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HOUSE BILL 81

**57TH LEGISLATURE - STATE OF NEW MEXICO - FIRST SESSION, 2025**

INTRODUCED BY

Elizabeth "Liz" Thomson

AN ACT

RELATING TO INTERGOVERNMENTAL AGREEMENTS; ENACTING THE  
OCCUPATIONAL THERAPY LICENSURE INTERSTATE COMPACT.

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF NEW MEXICO:

SECTION 1. [NEW MATERIAL] SHORT TITLE.--This act may be  
cited as the "Occupational Therapy Licensure Interstate  
Compact".

SECTION 2. [NEW MATERIAL] PURPOSE.--The purpose of the  
compact is to facilitate interstate practice of occupational  
therapy with the goal of improving public access to  
occupational therapy services. The practice of occupational  
therapy occurs in the state where the patient or client is  
located at the time of the patient or client encounter. The  
compact preserves the regulatory authority of states to protect  
public health and safety through the current system of state

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1 licensure. The compact is designed to achieve the following  
2 objectives:

3 A. increase public access to occupational therapy  
4 services by providing for the mutual recognition of other  
5 member state licenses;

6 B. enhance the states' ability to protect the  
7 public's health and safety;

8 C. encourage the cooperation of member states in  
9 regulating multistate occupational therapy practice;

10 D. support spouses of relocating military members;

11 E. enhance the exchange of licensure, investigative  
12 and disciplinary information between member states;

13 F. allow a remote state to hold a provider of  
14 services with a compact privilege in that state accountable to  
15 that state's practice standards; and

16 G. facilitate the use of telehealth technology in  
17 order to increase access to occupational therapy services.

18 SECTION 3. [NEW MATERIAL] DEFINITIONS.--As used in the  
19 Occupational Therapy Licensure Interstate Compact and except as  
20 otherwise provided:

21 A. "active duty military" means full-time duty  
22 status in the active uniformed service of the United States,  
23 including members of the national guard and reserve on active  
24 duty orders pursuant to 10 U.S.C. Chapter 1209 and 10 U.S.C.  
25 Chapter 1211;

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1           B. "adverse action" means any administrative,  
2 civil, equitable or criminal action permitted by a state's laws  
3 that is imposed by a licensing board or other authority against  
4 an occupational therapist or occupational therapy assistant,  
5 including actions against an individual's license or compact  
6 privilege such as censure, revocation, suspension, probation,  
7 monitoring of the licensee or restriction on the licensee's  
8 practice;

9           C. "alternative program" means a non-disciplinary  
10 monitoring process approved by an occupational therapy  
11 licensing board;

12           D. "compact privilege" means the authorization,  
13 which is equivalent to a license, granted by a remote state to  
14 allow a licensee from another member state to practice as an  
15 occupational therapist or practice as an occupational therapy  
16 assistant in the remote state under its laws and rules. The  
17 practice of occupational therapy occurs in the member state  
18 where the patient or client is located at the time of the  
19 patient or client encounter;

20           E. "continuing competence" or "continuing  
21 education" means a requirement, as a condition of license  
22 renewal, to provide evidence of participation in and completion  
23 of educational and professional activities relevant to practice  
24 or area of work;

25           F. "current significant investigative information"

1 means investigative information that a licensing board, after  
2 an inquiry or investigation that includes notification and an  
3 opportunity for the occupational therapist or occupational  
4 therapy assistant to respond, if required by state law, has  
5 reason to believe is not groundless and if proved true would  
6 indicate more than a minor infraction;

7 G. "data system" means a repository of information  
8 about licensees, including license status, investigative  
9 information, compact privileges and adverse actions;

10 H. "encumbered license" means a license in which an  
11 adverse action restricts the practice of occupational therapy  
12 by the licensee or said adverse action has been reported to the  
13 national practitioners data bank;

14 I. "executive committee" means a group of directors  
15 elected or appointed to act on behalf of and within the powers  
16 granted to them by the commission;

17 J. "home state" means the member state that is the  
18 licensee's primary state of residence;

19 K. "impaired practitioner" means an individual  
20 whose professional practice is adversely affected by substance  
21 abuse, addiction or other health-related conditions;

22 L. "investigative information" means information,  
23 records, or documents received or generated by an occupational  
24 therapy licensing board pursuant to an investigation;

25 M. "jurisprudence requirement" means the assessment

1 of an individual's knowledge of the laws and rules governing  
2 the practice of occupational therapy in a state;

3 N. "licensee" means an individual who currently  
4 holds an authorization from a state to practice as an  
5 occupational therapist or as an occupational therapy assistant;

6 O. "member state" means a state that has enacted  
7 the compact;

8 P. "occupational therapist" means an individual who  
9 is licensed by a state to practice occupational therapy;

10 Q. "occupational therapy assistant" means an  
11 individual who is licensed by a state to assist in the practice  
12 of occupational therapy;

13 R. "occupational therapy", "occupational therapy  
14 practice" and the "practice of occupational therapy" mean the  
15 care and services provided by an occupational therapist or an  
16 occupational therapy assistant as set forth in a member state's  
17 statutes and regulations;

18 S. "occupational therapy compact commission" or  
19 "commission" means the national administrative body whose  
20 membership consists of all states that have enacted the  
21 compact;

22 T. "occupational therapy licensing board" or  
23 "licensing board" means the agency of a state that is  
24 authorized to license and regulate occupational therapists and  
25 occupational therapy assistants;

