

SENATE
STATE OF MINNESOTA
NINETIETH SESSION

S.F. No. 3172

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DATE	D-PG	OFFICIAL STATUS
03/12/2018	6414	Introduction and first reading
		Referred to Human Services Reform Finance and Policy
03/22/2018		Comm report: To pass as amended and re-refer to Judiciary and Public Safety Finance and Policy

1.1 A bill for an act

1.2 relating to human services; permitting legal parent to petition for reestablishment

1.3 of the legal parent and child relationship; prohibiting disqualification of individuals

1.4 subject to human services background studies with expunged criminal records;

1.5 amending Minnesota Statutes 2016, sections 245C.14; 245C.15, by adding a

1.6 subdivision; 245C.22, by adding a subdivision; 245C.24, by adding a subdivision;

1.7 260C.329, subdivisions 3, 7, 8; Minnesota Statutes 2017 Supplement, section

1.8 245C.16, subdivision 1; repealing Minnesota Statutes 2016, section 260C.329,

1.9 subdivision 5.

1.10 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF MINNESOTA:

1.11 Section 1. Minnesota Statutes 2016, section 245C.14, is amended to read:

1.12 **245C.14 DISQUALIFICATION.**

1.13 Subdivision 1. **Disqualification from direct contact.** (a) The commissioner shall

1.14 disqualify an individual who is the subject of a background study from any position allowing

1.15 direct contact with persons receiving services from the license holder or entity identified in

1.16 section 245C.03, upon receipt of information showing, or when a background study

1.17 completed under this chapter shows any of the following:

1.18 (1) a conviction of, admission to, or Alford plea to one or more crimes listed in section

1.19 245C.15, regardless of whether the conviction or admission is a felony, gross misdemeanor,

1.20 or misdemeanor level crime;

1.21 (2) a preponderance of the evidence indicates the individual has committed an act or

1.22 acts that meet the definition of any of the crimes listed in section 245C.15, regardless of

1.23 whether the preponderance of the evidence is for a felony, gross misdemeanor, or

1.24 misdemeanor level crime; or

2.1 (3) an investigation results in an administrative determination listed under section
2.2 245C.15, subdivision 4, paragraph (b).

2.3 (b) No individual who is disqualified following a background study under section
2.4 245C.03, subdivisions 1 and 2, may be retained in a position involving direct contact with
2.5 persons served by a program or entity identified in section 245C.03, unless the commissioner
2.6 has provided written notice under section 245C.17 stating that:

2.7 (1) the individual may remain in direct contact during the period in which the individual
2.8 may request reconsideration as provided in section 245C.21, subdivision 2;

2.9 (2) the commissioner has set aside the individual's disqualification for that program or
2.10 entity identified in section 245C.03, as provided in section 245C.22, subdivision 4; or

2.11 (3) the license holder has been granted a variance for the disqualified individual under
2.12 section 245C.30.

2.13 (c) The commissioner shall not disqualify an individual under this subdivision based on
2.14 (1) a record of conviction that was expunged under chapter 609A and the order was directed
2.15 specifically to the commissioner, or (2) any underlying fact or element from an expunged
2.16 record of an arrest, criminal charge, or conviction and the order was directed specifically
2.17 to the commissioner. Nothing in this paragraph prohibits the commissioner from disqualifying
2.18 an individual based upon a separate administrative determination under section 245C.15,
2.19 subdivision 4, paragraph (b), unless there is a court order directed specifically to the
2.20 commissioner to expunge an administrative order.

2.21 Subd. 2. **Disqualification from access.** (a) If an individual who is studied under section
2.22 245C.03, subdivision 1, paragraph (a), clauses (2), (5), and (6), is disqualified from direct
2.23 contact under subdivision 1, the commissioner shall also disqualify the individual from
2.24 access to a person receiving services from the license holder.

2.25 (b) No individual who is disqualified following a background study under section
2.26 245C.03, subdivision 1, paragraph (a), clauses (2), (5), and (6), or as provided elsewhere
2.27 in statute who is disqualified as a result of this section, may be allowed access to persons
2.28 served by the program unless the commissioner has provided written notice under section
2.29 245C.17 stating that:

2.30 (1) the individual may remain in direct contact during the period in which the individual
2.31 may request reconsideration as provided in section 245C.21, subdivision 2;

3.1 (2) the commissioner has set aside the individual's disqualification for that licensed
3.2 program or entity identified in section 245C.03 as provided in section 245C.22, subdivision
3.3 4; or

3.4 (3) the license holder has been granted a variance for the disqualified individual under
3.5 section 245C.30.

