### 115TH CONGRESS 2D SESSION

# H. R. 4887

## AN ACT

To modernize Federal grant reporting, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,

### 1 SECTION 1. SHORT TITLE.

- 2 This Act may be cited as the "Grant Reporting Effi-
- 3 ciency and Agreements Transparency Act of 2018" or the
- 4 "GREAT Act".

### 5 SEC. 2. PURPOSES.

- 6 The purposes of this Act are to—
- 7 (1) modernize reporting by recipients of Federal 8 grants and cooperative agreements by creating and 9 imposing data standards for the information that 10 grants and cooperative agreement recipients must
- 11 report to the Federal Government;
- 12 (2) implement the recommendation by the Di13 rector of the Office of Management and Budget,
  14 under section 5(b)(6) of the Federal Funding Ac15 countability and Transparency Act of 2006 (31
  16 U.S.C. 6101 note), which includes the development
  17 of a "comprehensive taxonomy of standard defini18 tions for core data elements required for managing
- 19 Federal financial assistance awards";
- 20 (3) reduce burden and compliance costs of re21 cipients of Federal grants and cooperative agree22 ments by enabling technology solutions, existing or
  23 yet to be developed, by both the public and private
  24 sectors, to better manage data recipients already
  25 provide to the Federal Government; and

1	(4) to strengthen oversight and management of
2	Federal grants and cooperative agreements by agen-
3	cies through consolidated collection and display of
4	and access to open data that has been standardized
5	and where appropriate, transparency to the public.
6	SEC. 3. DATA STANDARDS FOR GRANT REPORTING.
7	(a) Amendment.—Subtitle V of title 31, United
8	States Code, is amended by inserting after chapter 63 the
9	following new chapter:
10	"CHAPTER 64—DATA STANDARDS FOR
11	GRANT REPORTING
	"Sec. "6401. Definitions. "6402. Data standards for grant reporting. "6403. Guidance applying data standards for grant reporting. "6404. Agency requirements.
12	"§ 6401. Definitions
13	"In this chapter:
14	"(1) AGENCY.—The term 'agency' has the
15	meaning given that term in section 552(f) of title 5
16	"(2) Core data elements.—The term 'core
17	data elements' means data elements that are not
18	program-specific in nature and are required by agen-
19	cies for all or the vast majority of Federal grant and
20	cooperative assistance recipients for purposes of re-
21	porting.

1	"(3) DIRECTOR.—The term 'Director' means
2	the Director of the Office of Management and Budg-
3	et.
4	"(4) FEDERAL AWARD.—The term 'Federal
5	award'—
6	"(A) means the transfer of anything of
7	value for a public purpose of support or stimu-
8	lation authorized by a law of the United States,
9	including financial assistance and Government
10	facilities, services, and property;
11	"(B) includes grants, subgrants, awards,
12	and cooperative agreements; and
13	"(C) does not include—
14	"(i) conventional public information
15	services or procurement of property or
16	services for the direct benefit or use of the
17	Government; or
18	"(ii) an agreement that provides
19	only—
20	"(I) direct Government cash as-
21	sistance to an individual;
22	"(II) a subsidy;
23	"(III) a loan;
24	"(IV) a loan guarantee; or
25	"(V) insurance.

1	"(5) Secretary.—The term 'Secretary' means
2	the head of the standard-setting agency.
3	"(6) Standard-setting agency.—The term
4	'standard-setting agency' means the Executive de-
5	partment designated under section 6402(a)(1).
6	"(7) STATE.—The term 'State' means each
7	State of the United States, the District of Columbia,
8	each commonwealth, territory or possession of the
9	United States, and each federally recognized Indian
10	Tribe.
11	"§ 6402. Data standards for grant reporting
12	"(a) In General.—
13	"(1) Designation of standard-setting
14	AGENCY.—The Director shall designate the Execu-
15	tive department (as defined in section 101 of title 5)
16	that issues the most Federal awards in a calendar
17	year as the standard-setting agency.
18	"(2) Establishment of standards.—Not
19	later than 1 year after the date of the enactment of
20	this chapter, the Secretary and the Director shall es-
21	tablish Governmentwide data standards for informa-
22	tion reported by recipients of Federal awards.
23	"(3) Data elements.—The data standards
24	established under paragraph (2) shall include, at a
25	minimum—