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1 U. "primary state of residence" means the home  
2 state in which an occupational therapist or occupational  
3 therapy assistant who is not active duty military declares a  
4 primary residence for legal purposes as verified by the  
5 person's driver's license, federal income tax return, lease,  
6 deed, mortgage or voter registration or other verifying  
7 documentation as further defined by commission rules;

8 V. "remote state" means a member state other than  
9 the home state where a licensee is exercising or seeking to  
10 exercise the compact privilege;

11 W. "rule" means a regulation promulgated by the  
12 commission that has the force of law;

13 X. "state" means any state, commonwealth, district  
14 or territory of the United States that regulates the practice  
15 of occupational therapy;

16 Y. "single-state license" means an occupational  
17 therapist or occupational therapy assistant license issued by a  
18 member state that authorizes practice only within the issuing  
19 state and does not include a compact privilege in any other  
20 member state; and

21 Z. "telehealth" means the application of  
22 telecommunication technology to deliver occupational therapy  
23 services for assessment, intervention or consultation.

24 SECTION 4. [NEW MATERIAL] STATE PARTICIPATION IN THE  
25 COMPACT.--

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1           A. To participate in the compact, a member state  
2 shall:

3                   (1) license occupational therapists and  
4 occupational therapy assistants;

5                   (2) participate fully in the commission's data  
6 system, including using the commission's unique identifier as  
7 defined in rules of the commission;

8                   (3) have a mechanism in place for receiving  
9 and investigating complaints about licensees;

10                  (4) notify the commission, in compliance with  
11 the terms of the compact and rules, of any adverse action or  
12 the availability of investigative information regarding a  
13 licensee;

14                  (5) implement or utilize procedures for  
15 considering the criminal history records of applicants for an  
16 initial compact privilege. These procedures shall include the  
17 submission of fingerprints or other biometric-based information  
18 by applicants for the purpose of obtaining an applicant's  
19 criminal history record information from the federal bureau of  
20 investigation and the agency responsible for retaining that  
21 state's criminal records within a time frame established by the  
22 commission. When a member state receives the results of the  
23 federal bureau of investigation criminal record search, the  
24 member state shall use the results in making licensure  
25 decisions. Communication between a member state and the

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1 commission and among member states regarding the verification  
2 of eligibility for licensure through the compact shall not  
3 include any information received from the federal bureau of  
4 investigation relating to a federal criminal records check  
5 performed by a member state under Public Law 92-544;

6 (6) comply with the rules of the commission;

7 (7) utilize only a recognized national  
8 examination as a requirement for licensure pursuant to the  
9 rules of the commission; and

10 (8) have continuing competence or continuing  
11 education requirements as a condition for license renewal.

12 B. A member state shall grant the compact privilege  
13 to a licensee holding a valid unencumbered license in another  
14 member state in accordance with the terms of the compact and  
15 rules.

16 C. Member states may charge a fee for granting a  
17 compact privilege.

18 D. A member state shall provide for the state's  
19 delegate to attend all occupational therapy compact commission  
20 meetings.

21 E. Individuals not residing in a member state shall  
22 continue to be able to apply for a member state's single-state  
23 license as provided under the laws of each member state.  
24 However, the single-state license granted to these individuals  
25 shall not be recognized as granting the compact privilege in

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1 any other member state.

2 F. Nothing in the compact shall affect the  
3 requirements established by a member state for the issuance of  
4 a single-state license.

5 SECTION 5. [NEW MATERIAL] COMPACT PRIVILEGE.--

6 A. To exercise the compact privilege under the  
7 terms and provisions of the compact, the licensee shall:

- 8 (1) hold a license in the home state;
- 9 (2) have a valid United States social security  
10 number or national practitioner identification number;
- 11 (3) have no encumbrance on any state license;
- 12 (4) be eligible for a compact privilege in any  
13 member state in accordance with Subsections D, F, G and H of  
14 this section;
- 15 (5) have paid all fines and completed all  
16 requirements resulting from any adverse action against any  
17 license or compact privilege, and two years have elapsed from  
18 the date of such completion;
- 19 (6) notify the commission that the licensee is  
20 seeking the compact privilege within a remote state;
- 21 (7) pay any applicable fees, including any  
22 state fee, for the compact privilege;
- 23 (8) complete a criminal background check in  
24 accordance with Section 4 of the Occupational Therapy Licensure  
25 Interstate Compact. The licensee shall be responsible for the

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1 payment of any fee associated with the completion of a criminal  
2 background check;

3 (9) meet any jurisprudence requirements  
4 established by the remote state or states in which the licensee  
5 is seeking a compact privilege; and

6 (10) report to the commission adverse action  
7 taken by any nonmember state within thirty days from the date  
8 the adverse action is taken.

9 B. The compact privilege is valid until the  
10 expiration date of the home state license. The licensee must  
11 comply with the requirements of Subsection A of this section to  
12 maintain the compact privilege in the remote state.

13 C. A licensee providing occupational therapy in a  
14 remote state under the compact privilege shall function within  
15 the laws and regulations of the remote state.

16 D. Occupational therapy assistants practicing in a  
17 remote state shall be supervised by an occupational therapist  
18 licensed or holding a compact privilege in that remote state.

19 E. A licensee providing occupational therapy in a  
20 remote state is subject to that state's regulatory authority.

21 A remote state may, in accordance with due process and that  
22 state's laws, remove a licensee's compact privilege in the  
23 remote state for a specific period of time, impose fines or  
24 take any other necessary actions to protect the health and  
25 safety of its citizens. The licensee may be ineligible for a

1 compact privilege in any state until the specific time for  
2 removal has passed and all fines are paid.

