	PROBATION AND PAROLE AMENDMENTS			
	2021 GENERAL SESSION			
	STATE OF UTAH			
	Chief Sponsor: Keven J. Stratton Senate Sponsor:			
	LONG TITLE			
	General Description:			
	This bill modifies provisions related to the revocation of probation or parole.			
	Highlighted Provisions:			
	This bill:			
	 limits the circumstances under which a government entity may revoke an 			
	individual's probation or parole; and			
	makes technical and conforming changes.			
	Money Appropriated in this Bill:			
	None			
	Other Special Clauses:			
	None			
	Utah Code Sections Affected:			
	AMENDS:			
	64-13-29, as last amended by Laws of Utah 2020, Chapter 227			
	77-18-1, as last amended by Laws of Utah 2020, Chapters 209, 299, and 354			
	77-27-11, as last amended by Laws of Utah 2018, Chapter 334			
	Be it enacted by the Legislature of the state of Utah:			
	Section 1. Section 64-13-29 is amended to read:			
	64-13-29. Violation of parole or probation Detention Hearing.			



(1) (a) The department or local law enforcement agency shall ensure that the court is notified of violations of the terms and conditions of probation in the case of probationers under the supervision of the department, the local law enforcement agency, or the Board of Pardons and Parole in the case of parolees under the department's supervision when:

(i) a sanction of incarceration is recommended; or

- (ii) the department or local law enforcement agency determines that a graduated sanction is not an appropriate response to the offender's violation and recommends revocation of probation or parole.
- [(b) In cases where the department desires to detain an offender alleged to have violated his parole or probation and where it is unlikely that the Board of Pardons and Parole or court will conduct a hearing within a reasonable time to determine if the offender has violated his conditions of parole or probation, the department shall hold an administrative hearing within a reasonable time, unless the hearing is waived by the parolee or probationer, to determine if there is probable cause to believe that a violation has occurred.]
- (b) The department may detain an offender alleged to have violated the conditions of the offender's parole or probation by committing a misdemeanor offense or a felony offense, if:
- (i) it is unlikely that the Board of Pardons and Parole or the court will conduct a hearing within a reasonable time to determine whether the offender violated the conditions of the offender's parole or probation by committing a misdemeanor offense or a felony offense; and
- (ii) the department holds an administrative hearing within a reasonable time to determine whether there is probable cause to believe the offender violated the conditions of the offender's parole or probation by committing a misdemeanor offense or a felony offense, unless the offender waives the hearing.
- (c) If there is a conviction for a crime based on the same charges as the probation or parole violation, [or a finding by a federal or state court that there is probable cause to believe that an offender has committed a crime based on the same charges as the probation or parole violation,] the department need not hold an administrative hearing.
- (2) The appropriate officer or officers of the department shall, as soon as practical following the department's administrative hearing, report to the court or the Board of Pardons and Parole, furnishing a summary of the hearing, and may make recommendations regarding

59 the disposition to be made of the parolee or probationer.

(3) Pending [any proceeding under this section] an administrative hearing described in Subsection (2), the department may take custody of and detain the parolee or probationer involved for a period not to exceed 72 hours excluding weekends and holidays.

- (4) In [cases where probationers are] a case where the probationer is supervised by a local law enforcement agency, the agency may take custody of and detain the probationer involved for a period not to exceed 72 hours excluding weekends and holidays if:
- (a) the probationer [commits a major violation or repeated violations of probation; and] violates the conditions of the probationer's probation by committing a misdemeanor offense or a felony offense;
- (b) it is unlikely that the court will conduct a hearing within a reasonable time to determine if the offender has [violated the conditions of probation] violated the conditions of the probationer's probation by committing a misdemeanor offense or a felony offense; and
- (c) the law enforcement agency conducts an administrative hearing within a reasonable time to determine if there is probable cause to believe the offender has [violated the conditions of probation] violated the conditions of the probationer's probation by committing a misdemeanor offense or a felony offense, unless the hearing is waived by the probationer.
- (5) If the requirements for Subsection (4) are met, the local law enforcement agency shall ensure the proper court is notified.
- (6) If the hearing officer determines that there is probable cause to believe that the offender has violated the conditions of [his] the offender's parole or probation by committing a misdemeanor offense or a felony offense, the department may detain the offender for a reasonable period of time after the hearing or waiver, as necessary to arrange for the incarceration of the offender. A written order of the department is sufficient authorization for any peace officer to incarcerate the offender. The department may promulgate rules for the implementation of this section.
- (7) A written order from the local law enforcement agency is sufficient authorization for any peace officer to incarcerate the offender if:
- 87 (a) the [probationers are] offender is supervised by a local law enforcement agency; 88 and
 - (b) the appropriate officer or officers determine that there is probable cause to believe

