor of a health care  
13 practitioner acting in the course and scope of employment, agency or contract related  
14 to or supportive of the provision of health care to individuals;

15 E. "Identifiable private information" has the same meaning as in 45 Code of Federal  
16 Regulations, Section 46.102;

17 F. "Individually identifiable health information" has the same meaning as in 45 Code  
18 of Federal Regulations, Section 160.103;

19 G. "Patient information" means identifiable private information, protected health  
20 information, individually identifiable health information or health care information;  
21 and

22 H. "Protected health information" has the same meaning as in 45 Code of Federal  
23 Regulations, Section 160.103.

24 **§9613. Reidentification of information**

25 **1. Reidentification prohibited; exceptions.** A business or other person may not  
26 reidentify, or attempt to reidentify, information that has met the requirements of section  
27 9612, subsection 1, paragraph D, except for one or more of the following purposes:

28 A. Treatment, payment or health care operations conducted by a covered entity or  
29 business associate acting on behalf of, and at the written direction of, the covered entity.  
30 For purposes of this paragraph, "treatment," "payment" and "health care operations"  
31 have the same meanings as in 45 Code of Federal Regulations, Section 164.501 and  
32 "covered entity" and "business associate" have the same meanings as in 45 Code of  
33 Federal Regulations, Section 160.103;

34 B. Public health activities or purposes as described in 45 Code of Federal Regulations,  
35 Section 164.512;

36 C. Research, as defined in 45 Code of Federal Regulations, Section 164.501, that is  
37 conducted in accordance with 45 Code of Federal Regulations, Part 46;

38 D. Pursuant to a contract where the lawful holder of the deidentified information that  
39 met the requirements of section 9612, subsection 1, paragraph D expressly engages a  
40 person or entity to attempt to reidentify the deidentified information in order to conduct  
41 testing, analysis or validation of deidentification, or related statistical techniques, if the  
42 contract bans any other use or disclosure of the reidentified information and requires

1 the return or destruction of the information that was reidentified upon completion of  
2 the contract; and

3 E. If otherwise required by law.

4 **2. Information reidentified subject to data privacy and security laws.** In  
5 accordance with section 9612, subsection 1, paragraph D, information reidentified pursuant  
6 to this section is subject to applicable federal and state data privacy and security laws  
7 including, but not limited to, the federal Health Insurance Portability and Accountability  
8 Act of 1996 and this chapter.

9 **3. Statements; further disclosure.** A contract for the sale or license of deidentified  
10 information that has met the requirements of section 9612, subsection 1, paragraph D, when  
11 one of the parties is a person residing or doing business in the State, must include the  
12 following, or substantially similar, provisions:

13 A. A statement that the deidentified information being sold or licensed includes  
14 deidentified patient information;

15 B. A statement that reidentification, and attempted reidentification, of the deidentified  
16 information by the purchaser or licensee of the information is prohibited pursuant to  
17 this section; and

18 C. A requirement that, unless otherwise required by law, the purchaser or licensee of  
19 the deidentified information may not further disclose the deidentified information to  
20 any 3rd party unless the 3rd party is contractually bound by the same or stricter  
21 restrictions and conditions.

22 **§9614. Civil action based on violation**

23 **1. Civil action based on violation.** A consumer whose nonencrypted and nonredacted  
24 personal information is subject to an unauthorized access and exfiltration, theft or  
25 disclosure as a result of the business's violation of the duty to implement and maintain  
26 reasonable security procedures and practices appropriate to the nature of the information to  
27 protect the personal information may institute a civil action for any of the following:

28 A. To recover damages in an amount not less than \$100 and not greater than \$750 per  
29 consumer per incident or actual damages, whichever is greater;

30 B. Injunctive or declaratory relief; and

31 C. Any other relief the court determines proper.

32 In assessing the amount of statutory damages, the court shall consider any one or more of  
33 the relevant circumstances presented by any of the parties to the case, including, but not  
34 limited to, the nature and seriousness of the misconduct, the number of violations, the  
35 persistence of the misconduct, the length of time over which the misconduct occurred, the  
36 willfulness of the defendant's misconduct and the defendant's assets, liabilities and net  
37 worth.