3 F. If a home state license is encumbered, the  
4 licensee shall lose the compact privilege in any remote state  
5 until the following occur:

6 (1) the home state license is no longer  
7 encumbered; and

8 (2) two years have elapsed from the date on  
9 which the home state license became unencumbered.

10 G. Once an encumbered license in the home state is  
11 restored to good standing, the licensee must meet the  
12 requirements of Subsection A of this section to obtain a  
13 compact privilege in any remote state.

14 H. If a licensee's compact privilege in any remote  
15 state is removed, the individual may lose the compact privilege  
16 in any other remote state until the following occur:

17 (1) the specific period of time for which the  
18 compact privilege was removed has ended;

19 (2) all fines have been paid and all  
20 conditions have been met;

21 (3) two years have elapsed from the date in  
22 which the requirements in Paragraphs (1) and (2) of this  
23 subsection were completed; and

24 (4) the compact privileges are reinstated by  
25 the commission, and the compact data system is updated to

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1 reflect reinstatement.

2 I. If a licensee's compact privilege in any remote  
3 state is removed due to an erroneous charge, privileges shall  
4 be restored through the compact data system.

5 J. Once the requirements of Subsection H have been  
6 met, the licensee must meet the requirements in Subsection A of  
7 this section to obtain a compact privilege in a remote state.

8 SECTION 6. ~~[NEW MATERIAL]~~ OBTAINING A NEW HOME STATE  
9 LICENSE BY VIRTUE OF COMPACT PRIVILEGE.--

10 A. An occupational therapist or occupational  
11 therapy assistant may hold a home state license, which allows  
12 for compact privileges in member states, in only one member  
13 state at a time.

14 B. If an occupational therapist or occupational  
15 therapy assistant changes primary state of residence by moving  
16 between two member states:

17 (1) the occupational therapist or occupational  
18 therapy assistant shall file an application for obtaining a new  
19 home state license by virtue of a compact privilege, pay all  
20 applicable fees and notify the current and new home state in  
21 accordance with applicable rules adopted by the commission;

22 (2) upon receipt of an application for  
23 obtaining a new home state license by virtue of compact  
24 privilege, the new home state shall verify that the  
25 occupational therapist or occupational therapy assistant meets

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1 the pertinent criteria outlined in Section 5 of the  
2 Occupational Therapy Licensure Interstate Compact via the data  
3 system, without need for primary source verification except  
4 for:

5 (a) a federal bureau of investigation  
6 fingerprint-based criminal background check, if not previously  
7 performed or updated pursuant to applicable rules adopted by  
8 the commission in accordance with Public Law 92-544;

9 (b) any other criminal background check  
10 as required by the new home state; and

11 (c) submission of any requisite  
12 jurisprudence requirements of the new home state;

13 (3) the former home state shall convert the  
14 former home state license into a compact privilege once the new  
15 home state has activated the new home state license in  
16 accordance with applicable rules adopted by the commission;

17 (4) notwithstanding any other provision of the  
18 compact, if the occupational therapist or occupational therapy  
19 assistant cannot meet the requirements in Section 5 of the  
20 Occupational Therapy Licensure Interstate Compact, the new home  
21 state shall apply its requirements for issuing a new single-  
22 state license; and

23 (5) the occupational therapist or the  
24 occupational therapy assistant shall pay all applicable fees to  
25 the new home state in order to be issued a new home state

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1 license.

2 C. If an occupational therapist or occupational  
3 therapy assistant changes primary state of residence by moving  
4 from a member state to a nonmember state, or from a nonmember  
5 state to a member state, the state criteria shall apply for  
6 issuance of a single-state license in the new state.

7 D. Nothing in the compact shall interfere with a  
8 licensee's ability to hold a single-state license in multiple  
9 states; however, for the purposes of the compact, a licensee  
10 shall have only one home state license.

11 E. Nothing in the compact shall affect the  
12 requirements established by a member state for the issuance of  
13 a single-state license.

14 SECTION 7. [NEW MATERIAL] ACTIVE DUTY MILITARY PERSONNEL  
15 OR THEIR SPOUSES.--Active duty military personnel, or their  
16 spouses, shall designate a home state where the individual has  
17 a current license in good standing. The individual may retain  
18 the home state designation during the period the service member  
19 is on active duty. Subsequent to designating a home state, the  
20 individual shall only change the individual's home state  
21 through application for licensure in the new state or through  
22 the process described in Section 6 of the Occupational Therapy  
23 Licensure Interstate Compact.

24 SECTION 8. [NEW MATERIAL] ADVERSE ACTIONS.--

25 A. A home state shall have exclusive power to

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1 impose adverse action against an occupational therapist's or  
2 occupational therapy assistant's license issued by the home  
3 state.

4 B. In addition to the other powers conferred by  
5 state law, a remote state shall have the authority, in  
6 accordance with existing state due process law, to:

7 (1) take adverse action against an  
8 occupational therapist's or occupational therapy assistant's  
9 compact privilege within that member state; and

10 (2) issue subpoenas for both hearings and  
11 investigations that require the attendance and testimony of  
12 witnesses as well as the production of evidence. Subpoenas  
13 issued by a licensing board in a member state for the  
14 attendance and testimony of witnesses or the production of  
15 evidence from another member state shall be enforced in the  
16 latter state by any court of competent jurisdiction according  
17 to the practice and procedure of that court applicable to  
18 subpoenas issued in proceedings pending before it. The issuing  
19 authority shall pay any witness fees, travel expenses, mileage  
20 and other fees required by the service statutes of the state in  
21 which the witnesses or evidence are located.