90 that the offender has violated the conditions of probation by committing a misdemeanor 91 offense or a felony offense. 92 (8) If a probationer supervised by a local law enforcement agency commits a violation 93

- outside of the jurisdiction of the supervising agency, the arresting agency is not required to hold or transport the probationer for the supervising agency.
 - Section 2. Section **77-18-1** is amended to read:

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- 77-18-1. Suspension of sentence -- Pleas held in abeyance -- Probation --Supervision -- Presentence investigation -- Standards -- Confidentiality -- Terms and conditions -- Termination, revocation, modification, or extension -- Hearings -- Electronic monitoring.
- (1) On a plea of guilty or no contest entered by a defendant in conjunction with a plea in abeyance agreement, the court may hold the plea in abeyance as provided in Chapter 2a, Pleas in Abeyance, and under the terms of the plea in abeyance agreement.
- (2) (a) On a plea of guilty, guilty with a mental illness, no contest, or conviction of any crime or offense, the court may, after imposing sentence, suspend the execution of the sentence and place the defendant:
- (i) on probation under the supervision of the Department of Corrections except in cases of class C misdemeanors or infractions;
- (ii) on probation under the supervision of an agency of local government or with a private organization; or
 - (iii) on court probation under the jurisdiction of the sentencing court.
- (b) (i) The legal custody of all probationers under the supervision of the department is with the department.
- (ii) The legal custody of all probationers under the jurisdiction of the sentencing court is vested as ordered by the court.
 - (iii) The court has continuing jurisdiction over all probationers.
- (iv) Court probation may include an administrative level of services, including notification to the court of scheduled periodic reviews of the probationer's compliance with conditions.
- (c) Supervised probation services provided by the department, an agency of local government, or a private organization shall specifically address the offender's risk of

reoffending as identified by a validated risk and needs screening or assessment.

- (3) (a) The department shall establish supervision and presentence investigation standards for all individuals referred to the department based on:
 - (i) the type of offense;
- (ii) the results of a risk and needs assessment;
- 126 (iii) the demand for services;
- (iv) the availability of agency resources;
- (v) public safety; and

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- (vi) other criteria established by the department to determine what level of services shall be provided.
 - (b) Proposed supervision and investigation standards shall be submitted to the Judicial Council and the Board of Pardons and Parole on an annual basis for review and comment prior to adoption by the department.
 - (c) The Judicial Council and the department shall establish procedures to implement the supervision and investigation standards.
 - (d) The Judicial Council and the department shall annually consider modifications to the standards based upon criteria in Subsection (3)(a) and other criteria as they consider appropriate.
 - (e) The Judicial Council and the department shall annually prepare an impact report and submit it to the appropriate legislative appropriations subcommittee.
 - (4) Notwithstanding other provisions of law, the department is not required to supervise the probation of an individual convicted of a class B or C misdemeanor or an infraction or to conduct presentence investigation reports on a class C misdemeanor or infraction. However, the department may supervise the probation of a class B misdemeanant in accordance with department standards.
 - (5) (a) Before the imposition of any sentence, the court may, with the concurrence of the defendant, continue the date for the imposition of sentence for a reasonable period of time for the purpose of obtaining a presentence investigation report from the department or information from other sources about the defendant.
 - (b) The presentence investigation report shall include:
- (i) a victim impact statement according to guidelines set in Section 77-38a-203

describing the effect of the crime on the victim and the victim's family;

