

SENATE
STATE OF MINNESOTA
EIGHTY-NINTH SESSION

S.F. No. 2988

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DATE	D-PG	OFFICIAL STATUS
03/21/2016		Introduction and first reading Referred to Health, Human Services and Housing

A bill for an act

1.1 relating to children; creating the Office of the Ombudsperson for American
 1.2 Indian Families; modifying provisions related to the American Indian
 1.3 community-specific board; transferring money; amending Minnesota Statutes
 1.4 2014, sections 257.076, subdivisions 3, 5; 257.0768, subdivision 6; 257.0769, as
 1.5 amended; Minnesota Statutes 2015 Supplement, sections 257.0755, subdivision
 1.6 1; 257.0768, subdivision 1; proposing coding for new law in Minnesota Statutes,
 1.7 chapter 3.
 1.8

1.9 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF MINNESOTA:

1.10 Section 1. **[3.9215] OMBUDSPERSON FOR AMERICAN INDIAN FAMILIES.**

1.11 Subdivision 1. **Scope.** In recognition of the sovereign status of Indian tribes and the
 1.12 unique laws and standards involved in protecting Indian children, this section creates the
 1.13 Office of the Ombudsperson for American Indian Families and gives the ombudsperson
 1.14 the powers and duties necessary to effectively carry out the functions of the office.

1.15 Subd. 2. **Creation.** The ombudsperson shall operate independently from but in
 1.16 collaboration with the Indian Affairs Council.

1.17 Subd. 3. **Selection; qualifications.** The ombudsperson shall be selected by
 1.18 the American Indian community-specific board established in section 3.9216. The
 1.19 ombudsperson serves in the unclassified service at the pleasure of the community-specific
 1.20 board and may be removed only for just cause. Each ombudsperson must be selected
 1.21 without regard to political affiliation and shall be a person highly competent and qualified
 1.22 to analyze questions of law, administration, and public policy regarding the protection and
 1.23 placement of children. In addition, the ombudsperson must be experienced in dealing with
 1.24 the American Indian community and knowledgeable about the needs of that community.
 1.25 No individual may serve as ombudsperson while holding any other public office.

2.1 Subd. 4. **Appropriation.** Money appropriated for the Ombudsperson for American
2.2 Indian Families from the general fund or the special fund authorized by section 256.01,
2.3 subdivision 2, paragraph (o), is under the control of the ombudsperson.

2.4 Subd. 5. **Definitions.** (a) For the purposes of this section, the following terms shall
2.5 have the meanings given them.

2.6 (b) "Agency" means the divisions, officials, or employees of the Departments
2.7 of Human Services and Health and local district courts or a designated county social
2.8 service agency as defined in section 256G.02, subdivision 7, engaged in providing child
2.9 protection and placement services for children. Agency also means any individual,
2.10 service, organization, or program providing child protection, placement, or adoption
2.11 services in coordination with or under contract to any other entity specified in this
2.12 subdivision, including guardians ad litem.

2.13 (c) "American Indian" refers to individuals who are members of federally recognized
2.14 tribes, eligible for membership in a federally recognized tribe, or are children or
2.15 grandchildren of a member of a federally recognized tribe. American Indian is a political
2.16 status established through treaty rights between the federal government and tribes. Each
2.17 tribe has a unique culture and practices specific to the tribe.

2.18 (d) "Facility" means any entity required to be licensed under chapter 245A.

2.19 (e) "Indian custodian" has the meaning given in United States Code, title 25, section
2.20 1903.

2.21 Subd. 6. **Organization.** (a) The ombudsperson may select, appoint, and compensate
2.22 out of available funds the assistants and employees deemed necessary to discharge
2.23 responsibilities. All employees, except the secretarial and clerical staff, serve at the
2.24 pleasure of the ombudsperson in the unclassified service. The ombudsperson and full-time
2.25 staff are members of the Minnesota State Retirement Association.

2.26 (b) The ombudsperson may delegate to staff members or members of the American
2.27 Indian Community-Specific Board under section 3.9216 any of the ombudsperson's
2.28 authority or duties except the duty of formally making recommendations to an
2.29 administrative agency or reports to the Office of the Governor or to the legislature.

2.30 Subd. 7. **Duties and powers.** (a) The ombudsperson has the duties listed in this
2.31 paragraph.

2.32 (1) The ombudsperson shall monitor agency compliance with all laws governing
2.33 child protection and placement, public education, and housing issues related to child
2.34 protection, as they impact American Indian children and their families. In particular,
2.35 the ombudsperson shall monitor agency compliance with sections 260.751 to 260.835;
2.36 260C.193, subdivision 3; and 260C.215.