22 C. For purposes of taking adverse action, the home  
23 state shall give the same priority and effect to reported  
24 conduct received from a member state as it would if the conduct  
25 had occurred within the home state. In so doing, the home

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1 state shall apply its own state laws to determine appropriate  
2 action.

3 D. The home state shall complete any pending  
4 investigations of an occupational therapist or occupational  
5 therapy assistant who changes primary state of residence during  
6 the course of the investigations. The home state shall also  
7 have the authority to take appropriate action and shall  
8 promptly report the conclusions of the investigations to the  
9 data system. The data system administrator shall promptly  
10 notify the new home state of any adverse actions.

11 E. If otherwise permitted by state law, a member  
12 state may recover from the affected occupational therapist or  
13 occupational therapy assistant the costs of investigations and  
14 disposition of cases resulting from any adverse action taken  
15 against that occupational therapist or occupational therapy  
16 assistant.

17 F. A member state may take adverse action based on  
18 the factual findings of the remote state, provided that the  
19 member state follows its own procedures for taking the adverse  
20 action.

21 G. In addition to the authority granted to a member  
22 state by its respective state occupational therapy laws and  
23 regulations or other applicable state law, any member state may  
24 participate with other member states in joint investigations of  
25 licensees. Member states shall share any investigative,

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1 litigation or compliance materials in furtherance of any joint  
2 or individual investigation initiated under the compact.

3 H. If adverse action is taken by the home state  
4 against an occupational therapist's or occupational therapy  
5 assistant's license, the occupational therapist's or  
6 occupational therapy assistant's compact privilege in all other  
7 member states shall be deactivated until all encumbrances have  
8 been removed from the state license. All home state  
9 disciplinary orders that impose adverse action against an  
10 occupational therapist's or occupational therapy assistant's  
11 license shall include a statement that the occupational  
12 therapist's or occupational therapy assistant's compact  
13 privilege is deactivated in all member states during the  
14 pendency of the order.

15 I. If a member state takes adverse action, it shall  
16 promptly notify the administrator of the data system. The  
17 administrator of the data system shall promptly notify the home  
18 state of any adverse actions by remote states.

19 J. Nothing in the compact shall override a member  
20 state's decision that participation in an alternative program  
21 may be used in lieu of adverse action.

22 SECTION 9. [NEW MATERIAL] OCCUPATIONAL THERAPY COMPACT  
23 COMMISSION--CREATED.--

24 A. The compact member states hereby create and  
25 establish a joint public agency known as the "occupational

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1 therapy compact commission", subject to the following  
2 provisions:

3 (1) the commission is an instrumentality of  
4 the compact states;

5 (2) venue is proper and judicial proceedings  
6 by or against the commission shall be brought solely and  
7 exclusively in a court of competent jurisdiction where the  
8 principal office of the commission is located. The commission  
9 may waive venue and jurisdictional defenses to the extent it  
10 adopts or consents to participate in alternative dispute  
11 resolution proceedings; and

12 (3) nothing in the compact shall be construed  
13 to be a waiver of sovereign immunity.

14 B. Membership, voting and meetings of the  
15 commission shall proceed as follows:

16 (1) each member state shall have and be  
17 limited to one delegate selected by that member state's  
18 licensing board. The delegate shall be either:

19 (a) a current member of the licensing  
20 board who is an occupational therapist, occupational therapy  
21 assistant or public member; or

22 (b) an administrator of the licensing  
23 board;

24 (2) any delegate may be removed or suspended  
25 from office as provided by the law of the state from which the

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1 delegate is appointed;

2 (3) the member state licensing board shall  
3 fill any vacancy occurring in the commission within ninety  
4 days;

5 (4) each delegate shall be entitled to one  
6 vote with regard to the promulgation of rules and creation of  
7 bylaws and shall otherwise have an opportunity to participate  
8 in the business and affairs of the commission;

9 (5) a delegate shall vote in person or by such  
10 other means as provided in the bylaws. The bylaws may provide  
11 for delegates' participation in meetings by telephone or other  
12 means of communication;

13 (6) the commission shall meet at least once  
14 during each calendar year. Additional meetings shall be held  
15 as set forth in the bylaws; and

16 (7) the commission shall establish by rule a  
17 term of office for delegates.

18 C. The commission shall:

19 (1) establish a code of ethics for the  
20 commission;

21 (2) establish the fiscal year of the  
22 commission;

23 (3) establish bylaws;

24 (4) maintain its financial records in  
25 accordance with the bylaws;

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1 (5) meet and take such actions as are  
2 consistent with the provisions of the compact and the bylaws;

3 (6) promulgate uniform rules to facilitate and  
4 coordinate implementation and administration of the compact.