(ii) a specific statement of pecuniary damages, accompanied by a recommendation from the department regarding the payment of restitution with interest by the defendant in accordance with Chapter 38a, Crime Victims Restitution Act;

- (iii) findings from any screening and any assessment of the offender conducted under Section 77-18-1.1;
 - (iv) recommendations for treatment of the offender; and
- (v) the number of days since the commission of the offense that the offender has spent in the custody of the jail and the number of days, if any, the offender was released to a supervised release or alternative incarceration program under Section 17-22-5.5.
- (c) The contents of the presentence investigation report are protected and are not available except by court order for purposes of sentencing as provided by rule of the Judicial Council or for use by the department.
- (6) (a) The department shall provide the presentence investigation report to the defendant's attorney, or the defendant if not represented by counsel, the prosecutor, and the court for review, three working days prior to sentencing. Any alleged inaccuracies in the presentence investigation report, which have not been resolved by the parties and the department prior to sentencing, shall be brought to the attention of the sentencing judge, and the judge may grant an additional 10 working days to resolve the alleged inaccuracies of the report with the department. If after 10 working days the inaccuracies cannot be resolved, the court shall make a determination of relevance and accuracy on the record.
- (b) If a party fails to challenge the accuracy of the presentence investigation report at the time of sentencing, that matter shall be considered to be waived.
- (7) At the time of sentence, the court shall receive any testimony, evidence, or information the defendant or the prosecuting attorney desires to present concerning the appropriate sentence. This testimony, evidence, or information shall be presented in open court on record and in the presence of the defendant.
- (8) While on probation, and as a condition of probation, the court may require that a defendant perform any or all of the following:
 - (a) provide for the support of others for whose support the defendant is legally liable;
- (b) participate in available treatment programs, including any treatment program in

which the defendant is currently participating, if the program is acceptable to the court;

- (c) if on probation for a felony offense, serve a period of time, as an initial condition of probation, not to exceed one year, in a county jail designated by the department, after considering any recommendation by the court as to which jail the court finds most appropriate:
- (i) the court may modify probation to include a period of time served in a county jail immediately prior to the termination of probation as long as the terminal period of time does not exceed one year; and
- (ii) jail days ordered as a sanction for probation violations do not apply to the limitation on jail days described in Subsection (8)(c) or (8)(c)(i);
- (d) serve a term of home confinement, which may include the use of electronic monitoring;
- (e) participate in compensatory service restitution programs, including the compensatory service program provided in Section 76-6-107.1;
 - (f) pay for the costs of investigation, probation, and treatment services;
- (g) make restitution or reparation to the victim or victims with interest in accordance with Chapter 38a, Crime Victims Restitution Act; and
- (h) comply with other terms and conditions the court considers appropriate to ensure public safety or increase a defendant's likelihood of success on probation.
- (9) The department shall collect and disburse the accounts receivable as defined by Section 77-32a-101, with interest and any other costs assessed under Section 64-13-21 during:
- (a) the parole period and any extension of that period in accordance with Subsection 77-27-6(4); and
- (b) the probation period in cases for which the court orders supervised probation and any extension of that period by the department in accordance with Subsection (10).
- (10) (a) (i) Except as provided in Subsection (10)(a)(ii), probation of an individual placed on probation after December 31, 2018:
 - (A) may not exceed the individual's maximum sentence;
- (B) shall be for a period of time that is in accordance with the supervision length guidelines established by the Utah Sentencing Commission under Section 63M-7-404, to the extent the guidelines are consistent with the requirements of the law; and
- 213 (C) shall be terminated in accordance with the supervision length guidelines

established by the Utah Sentencing Commission under Section 63M-7-404, to the extent the guidelines are consistent with the requirements of the law.

