## THE GENERAL ASSEMBLY OF PENNSYLVANIA

## **HOUSE BILL**

No. 1749 Session of 2019

INTRODUCED BY TOOHIL, OWLETT, MILLARD, KAUFFMAN, KENYATTA, T. DAVIS, SCHLOSSBERG, JOHNSON-HARRELL, NEILSON, HOWARD, THOMAS, CIRESI, BROWN, STRUZZI, PASHINSKI, YOUNGBLOOD, WARREN AND SCHWEYER, AUGUST 16, 2019

REFERRED TO COMMITTEE ON CHILDREN AND YOUTH, AUGUST 16, 2019

## AN ACT

- Amending Title 23 (Domestic Relations) of the Pennsylvania 1 Consolidated Statutes, in child protective services, further providing for definitions and for release of information in confidential reports; and providing for a Children's Advocate and remedial powers, for response to complaints, for 5 cooperation of agencies and providers, for confidentiality of 6 investigations and records, for findings and recommendations, 7 for protection from retaliation and for nonexclusivity of remedy. 9 10 The General Assembly of the Commonwealth of Pennsylvania 11 hereby enacts as follows: 12 Section 1. Section 6303(a) of Title 23 of the Pennsylvania 13 Consolidated Statutes is amended by adding a definition to read: 14 § 6303. Definitions. General rule. -- The following words and phrases when used 15 16 in this chapter shall have the meanings given to them in this 17 section unless the context clearly indicates otherwise:
- 19 <u>"Children's Advocate." The Children's Advocate established</u>
- 20 under section 6379.3 (relating to Children's Advocate).

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- 1 \* \* \*
- 2 Section 2. Section 6340(a) of Title 23 is amended by adding
- 3 a paragraph to read:
- 4 § 6340. Release of information in confidential reports.
- 5 (a) General rule. -- Reports specified in section 6339
- 6 (relating to confidentiality of reports) shall only be made
- 7 available to:
- 8 \* \* \*
- 9 (19) The Children's Advocate.
- 10 \* \* \*
- 11 Section 3. Chapter 63 of Title 23 is amended by adding a
- 12 subchapter to read:
- SUBCHAPTER D.1
- 14 CHILDREN'S ADVOCATE
- 15 Sec.
- 16 <u>6379.1. Scope of subchapter.</u>
- 17 <u>6379.2.</u> <u>Definitions.</u>
- 18 6379.3. Children's Advocate.
- 19 6379.4. Powers and duties of Children's Advocate.
- 20 6379.5. Investigative and remedial powers.
- 21 6379.6. Response to complaints.
- 22 <u>6379.7.</u> Cooperation of agencies and providers.
- 23 6379.8. Confidentiality of investigations and records.
- 24 6379.9. Report of findings and recommendations.
- 25 <u>6379.10. Annual report.</u>
- 26 6379.11. Protection from retaliation.
- 27 <u>6379.12. Obstruction.</u>
- 28 <u>6379.13</u>. Nonexclusivity of remedy.
- 29 § 6379.1. Scope of subchapter.
- 30 This subchapter relates to the Children's Advocate.

- 1 § 6379.2. Definitions.
- 2 The following words and phrases when used in this subchapter
- 3 shall have the meanings given to them in this section unless the
- 4 <u>context clearly indicates otherwise:</u>
- 5 "Administrative agency." A State or county agency that
- 6 provides services to a child who is the subject of an
- 7 <u>investigation conducted by the Children's Advocate under this</u>
- 8 <u>subchapter.</u>
- 9 <u>"Child welfare services" or "child-care services." As</u>
- 10 defined in section 6303 (relating to definitions). The term does
- 11 not include services provided by a child day-care center or
- 12 group and family day-care home.
- 13 "Complainant." An individual who makes a complaint under
- 14 <u>this subchapter.</u>
- 15 <u>"Remediable action."</u> An action by an administrative agency
- 16 or an agent of the administrative agency that is:
- 17 (1) contrary to law, rule or policy;
- 18 (2) imposed without adequate statement of reason; or
- 19 (3) based on irrelevant or erroneous grounds.
- 20 § 6379.3. Children's Advocate.
- 21 (a) Establishment. -- The Office of Children's Advocate is
- 22 <u>established within the Office of Attorney General.</u>
- 23 (b) Appointment. -- Within 60 days of the effective date of
- 24 this section, the Attorney General shall appoint a Children's
- 25 Advocate, subject to confirmation by a majority of the
- 26 membership of the Senate. The Children's Advocate shall be
- 27 <u>qualified by training and experience to perform the duties and</u>
- 28 exercise the powers of the Children's Advocate as provided in
- 29 <u>this subchapter.</u>
- 30 (c) Term of office.--The Children's Advocate shall hold