3.6 (c) The commissioner shall not disqualify an individual under this subdivision based on
3.7 (1) a record of conviction that was expunged under chapter 609A and the order was directed
3.8 specifically to the commissioner, or (2) any underlying fact or element from an expunged
3.9 record of an arrest, criminal charge, or conviction and the order was directed specifically
3.10 to the commissioner. Nothing in this paragraph prohibits the commissioner from disqualifying
3.11 an individual based upon a separate administrative determination under section 245C.15,
3.12 subdivision 4, paragraph (b), unless there is a court order directed specifically to the
3.13 commissioner to expunge an administrative order.

3.14 Sec. 2. Minnesota Statutes 2016, section 245C.15, is amended by adding a subdivision to
3.15 read:

3.16 Subd. 6. **Expunged criminal records.** The commissioner shall not disqualify an
3.17 individual subject to a background study under this chapter based on (1) a record of
3.18 conviction that was expunged under chapter 609A and the order was directed specifically
3.19 to the commissioner, or (2) any underlying fact or element from an expunged record of an
3.20 arrest, criminal charge, or conviction and the order was directed specifically to the
3.21 commissioner. Nothing in this subdivision prohibits the commissioner from disqualifying
3.22 an individual based upon a separate administrative determination under section 245C.15,
3.23 subdivision 4, paragraph (b), unless there is a court order directed specifically to the
3.24 commissioner to expunge an administrative order.

3.25 Sec. 3. Minnesota Statutes 2017 Supplement, section 245C.16, subdivision 1, is amended
3.26 to read:

3.27 Subdivision 1. **Determining immediate risk of harm.** (a) If the commissioner determines
3.28 that the individual studied has a disqualifying characteristic, the commissioner shall review
3.29 the information immediately available and make a determination as to the subject's immediate
3.30 risk of harm to persons served by the program where the individual studied will have direct
3.31 contact with, or access to, people receiving services.

3.32 (b) The commissioner shall consider all relevant information available, including the
3.33 following factors in determining the immediate risk of harm:

- 4.1 (1) the recency of the disqualifying characteristic;
- 4.2 (2) the recency of discharge from probation for the crimes;
- 4.3 (3) the number of disqualifying characteristics;
- 4.4 (4) the intrusiveness or violence of the disqualifying characteristic;
- 4.5 (5) the vulnerability of the victim involved in the disqualifying characteristic;
- 4.6 (6) the similarity of the victim to the persons served by the program where the individual
4.7 studied will have direct contact;
- 4.8 (7) whether the individual has a disqualification from a previous background study that
4.9 has not been set aside; and
- 4.10 (8) if the individual has a disqualification which may not be set aside because it is a
4.11 permanent bar under section 245C.24, subdivision 1, or the individual is a child care staff
4.12 person who has a felony-level conviction for a drug-related offense in the last five years,
4.13 the commissioner may order the immediate removal of the individual from any position
4.14 allowing direct contact with, or access to, persons receiving services from the program.
- 4.15 (c) This section does not apply when the subject of a background study is regulated by
4.16 a health-related licensing board as defined in chapter 214, and the subject is determined to
4.17 be responsible for substantiated maltreatment under section 626.556 or 626.557.
- 4.18 (d) This section does not apply to a background study related to an initial application
4.19 for a child foster care license.
- 4.20 (e) Except for paragraph ~~(f)~~ (g), this section does not apply to a background study that
4.21 is also subject to the requirements under section 256B.0659, subdivisions 11 and 13, for a
4.22 personal care assistant or a qualified professional as defined in section 256B.0659,
4.23 subdivision 1.
- 4.24 (f) This section does not apply if the subject of a background study has a conviction that
4.25 was expunged under chapter 609A and the order was directed specifically to the
4.26 commissioner, or any underlying fact or element from an expunged record of an arrest,
4.27 criminal charge, or conviction and the order was directed specifically to the commissioner.
4.28 Nothing in this paragraph prohibits the commissioner from disqualifying an individual based
4.29 upon a separate administrative determination under section 245C.15, subdivision 4, paragraph
4.30 (b), unless there is a court order directed specifically to the commissioner to expunge an
4.31 administrative order.

5.1 ~~(f)~~ (g) If the commissioner has reason to believe, based on arrest information or an active
 5.2 maltreatment investigation, that an individual poses an imminent risk of harm to persons
 5.3 receiving services, the commissioner may order that the person be continuously supervised
 5.4 or immediately removed pending the conclusion of the maltreatment investigation or criminal
 5.5 proceedings.

5.6 Sec. 4. Minnesota Statutes 2016, section 245C.22, is amended by adding a subdivision to
 5.7 read:

5.8 Subd. 8. **Expunged records.** This section does not apply if the subject of a background
 5.9 study has a conviction that was expunged under chapter 609A, and the order was directed
 5.10 specifically to the commissioner, or any underlying fact or element from an expunged record
 5.11 of an arrest, criminal charge, or conviction and the order was directed specifically to the
 5.12 commissioner. Nothing in this subdivision prohibits the commissioner from disqualifying
 5.13 an individual based upon a separate administrative determination under section 245C.15,
 5.14 subdivision 4, paragraph (b), unless there is a court order directed specifically to the
 5.15 commissioner to expunge an administrative order.