- (ii) Probation of an individual placed on probation after December 31, 2018, whose maximum sentence is one year or less may not exceed 36 months.
- (iii) Probation of an individual placed on probation on or after October 1, 2015, but before January 1, 2019, may be terminated at any time at the discretion of the court or upon completion without violation of 36 months probation in felony or class A misdemeanor cases, 12 months in cases of class B or C misdemeanors or infractions, or as allowed pursuant to Section 64-13-21 regarding earned credits.
- (b) (i) If, upon expiration or termination of the probation period under Subsection (10)(a), there remains an unpaid balance upon the accounts receivable as defined in Section 77-32a-101, the court may retain jurisdiction of the case and continue the defendant on bench probation for the limited purpose of enforcing the payment of the account receivable. If the court retains jurisdiction for this limited purpose, the court may order the defendant to pay to the court the costs associated with continued probation under this Subsection (10).
- (ii) In accordance with Section 77-18-6, the court shall record in the registry of civil judgments any unpaid balance not already recorded and immediately transfer responsibility to collect the account to the Office of State Debt Collection.
- (iii) Upon motion of the Office of State Debt Collection, prosecutor, victim, or upon its own motion, the court may require the defendant to show cause why the defendant's failure to pay should not be treated as contempt of court.
 - (c) Subsections (10)(a) and (b) do not apply to Section 76-7-201, criminal nonsupport.
- (d) (i) The department shall notify the court, the Office of State Debt Collection, and the prosecuting attorney in writing in advance in all cases when termination of supervised probation is being requested by the department or will occur by law.
- (ii) The notification shall include a probation progress report and complete report of details on outstanding accounts receivable.
- (11) (a) (i) Any time served by a probationer outside of confinement after having been charged with a probation violation and prior to a hearing to revoke probation does not constitute service of time toward the total probation term unless the probationer is exonerated at a hearing to revoke the probation.

(ii) Any time served in confinement awaiting a hearing or decision concerning revocation of probation does not constitute service of time toward the total probation term unless the probationer is exonerated at the hearing.

- (iii) Any time served in confinement awaiting a hearing or decision concerning revocation of probation constitutes service of time toward a term of incarceration imposed as a result of the revocation of probation or a graduated sanction imposed under Section 63M-7-404.
- (b) The running of the probation period is tolled upon the filing of a violation report with the court alleging a violation of the terms and conditions of probation or upon the issuance of an order to show cause or warrant by the court.
- (12) (a) (i) Probation may be modified as is consistent with the supervision length guidelines and the graduated sanctions and incentives developed by the Utah Sentencing Commission under Section 63M-7-404.
- (ii) The length of probation may not be extended, except upon waiver of a hearing by the probationer or upon a hearing and a finding in court that the probationer has violated the conditions of probation.
 - (iii) Probation may not be revoked except upon:
 - (A) a hearing in court; and

- (B) a finding that the probationer violated the conditions of probation [have been violated] by committing a misdemeanor offense or a felony offense.
- (b) (i) Upon the filing of an affidavit, or an unsworn written declaration executed in substantial compliance with Title 78B, Chapter 18a, Uniform Unsworn Declarations Act, alleging with particularity facts asserted to constitute violation of the conditions of probation, the court shall determine if the affidavit or unsworn written declaration establishes probable cause to believe that revocation, modification, or extension of probation is justified.
- (ii) If the court determines there is probable cause, it shall cause to be served on the defendant a warrant for the defendant's arrest or a copy of the affidavit or unsworn written declaration and an order to show cause why the defendant's probation should not be revoked, modified, or extended.
- (c) (i) The order to show cause shall specify a time and place for the hearing and shall be served upon the defendant at least five days prior to the hearing.

276 (ii) The defendant shall show good cause for a continuance.