3.1 (2) The ombudsperson shall work with local state courts to ensure that:

3.2 (i) court officials, public policy makers, and service providers are trained in cultural
3.3 competency. The ombudsperson shall document and monitor court activities in order to
3.4 heighten awareness of diverse belief systems and family relationships;

3.5 (ii) qualified expert witnesses from the appropriate American Indian community,
3.6 including tribal advocates, are used as court advocates and are consulted in placement
3.7 decisions that involve American Indian children; and

3.8 (iii) guardians ad litem and other individuals from American Indian communities
3.9 are recruited, trained, and used in court proceedings to advocate on behalf of American
3.10 Indian children.

3.11 (3) The ombudsperson shall primarily work on behalf of American Indian children
3.12 and families, but shall also work on behalf of all Minnesota children and families as the
3.13 ombudsperson deems necessary and appropriate.

3.14 (b) The ombudsperson has the authority to investigate decisions, acts, and other
3.15 matters of an agency, program, or facility providing protection or placement services
3.16 to American Indian children. In carrying out this authority and the duties in paragraph
3.17 (a), the ombudsperson has the power to:

3.18 (1) prescribe the methods by which complaints are made, reviewed, and acted upon;

3.19 (2) determine the scope and manner of investigations to be made;

3.20 (3) investigate, upon a complaint or upon personal initiative, any action of any
3.21 agency;

3.22 (4) request and be given access to any information in the possession of any agency
3.23 deemed necessary for the discharge of responsibilities. The ombudsperson is authorized to
3.24 set reasonable deadlines within which an agency must respond to requests for information.

3.25 Data obtained from any agency under this clause retains the classification the data had
3.26 under section 13.02 and the ombudsperson shall maintain and disseminate the data
3.27 according to chapter 13;

3.28 (5) examine the records and documents of an agency;

3.29 (6) enter and inspect, during normal business hours, premises within the control
3.30 of an agency;

3.31 (7) subpoena any agency personnel to appear, testify, or produce documentary or
3.32 other evidence which the ombudsperson deems relevant to a particular matter under
3.33 inquiry, and petition the appropriate state court to seek enforcement with the subpoena.

3.34 Any witness at a hearing or before an investigation has the same privileges reserved
3.35 to such a witness in the courts or under the laws of this state. The ombudsperson may

4.1 compel nonagency individuals to testify or produce evidence according to procedures
4.2 developed by the advisory board.

4.3 (c) The ombudsperson may apply for grants and accept gifts, donations, and
4.4 appropriations from tribes, individuals, governmental sources, or nongovernmental
4.5 sources relating to the duties of the ombudsperson. Grants, gifts, donations, and
4.6 appropriations received are appropriated to the ombudsperson. The ombudsperson may
4.7 seek and apply for grants to develop new programs and initiatives and to continue existing
4.8 programs and initiatives. These funds may not be used for operating expenses for the
4.9 Office of the Ombudsperson for American Indian Families.

4.10 Subd. 8. **Matters appropriate for review.** (a) In selecting matters for review,
4.11 an ombudsperson should give particular attention to actions of an agency, facility, or
4.12 program that:

4.13 (1) may be contrary to law or rule;

4.14 (2) may be unreasonable, unfair, oppressive, or inconsistent with a policy or order of
4.15 an agency, facility, or program;

4.16 (3) may result in abuse or neglect of a child;

4.17 (4) may disregard the rights of a child or another individual served by an agency
4.18 or facility; or

4.19 (5) may be unclear or inadequately explained, when reasons should have been
4.20 revealed.

4.21 (b) The ombudsperson shall, in selecting matters for review, inform other interested
4.22 agencies in order to avoid duplicating other investigations or regulatory efforts, including
4.23 activities undertaken by a tribal organization under the authority of sections 260.751 to
4.24 260.835.

4.25 Subd. 9. **Complaints.** The ombudsperson may receive a complaint from any source
4.26 concerning an action of an agency, facility, or program. After completing a review, the
4.27 ombudsperson shall inform the complainant, agency, facility, or program. Services to a
4.28 child shall not be unfavorably altered as a result of an investigation or complaint. An
4.29 agency, facility, or program shall not retaliate or take adverse action, as defined in section
4.30 626.556, subdivision 4a, paragraph (c), against an individual who, in good faith, makes a
4.31 complaint or assists in an investigation.

4.32 Subd. 10. **Recommendations to agency.** (a) If, after reviewing a complaint or
4.33 conducting an investigation and considering the response of an agency, facility, or program
4.34 and any other pertinent material, the ombudsperson determines that the complaint has
4.35 merit or that the investigation reveals a problem, the ombudsperson may recommend that
4.36 the agency, facility, or program:

- 5.1 (1) consider the matter further;
 5.2 (2) modify or cancel its actions;
 5.3 (3) alter a rule, order, or internal policy;
 5.4 (4) explain more fully the action in question; or
 5.5 (5) take other action as authorized under section 257.0762.