5 The rules shall have the force and effect of law and shall be  
6 binding in all member states;

7 (7) bring and prosecute legal proceedings or  
8 actions in the name of the commission; provided that the  
9 standing of any state occupational therapy licensing board to  
10 sue or be sued under applicable law shall not be affected;

11 (8) purchase and maintain insurance and bonds;

12 (9) borrow, accept or contract for services of  
13 personnel, including employees of a member state;

14 (10) hire employees, elect or appoint  
15 officers, fix compensation, define duties, grant such  
16 individuals appropriate authority to carry out the purposes of  
17 the compact and establish the commission's personnel policies  
18 and programs relating to conflicts of interest, qualifications  
19 of personnel and other related personnel matters;

20 (11) accept any and all appropriate donations  
21 and grants of money, equipment, supplies, materials and  
22 services and receive, utilize and dispose of the same; provided  
23 that at all times the commission shall avoid any appearance of  
24 impropriety or conflict of interest;

25 (12) lease, purchase, accept appropriate gifts

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1 or donations of, or otherwise own, hold, improve or use, any  
2 property, whether real, personal or mixed; provided that at all  
3 times the commission shall avoid any appearance of impropriety;

4 (13) sell, convey, mortgage, pledge, lease,  
5 exchange, abandon or otherwise dispose of any property, whether  
6 real, personal or mixed;

7 (14) establish a budget and make expenditures;

8 (15) borrow money;

9 (16) appoint committees, including standing  
10 committees composed of members, state regulators, state  
11 legislators or their representatives, consumer representatives  
12 and such other interested persons as may be designated in the  
13 compact and the bylaws;

14 (17) provide and receive information from, and  
15 cooperate with, law enforcement agencies;

16 (18) establish and elect an executive  
17 committee; and

18 (19) perform such other functions as may be  
19 necessary or appropriate to achieve the purposes of the compact  
20 consistent with the state regulation of occupational therapy  
21 licensure and practice.

22 D. The executive committee shall have the power to  
23 act on behalf of the commission according to the terms of the  
24 compact and shall be composed of nine members as follows:

25 (1) seven voting members who are elected by

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1 the commission from the current membership of the commission;

2 (2) one nonvoting ex-officio member from a  
3 recognized national occupational therapy professional  
4 association; and

5 (3) one nonvoting ex-officio member from a  
6 recognized national occupational therapy certification  
7 organization.

8 E. The ex-officio members of the executive  
9 committee shall be selected by their respective organizations.

10 F. The commission may remove any member of the  
11 executive committee as provided in bylaws.

12 G. The executive committee shall meet at least  
13 annually.

14 H. The executive committee shall have the following  
15 duties and responsibilities:

16 (1) recommend to the entire commission changes  
17 to the rules or bylaws, changes to the compact legislation,  
18 fees paid by compact member states, such as annual dues and any  
19 commission compact fee charged to licensees for the compact  
20 privilege;

21 (2) ensure that compact administration  
22 services are appropriately provided, contractual or otherwise;

23 (3) prepare and recommend a budget;

24 (4) maintain financial records on behalf of  
25 the commission;

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1 (5) monitor compact compliance of member  
2 states and provide compliance reports to the commission;

3 (6) establish additional committees as  
4 necessary; and

5 (7) carry out other duties as provided in  
6 rules or bylaws.

7 I. All meetings of the commission shall be open to  
8 the public, and public notice of meetings shall be given in the  
9 same manner as required under the rulemaking provisions in  
10 Section 12 of the Occupational Therapy Licensure Interstate  
11 Compact.

12 J. The commission or the executive committee or  
13 other committees of the commission may convene in a closed,  
14 nonpublic meeting if the commission or executive committee or  
15 other committees of the commission must discuss:

16 (1) noncompliance of a member state with its  
17 obligations under the compact;

18 (2) the employment, compensation, discipline  
19 or other matters, practices or procedures related to specific  
20 employees or other matters related to the commission's internal  
21 personnel practices and procedures;

22 (3) current, threatened or reasonably  
23 anticipated litigation;

24 (4) negotiation of contracts for the purchase,  
25 lease or sale of goods, services or real estate;

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1 (5) accusations made of any person of a crime  
2 or formal censuring of any person;

3 (6) disclosure of trade secrets or commercial  
4 or financial information that is privileged or confidential;

5 (7) disclosure of information of a personal  
6 nature where disclosure would constitute a clearly unwarranted  
7 invasion of personal privacy;

8 (8) disclosure of investigative records  
9 compiled for law enforcement purposes;

10 (9) disclosure of information related to any  
11 investigative reports prepared by or on behalf of or for use of  
12 the commission or other committee charged with responsibility  
13 of investigation or determination of compliance issues pursuant  
14 to the compact; or

15 (10) matters specifically exempted from  
16 disclosure by federal or member state statute.

17 K. If a meeting, or portion of a meeting, is closed  
18 pursuant to Subsection J of this section, the commission's  
19 legal counsel or designee shall certify that the meeting may be  
20 closed and shall reference each relevant exempting provision.

21 L. The commission shall keep minutes that fully and  
22 clearly describe all matters discussed in a meeting and shall  
23 provide a full and accurate summary of actions taken, and the  
24 reasons therefore, including a description of the views  
25 expressed. All documents considered in connection with an

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1 action shall be identified in the minutes. All minutes and  
2 documents of a closed meeting shall remain under seal, subject  
3 to release by a majority vote of the commission or order of a  
4 court of competent jurisdiction.

5 M. The commission shall pay, or provide for the  
6 payment of, the reasonable expenses of its establishment,  
7 organization and ongoing activities. The commission may accept  
8 any and all appropriate revenue sources, donations and grants  
9 of money, equipment, supplies, materials and services. The  
10 commission may levy on and collect an annual assessment from  
11 each member state or impose fees on other parties to cover the  
12 cost of the operations and activities of the commission and its  
13 staff, which must be in a total amount sufficient to cover its  
14 annual budget as approved by the commission each year for which  
15 revenue is not provided by other sources. The aggregate annual  
16 assessment amount shall be allocated based upon a formula to be  
17 determined by the commission, which shall promulgate a rule  
18 binding upon all member states.