- 1 office for a term of five years and shall continue to hold
- 2 office until a successor is appointed. The Attorney General may
- 3 reappoint the Children's Advocate then serving for one
- 4 additional term, subject to Senate confirmation. A vacancy shall
- 5 <u>be filled by appointment for the remainder of the unexpired</u>
- 6 term. A Children's Advocate who has served part of an unexpired
- 7 term may serve up to two additional terms.
- 8 (d) Removal. -- The Attorney General may remove the Children's
- 9 Advocate only for neglect of duty, misconduct or inability to
- 10 perform duties. The Attorney General shall provide a report to
- 11 the General Assembly citing the reasons for removal.
- 12 (e) Administrative support. -- The Children's Advocate shall
- 13 <u>be established as an entity in the Office of Attorney General</u>
- 14 <u>for purposes of administrative support.</u>
- 15 § 6379.4. Powers and duties of Children's Advocate.
- 16 (a) General rule. -- The Children's Advocate shall have the
- 17 following powers and duties:
- 18 (1) To receive, process and investigate complaints under
- 19 this subchapter.
- 20 (2) To pursue legislative advocacy in the best interests
- 21 of children.
- 22 (3) To examine policies and procedures utilized by
- 23 administrative agencies, including investigatory protocols,
- 24 reporting systems and emergency response procedures.
- 25 (4) To identify and make appropriate recommendations to
- the Governor, the General Assembly, the Supreme Court and the
- 27 <u>Attorney General concerning issues affecting the welfare of</u>
- children.
- 29 <u>(5) To identify and make appropriate recommendations to </u>
- 30 the department regarding uniformity of procedures utilized by

- 1 administrative agencies.
- 2 (6) Subject to annual appropriations, to employ
- 3 sufficient personnel to carry out the powers and duties
- 4 <u>prescribed by this subchapter.</u>
- 5 (7) To manage the expenditure of appropriated funds.
- 6 (8) To advise the public of services of the advocate,
- 7 <u>the purposes of the Office of Children's Advocate and the</u>
- 8 procedures to contact the Office of Children's Advocate.
- 9 (9) To prescribe procedures necessary to carry out the
- 10 powers and duties of the Children's Advocate.
- 11 (b) Limitation. -- The Children's Advocate may not overrule or
- 12 <u>overturn an action by an administrative agency or court.</u>
- 13 § 6379.5. Investigative and remedial powers.
- 14 The Children's Advocate may:
- 15 (1) Investigate alleged remediable actions concerning
- child welfare services throughout this Commonwealth.
- 17 (2) Investigate received complaints concerning child
- 18 welfare services in this Commonwealth.
- 19 (3) Investigate complaints involving violations of
- 20 applicable law or regulation or the alleged mismanagement of
- 21 <u>the department or administrative agency which directly or</u>
- 22 <u>indirectly placed a child in danger.</u>
- 23 (4) Hold informal and formal hearings and request that
- individuals appear before the Children's Advocate and give
- 25 testimony or produce documentary evidence that the Children's
- Advocate considers relevant to a matter under investigation.
- 27 (5) If necessary, administer oaths and compel the
- 28 attendance of witnesses and production of physical evidence
- 29 by subpoena.
- 30 (6) Report findings and recommendations under section

- 1 6379.9 (relating to report of findings and recommendations).
- 2 § 6379.6. Response to complaints.
- 3 (a) Notice.--
- 4 <u>(1) If the Children's Advocate decides to investigate a</u>
- 5 complaint, the Children's Advocate shall notify the
- 6 complainant, the department, county agency, provider of child
- 7 <u>welfare services and other interested parties of the decision</u>
- 8 <u>to investigate.</u>
- 9 (2) If the Children's Advocate declines to investigate a
- 10 complaint or to continue an investigation, the Children's
- 11 Advocate shall notify the complainant and the department,
- 12 county agency, provider of child welfare services and other
- interested parties of the decision and the reasons for the
- 14 Children's Advocate's action.
- 15 <u>(b) Professional discipline.--</u>
- 16 (1) If the investigation of a complaint leads the
- 17 Children's Advocate to believe the matter complained of may
- involve professional misconduct, the Children's Advocate
- 19 shall bring the matter to the attention of the licensing
- 20 authority responsible for professional discipline.
- 21 (2) If the complaint refers to conduct by a non-
- 22 attorney, quardian ad litem or court-appointed special
- advocate, the Children's Advocate shall perform a preliminary
- investigation and transmit the results of the investigation
- 25 to the court.
- 26 (3) If the complaint refers to conduct by a licensed
- 27 <u>attorney, the Children's Advocate shall refer the complaint</u>
- to the appropriate office within the Supreme Court.
- 29 <u>(c) Referrals.--In the case of a complaint brought to the</u>
- 30 attention of the Children's Advocate but not within the