5.6 (b) At the ombudsperson's request, the agency, facility, or program shall, within a
 5.7 reasonable time, inform the ombudsperson about the action taken on the recommendation
 5.8 or the reasons for not complying with the recommendation.

5.9 Subd. 11. **Recommendations and public reports.** (a) The ombudsperson may
 5.10 send conclusions and suggestions concerning any matter reviewed to the governor and
 5.11 shall provide copies of all reports to the advisory board and to the groups specified in
 5.12 section 257.0768, subdivision 1. Before making public a conclusion or recommendation
 5.13 that expressly or implicitly criticizes an agency, facility, program, or any person, the
 5.14 ombudsperson shall inform the governor and the affected agency, facility, program, or
 5.15 person concerning the conclusion or recommendation. When sending a conclusion or
 5.16 recommendation to the governor that is adverse to an agency, facility, program, or any
 5.17 person, the ombudsperson shall include any statement of reasonable length made by
 5.18 that agency, facility, program, or person in defense or mitigation of the ombudsperson's
 5.19 conclusion or recommendation.

5.20 (b) In addition to whatever conclusions or recommendations the ombudsperson may
 5.21 make to the governor on an ad hoc basis, the ombudsperson shall, at the end of each year,
 5.22 report to the governor concerning the exercise of the ombudsperson's functions during
 5.23 the preceding year.

5.24 Subd. 12. **Civil actions.** The ombudsperson and designees are not civilly liable for
 5.25 any action taken under this section if the action was taken in good faith, was within the scope
 5.26 of the ombudsperson's authority, and did not constitute willful or reckless misconduct.

5.27 Subd. 13. **Use of funds.** Any funds received by the ombudsperson from any source
 5.28 may be used to compensate members of the American Indian community-specific board
 5.29 for reasonable and necessary expenses incurred in aiding and assisting the ombudsperson
 5.30 in programs and initiatives.

5.31 **Sec. 2. [3.9216] AMERICAN INDIAN COMMUNITY-SPECIFIC BOARD.**

5.32 Subdivision 1. **Membership.** The board consists of five members who are members
 5.33 of a federally recognized tribe or members of the American Indian community. The
 5.34 chair of the Indian Affairs Council shall appoint the members of the board. In making
 5.35 appointments, the chair must consult with other members of the council.

6.1 Subd. 2. **Compensation.** Members do not receive compensation but are entitled to
6.2 receive reimbursement for reasonable and necessary expenses incurred doing board-related
6.3 work, including travel for meetings, trainings, and presentations. Board members may
6.4 also receive per diem payments in a manner and amount prescribed by the board.

6.5 Subd. 3. **Meetings.** The board shall meet regularly at the request of the appointing
6.6 chair, board chair, or ombudsperson. The board must meet at least quarterly. The
6.7 appointing chair, board chair, or ombudsperson may also call special or emergency
6.8 meetings as necessary.

6.9 Subd. 4. **Removal and vacancy.** (a) A member may be removed by the appointing
6.10 authority at any time, either for cause, as described in paragraph (b), or after missing three
6.11 consecutive meetings, as described in paragraph (c).

6.12 (b) If removal is for cause, the member must be given notice and an opportunity for
6.13 a hearing before removal.

6.14 (c) After a member misses two consecutive meetings, and before the next meeting,
6.15 the board chair shall notify the member in writing that the member may be removed if the
6.16 member misses the next meeting. If a member misses three consecutive meetings, the
6.17 board chair must notify the appointing authority.

6.18 (d) If there is a vacancy on the board, the appointing authority shall appoint a person
6.19 to fill the vacancy for the remainder of the unexpired term.

6.20 Subd. 5. **Duties.** (a) The board shall appoint the Ombudsperson for American
6.21 Indian Families and shall advise and assist the ombudsperson in various ways, including,
6.22 but not limited to:

6.23 (1) selecting matters for attention;

6.24 (2) developing policies, plans, and programs to carry out the ombudsperson's
6.25 functions and powers;

6.26 (3) attending policy meetings when requested by the ombudsperson;

6.27 (4) establishing protocols for working with American Indian communities;

6.28 (5) developing procedures for the ombudsperson's use of the subpoena power to
6.29 compel testimony and evidence from nonagency individuals; and

6.30 (6) making reports and recommendations for changes designed to improve standards
6.31 of competence, efficiency, justice, and protection of rights.

6.32 (b) The board shall not make individual case recommendations.