19 N. The commission shall not incur obligations of  
20 any kind prior to securing the funds adequate to meet the  
21 obligations; nor shall the commission pledge the credit of any  
22 of the member states, except by and with the authority of the  
23 member state.

24 O. The commission shall keep accurate accounts of  
25 all receipts and disbursements. The receipts and disbursements

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1 of the commission shall be subject to the audit and accounting  
2 procedures established under its bylaws. However, all receipts  
3 and disbursements of funds handled by the commission shall be  
4 audited yearly by a certified or licensed public accountant,  
5 and the report of the audit shall be included in and become  
6 part of the annual report of the commission.

7 SECTION 10. [NEW MATERIAL] QUALIFIED IMMUNITY, DEFENSE  
8 AND INDEMNIFICATION.--

9 A. The members, officers, executive director,  
10 employees and representatives of the commission shall be immune  
11 from suit and liability, either personally or in their official  
12 capacity, for any claim for damage to or loss of property or  
13 personal injury or other civil liability caused by or arising  
14 out of any actual or alleged act, error or omission that  
15 occurred, or that the person against whom the claim is made had  
16 a reasonable basis for believing occurred within the scope of  
17 commission employment, duties or responsibilities; provided  
18 that nothing in this subsection shall be construed to protect  
19 any such person from suit or liability for any damage, loss,  
20 injury or liability caused by the intentional or willful or  
21 wanton misconduct of that person.

22 B. The commission shall defend any member, officer,  
23 executive director, employee or representative of the  
24 commission in any civil action seeking to impose liability  
25 arising out of any actual or alleged act, error or omission

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1 that occurred within the scope of commission employment, duties  
2 or responsibilities, or that the person against whom the claim  
3 is made had a reasonable basis for believing occurred within  
4 the scope of commission employment, duties or responsibilities;  
5 provided that nothing in this section shall be construed to  
6 prohibit that person from retaining counsel; and further  
7 provided that the actual or alleged act, error or omission did  
8 not result from that person's intentional or willful or wanton  
9 misconduct.

10 C. The commission shall indemnify and hold harmless  
11 any member, officer, executive director, employee or  
12 representative of the commission for the amount of any  
13 settlement or judgment obtained against that person arising out  
14 of any actual or alleged act, error or omission that occurred  
15 within the scope of commission employment, duties or  
16 responsibilities, or that such person had a reasonable basis  
17 for believing occurred within the scope of commission  
18 employment, duties or responsibilities; provided that the  
19 actual or alleged act, error or omission did not result from  
20 the intentional or willful or wanton misconduct of that person.

21 SECTION 11. [NEW MATERIAL] DATA SYSTEM.--

22 A. The commission shall provide for the  
23 development, maintenance and utilization of a coordinated  
24 database and reporting system containing licensure, adverse  
25 action and investigative information on all licensed

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1 individuals in member states.

2 B. A member state shall submit a uniform data set  
3 to the data system on all individuals to whom the compact is  
4 applicable, using a unique identifier, as required by the rules  
5 of the commission, including:

6 (1) identifying information;

7 (2) licensure data;

8 (3) adverse actions against a license or  
9 compact privilege;

10 (4) non-confidential information related to  
11 alternative program participation;

12 (5) any denial of application for licensure,  
13 and the reason for such denial;

14 (6) other information that may facilitate the  
15 administration of the compact, as determined by the rules of  
16 the commission; and

17 (7) current significant investigative  
18 information.

19 C. Current significant investigative information  
20 and other investigative information pertaining to a licensee in  
21 any member state shall only be available to other member  
22 states.

23 D. The commission shall promptly notify all member  
24 states of any adverse action taken against a licensee or an  
25 individual applying for a license. Adverse action information

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1     pertaining to a licensee in any member state will be available  
2     to any other member state.

3             E. Member states contributing information to the  
4     data system may designate information that may not be shared  
5     with the public without the express permission of the  
6     contributing state.

7             F. Any information submitted to the data system  
8     that is subsequently required to be expunged by the laws of the  
9     member state contributing the information shall be removed from  
10    the data system.

11            SECTION 12.  [NEW MATERIAL] RULEMAKING.--

12            A. The commission shall exercise its rulemaking  
13    powers pursuant to the criteria set forth in this section and  
14    the rules adopted thereunder.  Rules and amendments shall  
15    become binding as of the date specified in each rule or  
16    amendment.

17            B. The commission shall promulgate reasonable rules  
18    in order to effectively and efficiently achieve the purposes of  
19    the compact.  In the event the commission exercises its  
20    rulemaking authority in a manner that is beyond the scope of  
21    the purposes of the compact, such an action by the commission  
22    shall be invalid and have no force and effect.

23            C. If a majority of the legislatures of the member  
24    states rejects a rule, by enactment of a statute or resolution  
25    in the same manner used to adopt the compact within four years

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1 of the date of adoption of the rule, the rule shall have no  
2 further force and effect in any member state.

3 D. Rules or amendments to the rules shall be  
4 adopted at a regular or special meeting of the commission.

5 E. Prior to promulgation and adoption of a final  
6 rule by the commission, and at least thirty days in advance of  
7 the meeting at which the rule will be considered and voted  
8 upon, the commission shall file a notice of proposed  
9 rulemaking:

10 (1) on the website of the commission or other  
11 publicly accessible platform; and

12 (2) on the website of each member state  
13 occupational therapy licensing board or other publicly  
14 accessible platform or the publication in which each state  
15 would otherwise publish proposed rules.