5.16 Sec. 5. Minnesota Statutes 2016, section 245C.24, is amended by adding a subdivision to
 5.17 read:

5.18 Subd. 5. **Expunged criminal records.** The commissioner shall not disqualify an
 5.19 individual subject to a background study under this chapter based on (1) a record of
 5.20 conviction that was expunged under chapter 609A and the order was directed specifically
 5.21 to the commissioner, or (2) any underlying fact or element from an expunged record of an
 5.22 arrest, criminal charge, or conviction and the order was directed specifically to the
 5.23 commissioner. Nothing in this subdivision prohibits the commissioner from disqualifying
 5.24 an individual based upon a separate administrative determination under section 245C.15,
 5.25 subdivision 4, paragraph (b), unless there is a court order directed specifically to the
 5.26 commissioner to expunge an administrative order.

5.27 Sec. 6. Minnesota Statutes 2016, section 260C.329, subdivision 3, is amended to read:

5.28 ~~Subd. 3. **Petition.** Only the county attorney~~ A legal parent may file a petition for the
 5.29 reestablishment of the legal parent and child relationship. A petition for the reestablishment
 5.30 of the legal parent and child relationship may be filed when:

5.31 ~~(1) both the responsible social services agency and the county attorney agree that~~
 5.32 reestablishment of the legal parent and child relationship is in the child's best interests;

6.1 (2) the parent has corrected the conditions that led to an order terminating parental rights;

6.2 (3) the parent is willing and has the capability to provide day-to-day care and maintain
6.3 the health, safety, and welfare of the child;

6.4 (4) the child has been in foster care for at least ~~36~~ 24 months after the court issued the
6.5 order terminating parental rights;

6.6 ~~(5) the child is 15 years of age or older at the time the petition for reestablishment of~~
6.7 ~~the legal parent and child relationship is filed;~~

6.8 ~~(6)~~ (5) the child has not been adopted; and

6.9 ~~(7)~~ (6) the child is not the subject of a written adoption placement agreement between
6.10 the responsible social services agency and the prospective adoptive parent, as required under
6.11 Minnesota Rules, part 9560.0060, subpart 2.

6.12 Sec. 7. Minnesota Statutes 2016, section 260C.329, subdivision 7, is amended to read:

6.13 Subd. 7. **Service of petition on the parties.** The petition for the reestablishment of the
6.14 legal parent and child relationship and notice of hearing on the petition must be served on:

6.15 (1) the child;

6.16 ~~(2) the parent whose rights have been terminated and with whom the legal parent and~~
6.17 ~~child relationship is proposed to be reestablished;~~

6.18 (2) the county attorney;

6.19 (3) the responsible social services agency;

6.20 ~~(3)~~ (4) the child's guardian ad litem; and

6.21 ~~(4)~~ (5) the child's tribe if the child is subject to the Indian Child Welfare Act.

6.22 Sec. 8. Minnesota Statutes 2016, section 260C.329, subdivision 8, is amended to read:

6.23 Subd. 8. **Hearing.** The court may grant the petition ordering the reestablishment of the
6.24 legal parent and child relationship only if it finds by clear and convincing evidence that:

6.25 (1) reestablishment of the legal parent and child relationship is in the child's best interests;

6.26 ~~(2) the child is 15 years of age or older;~~

6.27 ~~(3)~~ (2) the child has not been adopted;

7.1 ~~(4)~~(3) the child is not the subject of a written adoption placement agreement between
7.2 the responsible social services agency and the prospective adoptive parent, as required under
7.3 Minnesota Rules, part 9560.0060, subpart 2;

7.4 ~~(5)~~(4) at least ~~36~~24 months have elapsed following a final order terminating parental
7.5 rights and the child remains in foster care;

7.6 ~~(6)~~(5) the child desires to reside with the parent;

7.7 ~~(7)~~(6) the parent has corrected the conditions that led to an order terminating parental
7.8 rights; and

7.9 ~~(8)~~(7) the parent is willing and has the capability to provide day-to-day care and maintain
7.10 the health, safety, and welfare of the child.

7.11 Sec. 9. **REPEALER.**

7.12 Minnesota Statutes 2016, section 260C.329, subdivision 5, is repealed.

260C.329 REESTABLISHMENT OF THE LEGAL PARENT AND CHILD RELATIONSHIP.

Subd. 5. **Decision not appealable.** The decision by the county attorney not to file a petition for the reestablishment of legal parent and child relationship is not appealable.