- (iii) The order to show cause shall inform the defendant of a right to be represented by counsel at the hearing and to have counsel appointed if the defendant is indigent.
 - (iv) The order shall also inform the defendant of a right to present evidence.
 - (d) (i) At the hearing, the defendant shall admit or deny the allegations of the affidavit or unsworn written declaration.
 - (ii) If the defendant denies the allegations of the affidavit or unsworn written declaration, the prosecuting attorney shall present evidence on the allegations.
 - (iii) The persons who have given adverse information on which the allegations are based shall be presented as witnesses subject to questioning by the defendant unless the court for good cause otherwise orders.
 - (iv) The defendant may call witnesses, appear and speak in the defendant's own behalf, and present evidence.
 - (e) (i) After the hearing the court shall make findings of fact.
 - (ii) (A) Upon a finding that the defendant violated the conditions of probation, the court may order the probation [revoked,] modified, continued, or reinstated for all or a portion of the original term of probation.
 - (B) Upon a finding that the defendant violated the conditions of probation by committing a misdemeanor offense or a felony offense, the court may revoke the defendant's probation.
 - (iii) (A) Except as provided in Subsection (10)(a)(ii), the court may not require a defendant to remain on probation for a period of time that exceeds the length of the defendant's maximum sentence.
 - (B) Except as provided in Subsection (10)(a)(ii), if a defendant's probation is revoked and later reinstated, the total time of all periods of probation the defendant serves, relating to the same sentence, may not exceed the defendant's maximum sentence.
 - (iv) If a period of incarceration is imposed for a violation, the defendant shall be sentenced within the guidelines established by the Utah Sentencing Commission pursuant to Subsection 63M-7-404(4), unless the judge determines that:
 - (A) the defendant needs substance abuse or mental health treatment, as determined by a validated risk and needs screening and assessment, that warrants treatment services that are

immediately available in the community; or

- (B) the sentence previously imposed shall be executed.
- (v) If the defendant had, prior to the imposition of a term of incarceration or the execution of the previously imposed sentence under this Subsection (12), served time in jail as a condition of probation or due to a violation of probation under Subsection (12)(e)(iv), the time the probationer served in jail constitutes service of time toward the sentence previously imposed.
- (13) The court may order the defendant to commit the defendant to the custody of the Division of Substance Abuse and Mental Health for treatment at the Utah State Hospital as a condition of probation or stay of sentence, only after the superintendent of the Utah State Hospital or the superintendent's designee has certified to the court that:
 - (a) the defendant is appropriate for and can benefit from treatment at the state hospital;
 - (b) treatment space at the hospital is available for the defendant; and
- 320 (c) individuals described in Subsection 62A-15-610(2)(g) are receiving priority for 321 treatment over the defendants described in this Subsection (13).
 - (14) Presentence investigation reports are classified protected in accordance with Title 63G, Chapter 2, Government Records Access and Management Act. Notwithstanding Sections 63G-2-403 and 63G-2-404, the State Records Committee may not order the disclosure of a presentence investigation report. Except for disclosure at the time of sentencing pursuant to this section, the department may disclose the presentence investigation only when:
 - (a) ordered by the court pursuant to Subsection 63G-2-202(7);
 - (b) requested by a law enforcement agency or other agency approved by the department for purposes of supervision, confinement, and treatment of the offender;
 - (c) requested by the Board of Pardons and Parole;
 - (d) requested by the subject of the presentence investigation report or the subject's authorized representative;
 - (e) requested by the victim of the crime discussed in the presentence investigation report or the victim's authorized representative, provided that the disclosure to the victim shall include only information relating to statements or materials provided by the victim, to the circumstances of the crime including statements by the defendant, or to the impact of the crime on the victim or the victim's household; or