6.33 Subd. 6. **Grants, gifts, donations, and appropriations.** The board may apply for
6.34 grants for the purpose of training and educating the American Indian community on
6.35 child protection issues involving American Indian families. The board may also accept
6.36 gifts, donations, and appropriations from tribes, individuals, governmental sources, or

7.1 nongovernmental sources relating to the duties of the board. Grants, gifts, donations, and
 7.2 appropriations received are appropriated to the board. The board may seek and apply for
 7.3 grants to develop new programs and initiatives and to continue existing programs and
 7.4 initiatives. These funds may also be used to reimburse board members for reasonable and
 7.5 necessary expenses incurred in aiding and assisting the Office of the Ombudsperson for
 7.6 American Indian Families in Office of the Ombudsperson for American Indian Families
 7.7 programs and initiatives, but may not be used for operating expenses for the Office of
 7.8 Ombudsperson for American Indian Families.

7.9 Subd. 7. **Terms and expiration.** The terms and expiration of board membership
 7.10 are governed by section 15.0575.

7.11 Sec. 3. Minnesota Statutes 2015 Supplement, section 257.0755, subdivision 1, is
 7.12 amended to read:

7.13 Subdivision 1. **Creation.** Each ombudsperson shall operate independently from but
 7.14 in collaboration with the community-specific board that appointed the ombudsperson
 7.15 under section 257.0768: ~~the Indian Affairs Council~~, the Minnesota Council on Latino
 7.16 Affairs, the Council for Minnesotans of African Heritage, and the Council on Asian-Pacific
 7.17 Minnesotans.

7.18 Sec. 4. Minnesota Statutes 2014, section 257.076, subdivision 3, is amended to read:

7.19 Subd. 3. **Communities of color.** "Communities of color" means the following:
 7.20 ~~American Indian~~, Hispanic-Latino, Asian-Pacific, African, and African-American
 7.21 communities.

7.22 Sec. 5. Minnesota Statutes 2014, section 257.076, subdivision 5, is amended to read:

7.23 Subd. 5. **Family of color.** "Family of color" means any family with a child under
 7.24 the age of 18 who is identified by one or both parents or another trusted adult to be of
 7.25 ~~American Indian~~, Hispanic-Latino, Asian-Pacific, African, or African-American descent.

7.26 Sec. 6. Minnesota Statutes 2015 Supplement, section 257.0768, subdivision 1, is
 7.27 amended to read:

7.28 Subdivision 1. **Membership.** ~~Four~~ Three community-specific boards are created.
 7.29 Each board consists of five members. The chair of each of the following groups shall
 7.30 appoint the board for the community represented by the group: ~~the Indian Affairs Council~~;
 7.31 the Minnesota Council on Latino Affairs; the Council for Minnesotans of African

8.1 Heritage; and the Council on Asian-Pacific Minnesotans. In making appointments, the
8.2 chair must consult with other members of the council.

8.3 Sec. 7. Minnesota Statutes 2014, section 257.0768, subdivision 6, is amended to read:

8.4 Subd. 6. **Joint meetings.** The members of the ~~four~~ three community-specific boards
8.5 shall meet jointly at least four times each year to advise the ombudspersons on overall
8.6 policies, plans, protocols, and programs for the office.

8.7 Sec. 8. Minnesota Statutes 2014, section 257.0769, as amended by Laws 2015, chapter
8.8 77, article 2, section 87, is amended to read:

8.9 **257.0769 FUNDING FOR THE OMBUDSPERSON PROGRAM.**

8.10 Subdivision 1. **Appropriations.** (a) Money is appropriated from the special fund
8.11 authorized by section 256.01, subdivision 2, paragraph (o), to the Indian Affairs Council
8.12 for the purposes of ~~sections 257.0755 to 257.0768~~ section 3.9215.

8.13 (b) Money is appropriated from the special fund authorized by section 256.01,
8.14 subdivision 2, paragraph (o), to the Minnesota Council on Latino Affairs for the purposes
8.15 of sections 257.0755 to 257.0768.

8.16 (c) Money is appropriated from the special fund authorized by section 256.01,
8.17 subdivision 2, paragraph (o), to the Council for Minnesotans of African Heritage for the
8.18 purposes of sections 257.0755 to 257.0768.

8.19 (d) Money is appropriated from the special fund authorized by section 256.01,
8.20 subdivision 2, paragraph (o), to the Council on Asian-Pacific Minnesotans for the purposes
8.21 of sections 257.0755 to 257.0768.

8.22 Subd. 2. **Title IV-E reimbursement.** The commissioner shall obtain federal title
8.23 IV-E financial participation for eligible activity by the ombudsperson for families under
8.24 section 257.0755 and the ombudsperson for American Indian families under section
8.25 3.9215. The ombudsperson for families and the ombudsperson for American Indian
8.26 families shall maintain and transmit to the Department of Human Services documentation
8.27 that is necessary in order to obtain federal funds.

8.28 Sec. 9. **TRANSFER OF MONEY.**

8.29 Before the end of fiscal year 2016, the Office of the Ombudsperson for Families
8.30 must transfer to the Office of the Ombudsperson for American Indian Families any
8.31 remaining money designated for use by the Ombudsperson for American Indian Families.
8.32 This section is cost-neutral.