16 F. The notice of proposed rulemaking shall include:

17 (1) the proposed time, date and location of  
18 the meeting in which the rule will be considered and voted  
19 upon;

20 (2) the text of the proposed rule or amendment  
21 and the reason for the proposed rule;

22 (3) a request for comments on the proposed  
23 rule from any interested person; and

24 (4) the manner in which interested persons may  
25 submit notice to the commission of their intention to attend

1 the public hearing and any written comments.

2 G. Prior to adoption of a proposed rule, the  
3 commission shall allow persons to submit written data, facts,  
4 opinions and arguments, which shall be made available to the  
5 public.

6 H. The commission shall grant an opportunity for a  
7 public hearing before it adopts a rule or amendment if a  
8 hearing is requested by:

9 (1) at least twenty-five persons;

10 (2) a state or federal governmental  
11 subdivision or agency; or

12 (3) an association or organization having at  
13 least twenty-five members.

14 I. If a hearing is held on the proposed rule or  
15 amendment, the commission shall publish the place, time and  
16 date of the scheduled public hearing. If the hearing is held  
17 via electronic means, the commission shall publish the  
18 mechanism for access to the electronic hearing and the  
19 procedure for hearings shall be conducted according to the  
20 following provisions:

21 (1) all persons wishing to be heard at the  
22 hearing shall notify the executive director of the commission  
23 or other designated member in writing of their desire to appear  
24 and testify at the hearing not less than five business days  
25 before the scheduled date of the hearing;

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1 (2) hearings shall be conducted in a manner  
2 providing each person who wishes to comment a fair and  
3 reasonable opportunity to comment orally or in writing;

4 (3) all hearings will be recorded. A copy of  
5 the recording will be made available on request; and

6 (4) nothing in this section shall be construed  
7 as requiring a separate hearing on each rule. Rules may be  
8 grouped for the convenience of the commission at hearings  
9 required by this section.

10 J. Following the scheduled hearing date, or by the  
11 close of business on the scheduled hearing date if the hearing  
12 was not held, the commission shall consider all written and  
13 oral comments received.

14 K. If no written notice of intent to attend the  
15 public hearing by interested parties is received, the  
16 commission may proceed with promulgation of the proposed rule  
17 without a public hearing.

18 L. The commission shall, by majority vote of all  
19 members, take final action on the proposed rule and shall  
20 determine the effective date of the rule, if any, based on the  
21 rulemaking record and the full text of the rule.

22 M. Upon determination that an emergency exists, the  
23 commission may consider and adopt an emergency rule without  
24 prior notice, opportunity for comment or hearing; provided that  
25 the usual rulemaking procedures provided in the compact and in

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1 this section shall be retroactively applied to the rule as soon  
2 as reasonably possible, but in no event later than ninety days  
3 after the effective date of the rule. For the purposes of this  
4 provision, an emergency rule is one that must be adopted  
5 immediately in order to:

6 (1) meet an imminent threat to public health,  
7 safety or welfare;

8 (2) prevent a loss of commission or member  
9 state funds;

10 (3) meet a deadline for the promulgation of an  
11 administrative rule that is established by federal law or rule;  
12 or

13 (4) protect public health and safety.

14 N. The commission or an authorized committee of the  
15 commission may direct revisions to a previously adopted rule or  
16 amendment for purposes of correcting typographical errors,  
17 errors in format, errors in consistency or grammatical errors.  
18 Public notice of any revisions shall be posted on the website  
19 of the commission. The revision shall be subject to challenge  
20 by any person for a period of thirty days after posting. The  
21 revision may be challenged only on grounds that the revision  
22 results in a material change to a rule. A challenge shall be  
23 made in writing and delivered to the chair of the commission  
24 prior to the end of the notice period. If no challenge is  
25 made, the revision shall take effect without further action.

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1 If the revision is challenged, the revision may not take effect  
2 without the approval of the commission.

3 SECTION 13. [NEW MATERIAL] OVERSIGHT, DISPUTE RESOLUTION  
4 AND ENFORCEMENT.--

5 A. The executive, legislative and judicial branches  
6 of state government in each member state shall enforce the  
7 compact and take all actions necessary and appropriate to  
8 effectuate the compact's purposes and intent. The provisions  
9 of the compact and the rules promulgated hereunder shall have  
10 standing as statutory law.

11 B. All courts shall take judicial notice of the  
12 compact and the rules in any judicial or administrative  
13 proceeding in a member state pertaining to the subject matter  
14 of the compact which may affect the powers, responsibilities or  
15 actions of the commission. The commission shall be entitled to  
16 receive service of process in any judicial or administrative  
17 proceeding and shall have standing to intervene in such a  
18 proceeding for all purposes. Failure to provide service of  
19 process to the commission shall render a judgment or order void  
20 as to the commission, the compact or promulgated rules.

21 C. If the commission determines that a member state  
22 has defaulted in the performance of its obligations or  
23 responsibilities under the compact or the promulgated rules,  
24 the commission shall:

25 (1) provide written notice to the defaulting

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1 state and other member states of the nature of the default, the  
2 proposed means of curing the default or any other action to be  
3 taken by the commission; and

4 (2) provide remedial training and specific  
5 technical assistance regarding the default.

6 D. If a state in default fails to cure the default,  
7 the defaulting state may be terminated from the compact upon an  
8 affirmative vote of a majority of the member states, and all  
9 rights, privileges and benefits conferred by the compact may be  
10 terminated on the effective date of termination. A cure of the  
11 default does not relieve the offending state of obligations or  
12 liabilities incurred during the period of default.