38 **2. Notice of alleged violation; opportunity to cure; exception.** Actions pursuant to  
39 this section may be brought by a consumer if, prior to initiating any action against a  
40 business for statutory damages on an individual or classwide basis, a consumer provides a  
41 business 30 days' written notice identifying the specific provisions of this chapter the  
42 consumer alleges have been or are being violated. In the event a cure is possible, if within

1 the 30 days the business actually cures the noticed violation and provides the consumer an  
2 express written statement that the violations have been cured and that no further violations  
3 will occur, no action for individual statutory damages or classwide statutory damages may  
4 be initiated against the business. Notice is not required prior to an individual consumer's  
5 initiating an action solely for actual pecuniary damages suffered as a result of the alleged  
6 violation of this chapter. If a business continues to violate this chapter in breach of the  
7 express written statement provided to the consumer under this section, the consumer may  
8 initiate an action against the business to enforce the written statement and may pursue  
9 statutory damages for each breach of the express written statement, as well as any other  
10 violation of the chapter that postdates the written statement.

11 **3. Defined violations; no basis for private right of action under other law.** The  
12 cause of action established by this section applies only to violations as defined in subsection  
13 1 and may not be based on violations of any other section of this chapter. Nothing in this  
14 chapter may be interpreted to serve as the basis for a private right of action under any other  
15 law. This section may not be construed to relieve any party from any duties or obligations  
16 imposed under other law or the United States Constitution or the Constitution of Maine.

17 **§9615. Compliance; civil penalties**

18 **1. Guidance of Attorney General.** Any business or 3rd party may seek the opinion  
19 of the Attorney General for guidance on how to comply with the provisions of this chapter.

20 **2. Failure to cure; civil penalties.** A business violates this chapter if it fails to cure  
21 any alleged violation within 30 days after being notified of alleged noncompliance. A  
22 business, service provider or other person that violates this chapter is subject to an  
23 injunction and liable for a civil penalty of not more than \$2,500 for each violation or \$7,500  
24 for each intentional violation, which must be assessed and recovered in a civil action  
25 brought in the name of the State by the Attorney General. The civil penalties provided for  
26 in this section must be exclusively assessed and recovered in a civil action brought in the  
27 name of the State by the Attorney General.

28 **3. Consumer Privacy Fund.** Any civil penalty assessed for a violation of this chapter  
29 and the proceeds of any settlement of an action brought pursuant to subsection 2 must be  
30 deposited in the Consumer Privacy Fund created pursuant to section 9616, subsection 1.

31 **§9616. Consumer Privacy Fund**

32 **1. Consumer Privacy Fund created.** A special fund to be known and referred to in  
33 this section as "the Consumer Privacy Fund" is created within the General Fund in the State  
34 Treasury. The purpose of the fund is to offset any costs incurred by the courts in connection  
35 with actions brought to enforce this chapter and any costs incurred by the Attorney General  
36 in carrying out the Attorney General's duties under this chapter.

37 **2. Uses.** Funds transferred to the Consumer Privacy Fund must be used exclusively to  
38 offset any costs incurred by the state courts and the Attorney General in connection with  
39 this chapter. These funds may not be subject to appropriation or transfer by the Legislature  
40 for any other purpose, unless the Commissioner of Administrative and Financial Services  
41 determines that the funds are in excess of the funding needed to fully offset the costs  
42 incurred by the state courts and the Attorney General in connection with this chapter, in  
43 which case the Legislature may appropriate excess funds for other purposes.

44 **§9617. Privacy protection**

1           This chapter is intended to further the right of privacy and to supplement existing laws  
2 relating to consumers' personal information. The provisions of this chapter are not limited  
3 to information collected electronically or over the Internet, but apply to the collection and  
4 sale of all personal information collected by a business from consumers. Wherever  
5 possible, law relating to consumers' personal information must be construed to harmonize  
6 with the provisions of this chapter, but in the event of a conflict between other laws and the  
7 provisions of this chapter, the provisions of the law that afford the greatest protection for  
8 the right of privacy for consumers controls.

9           **§9618. Preemption**

10           This chapter is a matter of statewide concern and supersedes and preempts all rules,  
11 regulations, codes, ordinances and other laws adopted by a municipality, county or local  
12 agency regarding the collection and sale of consumers' personal information by a business.