1	"(A) standard definitions for data elements
2	required for managing Federal awards; and
3	"(B) unique identifiers for Federal awards
4	and entities receiving Federal awards that can
5	be consistently applied Governmentwide.
6	"(b) Scope.—The data standards established under
7	subsection (a) shall include core data elements and may
8	cover any information required to be reported to any agen-
9	cy by recipients of Federal awards, including audit-related
10	information reported under chapter 75 of this title.
11	"(c) Requirements.—The data standards required
12	to be established under subsection (a) shall, to the extent
13	reasonable and practicable—
14	"(1) render information reported by recipients
15	of Federal grant and cooperative agreement awards
16	fully searchable and machine-readable;
17	"(2) be nonproprietary;
18	"(3) incorporate standards developed and main-
19	tained by voluntary consensus standards bodies;
20	"(4) be consistent with and implement applica-
21	ble accounting and reporting principles; and
22	"(5) incorporate the data standards established
23	under the Federal Funding Accountability and
24	Transparency Act of 2006 (31 U.S.C. 6101 note).

1	"(d) Consultation.—In establishing the data
2	standards under subsection (a), the Secretary and the Di-
3	rector shall consult with, as appropriate—
4	"(1) the Secretary of the Treasury, to ensure
5	that the data standards incorporate the data stand-
6	ards created under the Federal Funding Account-
7	ability and Transparency Act of 2006 (31 U.S.C.
8	6101 note);
9	"(2) the head of each agency that issues Fed-
10	eral awards;
11	"(3) recipients of Federal awards and organiza-
12	tions representing recipients of Federal awards;
13	"(4) private sector experts;
14	"(5) members of the public, including privacy
15	experts, privacy advocates, and industry stake-
16	holders; and
17	"(6) State and local governments.
18	"§ 6403. Guidance applying data standards for grant
19	reporting
20	"(a) In General.—Not later than 2 years after the
21	date of the enactment of this chapter—
22	"(1) the Secretary and the Director shall issue
23	guidance to all agencies directing the agencies to
24	apply the data standards established under section

1	6402 to all applicable reporting by recipients of Fed-
2	eral grant and cooperative agreement awards; and
3	"(2) the Director shall prescribe guidance ap-
4	plying the data standards to audit-related informa-
5	tion reported under chapter 75.
6	"(b) Guidance issued under this
7	section shall—
8	"(1) to the extent reasonable and practicable—
9	"(A) minimize the disruption to existing
10	reporting practices for agencies and for recipi-
11	ents of Federal grant and cooperative agree-
12	ment awards; and
13	"(B) explore opportunities to implement
14	modern technologies within Federal award re-
15	porting;
16	"(2) allow the Director to permit exceptions for
17	categories of grants if the Director publishes a list
18	of such exceptions, including exceptions for Indian
19	Tribes and Tribal organizations consistent with the
20	Indian Self-Determination and Education Assistance
21	Act; and
22	"(3) take into consideration the consultation re-
23	quired under section 6402(d).

"Not later than 3 years after the date of the enact-

### "§ 6404. Agency requirements

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3	ment of this chapter, the head of each agency shall ensure
4	that all of the agency's grants and cooperative agreements
5	use data standards for all future information collection re-
6	quests and amend existing information collection requests
7	covered by chapter 35 of title 44 (commonly referred to
8	as the Paperwork Reduction Act) to comply with the data
9	standards established under section 6402, consistent with
10	the guidance issued by the Secretary and the Director
11	under section 6403.".
12	(b) Technical and Conforming Amendment.—
13	The table of chapters for subtitle V of title 31, United
14	States Code, is amended by inserting after the item relat-
15	ing to chapter 63 the following new item:
	"64. Data Standards for Grant Reporting
16	SEC. 4. SINGLE AUDIT ACT.
17	(a) Amendments.—
18	(1) Section 7502(h) of title 31, United States
19	Code, is amended by inserting before "to a Federal
20	clearinghouse" the following "in an electronic form
21	consistent with the data standards established under
22	chapter 64,".
23	(2) Section 7505 of title 31, United States
24	Code, is amended by adding at the end the following
<ul><li>24</li><li>25</li></ul>	Code, is amended by adding at the end the following new subsection:

- 1 "(d) Such guidance shall require audit-related infor-
- 2 mation reported under this chapter to be reported in an
- 3 electronic form consistent with the data standards estab-
- 4 lished under chapter 64.".
- 5 (b) GUIDANCE.—Not later than 2 years after the
- 6 date of the enactment of this Act, the Director shall issue
- 7 guidance requiring audit-related information reported
- 8 under chapter 75 of title 31, United States Code, to be
- 9 reported in an electronic form consistent with the data
- 10 standards established under chapter 64 of title 31, United
- 11 States Code, as added by section 3.
- 12 SEC. 5. CONSOLIDATION OF ASSISTANCE-RELATED INFOR-
- 13 MATION; PUBLICATION OF PUBLIC INFORMA-
- 14 TION AS OPEN DATA.
- 15 (a) Collection of Information.—Not later than
- 16 4 years after the date of the enactment of this Act, the
- 17 Secretary and the Director shall enable the collection, pub-
- 18 lie display, and maintenance of Federal award information
- 19 as a Governmentwide data set, using the data standards
- 20 established under chapter 64 of title 31, United States
- 21 Code, as added by section 3, subject to reasonable restric-
- 22 tions established by the Director to ensure protection of
- 23 personally identifiable and otherwise sensitive information.
- 24 (b) Publication of Information.—The Secretary
- 25 and the Director shall require the publication of recipient-

- 1 reported data collected from all agencies on a single public
- 2 portal. Information may be published on an existing Gov-
- 3 ernmentwide website as determined appropriate by the Di-
- 4 rector.
- 5 (c) Foia.—Nothing in this section shall require the
- 6 disclosure to the public of information that would be ex-
- 7 empt from disclosure under section 552 of title 5, United
- 8 States Code (commonly known as the "Freedom of Infor-
- 9 mation Act").

### 10 SEC. 6. EVALUATION OF NONPROPRIETARY IDENTIFIERS.

- 11 (a) DETERMINATION REQUIRED.—The Director and
- 12 the Secretary shall determine whether to use nonpropri-
- 13 etary identifiers under section 6402(a)(3)(B) of title 31,
- 14 United States Code, as added by section 3(a).
- 15 (b) Factors to Be Considered.—In making the
- 16 determination required pursuant to subsection (a), the Di-
- 17 rector and the Secretary shall consider factors such as ac-
- 18 cessibility and cost to recipients of Federal awards, agen-
- 19 cies that issue Federal awards, private-sector experts, and
- 20 members of the public, including privacy experts and pri-
- 21 vacy advocates.
- (c) Publication and Report on Determina-
- 23 TION.—Not later than the earlier of 1 year after the date
- 24 of the enactment of this Act or the date on which the Sec-
- 25 retary and Director establish data standards pursuant to

- 1 section 6402(a)(2) of title 31, United States Code, as
- 2 added by section 3(a), the Secretary and the Director shall
- 3 publish and submit to the Committees on Oversight and
- 4 Government Reform of the House of Representatives and
- 5 Homeland Security and Governmental Affairs of the Sen-
- 6 ate a report explaining the reasoning for the determination
- 7 made pursuant to subsection (a).

### 8 SEC. 7. DEFINITIONS.

- 9 In this Act, the terms "agency", "Director", "Fed-
- 10 eral award", and "Secretary" have the meaning given
- 11 those terms in section 6401 of title 31, United States
- 12 Code, as added by section 3(a).

### 13 SEC. 8. RULE OF CONSTRUCTION.

- Nothing in this Act, or the amendments made by this
- 15 Act, shall be construed to require the collection of data
- 16 that is not otherwise required pursuant to any Federal
- 17 law, rule, or regulation.

### 18 SEC. 9. NO ADDITIONAL FUNDS AUTHORIZED.

- 19 No additional funds are authorized to carry out the
- 20 requirements of this Act and the amendments made by

- 1 this Act. Such requirements shall be carried out using
- 2 amounts otherwise authorized.

Passed the House of Representatives September 26, 2018.

Attest:

Clerk.

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