13 E. Termination of membership in the compact shall  
14 be imposed only after all other means of securing compliance  
15 have been exhausted. Notice of intent to suspend or terminate  
16 shall be given by the commission to the governor, the majority  
17 and minority leaders of the defaulting state's legislature and  
18 each of the member states.

19 F. A state that has been terminated is responsible  
20 for all assessments, obligations and liabilities incurred  
21 through the effective date of termination, including  
22 obligations that extend beyond the effective date of  
23 termination.

24 G. The commission shall not bear any costs related  
25 to a state that is found to be in default or that has been

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1 terminated from the compact, unless agreed upon in writing  
2 between the commission and the defaulting state.

3 H. The defaulting state may appeal the action of  
4 the commission by petitioning the United States district court  
5 for the District of Columbia or the federal district where the  
6 commission has its principal offices. The prevailing member  
7 shall be awarded all costs of such litigation, including  
8 reasonable attorney fees.

9 I. Upon request by a member state, the commission  
10 shall attempt to resolve disputes related to the compact that  
11 arise among member states and between member and nonmember  
12 states.

13 J. The commission shall promulgate a rule providing  
14 for both mediation and binding dispute resolution for disputes  
15 as appropriate.

16 K. The commission, in the reasonable exercise of  
17 its discretion, shall enforce the provisions and rules of the  
18 compact.

19 L. By majority vote, the commission may initiate  
20 legal action in the United States district court for the  
21 District of Columbia or the federal district where the  
22 commission has its principal offices against a member state in  
23 default to enforce compliance with the provisions of the  
24 compact and its promulgated rules and bylaws. The relief  
25 sought may include both injunctive relief and damages. In the

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1 event judicial enforcement is necessary, the prevailing member  
2 shall be awarded all costs of such litigation, including  
3 reasonable attorney fees.

4 M. The remedies provided in the compact shall not  
5 be the exclusive remedies of the commission. The commission  
6 may pursue any other remedies available under federal or state  
7 law.

8 SECTION 14. [NEW MATERIAL] DATE OF IMPLEMENTATION OF THE  
9 OCCUPATIONAL THERAPY LICENSURE INTERSTATE COMPACT AND  
10 ASSOCIATED RULES, WITHDRAWAL AND AMENDMENT.--

11 A. The compact shall come into effect on the date  
12 on which the compact statute is enacted into law in the tenth  
13 member state. The provisions, which become effective at that  
14 time, shall be limited to the powers granted to the commission  
15 relating to assembly and the promulgation of rules.  
16 Thereafter, the commission shall meet and exercise rulemaking  
17 powers necessary to the implementation and administration of  
18 the compact.

19 B. Any state that joins the compact subsequent to  
20 the commission's initial adoption of the rules shall be subject  
21 to the rules as they exist on the date on which the compact  
22 becomes law in that state. Any rule that has been previously  
23 adopted by the commission shall have the full force and effect  
24 of law on the day the compact becomes law in that state.

25 C. Any member state may withdraw from the compact

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1 by enacting a statute repealing the same.

2 D. A member state's withdrawal shall not take  
3 effect until six months after enactment of the repealing  
4 statute.

5 E. Withdrawal shall not affect the continuing  
6 requirement of the withdrawing state's occupational therapy  
7 licensing board to comply with the investigative and adverse  
8 action reporting requirements of the compact prior to the  
9 effective date of withdrawal.

10 F. Nothing contained in the compact shall be  
11 construed to invalidate or prevent any occupational therapy  
12 licensure agreement or other cooperative arrangement between a  
13 member state and a nonmember state that does not conflict with  
14 the provisions of the compact.

15 G. The compact may be amended by the member states.  
16 No amendment to the compact shall become effective and binding  
17 upon any member state until it is enacted into the laws of all  
18 member states.

19 SECTION 15. [NEW MATERIAL] CONSTRUCTION AND  
20 SEVERABILITY.--The compact shall be liberally construed so as  
21 to effectuate the purposes of the compact. The provisions of  
22 the compact shall be severable and if any phrase, clause,  
23 sentence or provision of the compact is declared to be contrary  
24 to the constitution of any member state or of the United States  
25 or the applicability thereof to any government, agency, person

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1 or circumstance is held invalid, the validity of the remainder  
2 of the compact and the applicability thereof to any government,  
3 agency, person or circumstance shall not be affected thereby.  
4 If the compact shall be held contrary to the constitution of  
5 any member state, the compact shall remain in full force and  
6 effect as to the remaining member states and in full force and  
7 effect as to the member state affected as to all severable  
8 matters.

9 SECTION 16. [NEW MATERIAL] BINDING EFFECT OF COMPACT AND  
10 OTHER LAWS.--

11 A. A licensee providing occupational therapy in a  
12 remote state under the compact privilege shall function within  
13 the laws and regulations of the remote state.

14 B. Nothing in the compact prevents the enforcement  
15 of any other law of a member state that is not inconsistent  
16 with the compact.

17 C. Any laws in a member state in conflict with the  
18 compact are superseded to the extent of the conflict.

19 D. Any lawful actions of the commission, including  
20 all rules and bylaws promulgated by the commission, are binding  
21 upon the member states.

22 E. All agreements between the commission and the  
23 member states are binding in accordance with their terms.

24 F. In the event any provision of the compact  
25 exceeds the constitutional limits imposed on the legislature of

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1 any member state, the provision shall be ineffective to the  
2 extent of the conflict with the constitutional provision in  
3 question in that member state.

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