13           **§9619. Rules**

14           **1. Rules.** On or before January 1, 2022, the Attorney General shall solicit broad public  
15 participation and adopt rules to further the purposes of this chapter, including, but not  
16 limited to, the following areas:

17           A. Updating as needed additional categories of personal information to those  
18 enumerated in section 9602, subsection 14 in order to address changes in technology,  
19 data collection practices, obstacles to implementation and privacy concerns;

20           B. Updating as needed the definition of "unique identifiers" to address changes in  
21 technology, data collection, obstacles to implementation and privacy concerns, and to  
22 add categories to the definition of "designated methods for submitting requests" to  
23 facilitate a consumer's ability to obtain information from a business pursuant to section  
24 9609;

25           C. Establishing any exceptions necessary to comply with state or federal law,  
26 including, but not limited to, those relating to trade secrets and intellectual property  
27 rights, within one year of passage of this chapter and as needed thereafter;

28           D. Establishing rules and procedures for the following:

29                   (1) To facilitate and govern the submission of a request by a consumer to opt out  
30 of the sale of personal information pursuant to section 9607;

31                   (2) To govern business compliance with a consumer's opt out request; and

32                   (3) For the development and use of a recognizable and uniform opt out logo or  
33 button by all businesses to promote consumer awareness of the opportunity to opt  
34 out of the sale of personal information;

35           E. Adjusting the monetary threshold in section 9602, subsection 3, paragraph A,  
36 subparagraph (1) in January of every odd-numbered year to reflect any increase in the  
37 United States Department of Labor, Bureau of Labor Statistics Consumer Price Index;

38           F. Establishing rules, procedures and any exceptions necessary to ensure that the  
39 notices and information that businesses are required to provide pursuant to this chapter  
40 are provided in a manner that may be easily understood by the average consumer, are  
41 accessible to consumers with disabilities and are available in the language primarily  
42 used to interact with the consumer, including establishing rules and guidelines

1 regarding financial incentive offerings, within one year of passage of this chapter and  
2 as needed thereafter; and

3 G. Establishing rules and procedures to further the purposes of sections 9605 and 9606  
4 and to facilitate a consumer's or the consumer's authorized agent's ability to obtain  
5 information pursuant to section 9609, with the goal of minimizing the administrative  
6 burden on consumers, taking into account available technology, security concerns and  
7 the burden on the business, to govern a business's determination that a request for  
8 information received from a consumer is a verifiable consumer request, including  
9 treating a request submitted through a password-protected account maintained by the  
10 consumer with the business while the consumer is logged into the account as a  
11 verifiable consumer request and providing a mechanism for a consumer who does not  
12 maintain an account with the business to request information through the business's  
13 authentication of the consumer's identity, within one year of passage of this chapter  
14 and as needed thereafter.

15 **2. Additional rules.** The Attorney General may adopt additional rules as follows:

16 A. To establish rules and procedures on how to process and comply with verifiable  
17 consumer requests for specific pieces of personal information relating to a household  
18 in order to address obstacles to implementation and privacy concerns; and

19 B. As necessary to further the purposes of this chapter.

20 **3. Enforcement action after 6 months.** The Attorney General may not bring an  
21 enforcement action under this chapter until 6 months after the adoption of the final rules  
22 issued pursuant to this section or July 1, 2022, whichever is sooner.

23 **4. Routine technical rules.** Rules adopted under this section are routine technical  
24 rules pursuant to Title 5, chapter 375, subchapter 2-A.

25 **§9620. Series of steps or transactions**

26 If a series of steps or transactions were component parts of a single transaction intended  
27 from the beginning to be taken with the intention of avoiding the reach of this chapter,  
28 including the disclosure of information by a business to a 3rd party in order to avoid the  
29 definition of "sell," a court shall disregard the intermediate steps or transactions for  
30 purposes of effectuating the purposes of this chapter.

31 **§9621. Waiver or limit of rights void and unenforceable**

32 Any provision of a contract or agreement of any kind that purports to waive or limit in  
33 any way a consumer's rights under this chapter, including, but not limited to, any right to a  
34 remedy or means of enforcement, is deemed contrary to public policy and is void and  
35 unenforceable. This section does not prevent a consumer from declining to request  
36 information from a business, declining to opt out of a business's sale of the consumer's  
37 personal information or authorizing a business to sell the consumer's personal information  
38 after previously opting out.

39 **§9622. Liberal construction**

40 This chapter must be liberally construed to effectuate its purposes.

41 **§9623. Effective date**

42 This chapter takes effect January 1, 2022.