- 1 Children's Advocate's scope of authority under this subchapter,
- 2 the Children's Advocate shall refer the complainant to a person
- 3 <u>or agency with the authority or ability to assist the</u>
- 4 <u>complainant.</u>
- 5 (d) Alternative responses.--
- 6 (1) The Children's Advocate shall advise an individual
- 7 <u>making a complaint to pursue all administrative remedies or</u>
- 8 <u>channels of complaint available to the individual before</u>
- 9 <u>pursuing a complaint with the Children's Advocate.</u>
- 10 (2) Subsequent to the administrative processing of a
- 11 <u>complaint, the Children's Advocate may conduct further</u>
- 12 <u>investigations.</u>
- 13 <u>(e) Criminal violations.--</u>
- (1) If the Children's Advocate finds in the course of an
- 15 <u>investigation that an individual's action is in violation of</u>
- 16 Federal or State criminal law, the Children's Advocate shall
- 17 immediately report that fact to the district attorney or the
- 18 Attorney General.
- 19 (2) If the complaint is against a provider of child
- 20 <u>welfare services, the Children's Advocate shall refer the</u>
- 21 matter to the department for further action.
- 22 § 6379.7. Cooperation of agencies and providers.
- 23 (a) Investigations. -- The department, county agency,
- 24 administrative agency or provider of child welfare services
- 25 shall:
- 26 (1) Upon the Children's Advocate's request, grant the
- 27 <u>Children's Advocate or the Children's Advocate's designee</u>
- 28 access to all information, records and documents in its
- 29 possession that the Children's Advocate considers necessary
- 30 to the investigation.

1	(2) Assist the Children's Advocate in obtaining the
2	necessary releases for those documents that are specifically
3	restricted.
4	(3) Provide the Children's Advocate upon request with
5	progress reports concerning the administrative processing of
6	a complaint.
7	(4) Provide the Children's Advocate with information
8	requested within 10 business days after the written request
9	of the Children's Advocate. If the department determines that
10	the release of the information would violate Federal or State
11	law, the Children's Advocate shall be notified of that
12	determination in writing within the ten-day period.
13	(b) Public awareness
14	(1) The department, county agency or provider of child
15	welfare services shall provide information to a biological
16	parent, prospective adoptive parent or foster parent
17	regarding the provisions of this subchapter.
18	(2) The Children's Advocate shall develop written
19	materials for public distribution regarding the provisions of
20	this subchapter.
21	§ 6379.8. Confidentiality of investigations and records.
22	(a) Matters under investigation
23	(1) The Children's Advocate shall treat all matters
24	under investigation as confidential, including the identities
25	of recipients of information, individuals from whom
26	information is acquired and persons seeking assistance from
27	the Children's Advocate.
28	(2) Upon receipt of information that by law is
29	confidential or privileged, the Children's Advocate shall
30	maintain the confidentiality of the information and may not

- 1 further disclose or disseminate the information except as
- 2 provided by Federal or State law or upon order of a court.
- 3 (b) Records.--
- 4 (1) A record of the Office of the Children's Advocate is
- 5 <u>confidential</u>, shall be used only for the purposes of this
- 6 <u>subchapter and is not subject to subpoena.</u>
- 7 (2) Information contained in the records of the
- 8 <u>Children's Advocate may not be disclosed in such a manner as</u>
- 9 <u>to identify individuals, except upon order of a court.</u>
- 10 (3) The Children's Advocate or other agency may not
- disclose a record of the Children's Advocate or a record
- 12 <u>received from the Children's Advocate under the act of</u>
- 13 <u>February 14, 2008 (P.L.6, No.3), known as the Right-to-Know</u>
- 14 Law, except upon order of a court.
- 15 <u>(4) No person may disclose any record under this</u>
- 16 <u>subsection without the written consent of the complainant.</u>
- 17 § 6379.9. Report of findings and recommendations.
- 18 (a) Report.--The Children's Advocate shall make a report of
- 19 the findings and recommendations for each investigation.
- 20 (b) Findings and recommendations. -- The Children's Advocate
- 21 shall establish findings and make recommendations to the
- 22 department, the county agency, the provider of child welfare
- 23 <u>services and other appropriate entities if the Children's</u>
- 24 Advocate makes any of the following determinations:
- 25 (1) A matter should be further considered by the
- department, the county agency or provider of child welfare
- 27 <u>services.</u>
- 28 (2) An administrative action should be modified or
- 29 canceled.
- 30 (3) Reasons should be given for an administrative

- 1 <u>action</u>.
- 2 (4) Other action should be taken by the department, the
- 3 <u>county agency or a provider of child welfare services.</u>
- 4 <u>(c) Subjects of report.--</u>
- 5 (1) Before recording or disseminating a conclusion or
- 6 <u>recommendation that expressly or by implication criticizes an</u>
- 7 <u>individual</u>, the department, the county agency or a provider
- 8 of child welfare services, the Children's Advocate shall
- 9 <u>provide the subject of the report with reasonable advance</u>
- notice and an opportunity to respond.
- 11 (2) When making a report adverse to the department,
- 12 <u>county agency or provider, the Children's Advocate shall</u>
- include in the report any written statement made to the
- 14 Children's Advocate by the department, county agency or
- provider of child welfare services in defense or mitigation
- of the administrative action. The Children's Advocate may
- 17 request to be notified in writing by the department, county
- 18 agency or provider within a specified time of any
- 19 administrative action taken on the recommendations of the
- 20 Children's Advocate.
- 21 (d) Notice to complainant.--
- 22 (1) The Children's Advocate shall provide an individual
- 23 making a complaint with a copy of the Children's Advocate's
- 24 report regarding the complaint and shall notify the
- 25 individual of any action taken by the Children's Advocate and
- 26 by the department, county agency or provider of child welfare
- 27 services.
- 28 (2) The Children's Advocate may not release to the
- 29 individual any report information or other material required
- 30 to be kept confidential under section 6339 (relating to

- 1 <u>confidentiality of reports</u>).
- 2 <u>§ 6379.10. Annual report.</u>
- 3 The Children's Advocate shall submit to the Governor, the
- 4 General Assembly, the administrative office of the Supreme
- 5 Court, the Attorney General and the department an annual report
- 6 on the conduct of the Children's Advocate, including any
- 7 recommendations regarding the need for legislation or for
- 8 <u>changes in rules or policies.</u>
- 9 § 6379.11. Protection from retaliation.
- 10 (a) General rule. -- An official, the department, the county
- 11 agency or a provider of child welfare services may not penalize
- 12 <u>an individual for filing a complaint in good faith or</u>
- 13 <u>cooperating with the Children's Advocate in investigating a</u>
- 14 complaint under this subchapter.
- (b) Penalties. -- Violations of subsection (a) shall be
- 16 subject to the penalties contained in section 6 of the act of
- 17 December 12, 1986 (P.L.1559, No.169), known as the Whistleblower
- 18 Law.
- 19 § 6379.12. Obstruction.
- 20 (a) General rule. -- An individual, the department, the county
- 21 agency or a provider of child welfare services may not hinder
- 22 the lawful actions of the Children's Advocate or employees or
- 23 designees of the Children's Advocate.
- 24 (b) Penalties.--Violations of subsection (a) shall be
- 25 subject to the penalties in 18 Pa.C.S. § 5101 (relating to
- 26 obstructing administration of law or other governmental
- 27 function).
- 28 § 6379.13. Nonexclusivity of remedy.
- 29 (a) General rule. -- The authority granted the Children's
- 30 Advocate under this subchapter is in addition to the authority

- 1 granted under the provisions of:
- 2 (1) any other statute or rule under which the remedy or
- 3 right of appeal or objection is provided for an individual;
- 4 <u>or</u>
- 5 (2) any procedure provided for the inquiry into or
- 6 <u>investigation of any matter.</u>
- 7 (b) Authority of Children's Advocate. -- The authority granted
- 8 the Children's Advocate shall not limit or affect the remedy or
- 9 right of appeal or objection and is not an exclusive remedy or
- 10 procedure.
- 11 Section 4. This act shall take effect immediately.