338	(f) requested by a sex offender treatment provider who is certified to provide treatment			
339	under the program established in Subsection 64-13-25(3) and who, at the time of the request:			
340	(i) is providing sex offender treatment to the offender who is the subject of the			
341	presentence investigation report; and			
342	(ii) provides written assurance to the department that the report:			
343	(A) is necessary for the treatment of the offender;			
344	(B) will be used solely for the treatment of the offender; and			
345	(C) will not be disclosed to an individual or entity other than the offender.			
346	(15) (a) The court shall consider home confinement as a condition of probation under			
347	the supervision of the department, except as provided in Sections 76-3-406 and 76-5-406.5.			
348	(b) The department shall establish procedures and standards for home confinement,			
349	including electronic monitoring, for all individuals referred to the department in accordance			
350	with Subsection (16).			
351	(16) (a) If the court places the defendant on probation under this section, it may order			
352	the defendant to participate in home confinement through the use of electronic monitoring as			
353	described in this section until further order of the court.			
354	(b) The electronic monitoring shall alert the department and the appropriate law			
355	enforcement unit of the defendant's whereabouts.			
356	(c) The electronic monitoring device shall be used under conditions which require:			
357	(i) the defendant to wear an electronic monitoring device at all times; and			
358	(ii) that a device be placed in the home of the defendant, so that the defendant's			
359	compliance with the court's order may be monitored.			
360	(d) If a court orders a defendant to participate in home confinement through electronic			
361	monitoring as a condition of probation under this section, it shall:			
362	(i) place the defendant on probation under the supervision of the Department of			
363	Corrections;			
364	(ii) order the department to place an electronic monitoring device on the defendant and			
365	install electronic monitoring equipment in the residence of the defendant; and			
366	(iii) order the defendant to pay the costs associated with home confinement to the			
367	department or the program provider.			
368	(e) The department shall pay the costs of home confinement through electronic			

monitoring only for an individual who is determined to be indigent by the court.

(f) The department may provide the electronic monitoring described in this section either directly or by contract with a private provider.

Section 3. Section 77-27-11 is amended to read:

77-27-11. Revocation of parole.

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- (1) The board may revoke the parole of [any] an individual who is found to have violated any condition of the individual's parole by committing a misdemeanor offense or a felony offense.
- (2) (a) If a parolee is confined by the Department of Corrections or any law enforcement official for a suspected violation of parole, the Department of Corrections shall immediately report the alleged violation to the board, by means of an incident report, and make any recommendation regarding the incident.
- (b) No parolee may be held for a period longer than 72 hours, excluding weekends and holidays, without first obtaining a warrant.
- (3) Any member of the board may issue a warrant based upon a certified warrant request to a peace officer or other persons authorized to arrest, detain, and return to actual custody a parolee, and may upon arrest or otherwise direct the Department of Corrections to determine if there is probable cause to believe that the parolee has violated the conditions of the parolee's parole by committing a misdemeanor offense or a felony offense.
- (4) Upon a finding of probable cause, a parolee may be further detained or imprisoned again pending a hearing by the board or its appointed examiner.
- (5) (a) The board or its appointed examiner shall conduct a hearing on the alleged violation, and the parolee shall have written notice of the time and location of the hearing, the alleged violation of parole, and a statement of the evidence against the parolee.
 - (b) The board or its appointed examiner shall provide the parolee the opportunity:
 - (i) to be present;
 - (ii) to be heard;
 - (iii) to present witnesses and documentary evidence;
- (iv) to confront and cross-examine adverse witnesses, absent a showing of good cause for not allowing the confrontation; and
 - (v) to be represented by counsel when the parolee is mentally incompetent or pleading

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(c) If heard by an appointed examiner, the examiner shall make a written decision which shall include a statement of the facts relied upon by the examiner in determining the guilt or innocence of the parolee on the alleged violation and a conclusion as to whether the alleged violation occurred. The appointed examiner shall then refer the case to the board for disposition.

- (d) Final decisions shall be reached by majority vote of the members of the board sitting and the parolee shall be promptly notified in writing of the board's findings and decision.
- [(6) (a) Parolees found to have violated the conditions of parole may, at the discretion of the board, be returned to parole, have restitution ordered, or be imprisoned again as determined by the board, not to exceed the maximum term, or be subject to any other conditions the board may impose within its discretion.]
- (6) (a) If the board finds that a parolee violated the conditions of parole, the board may order that the parolee:
 - (i) return to parole;
 - (ii) pay restitution;
- (iii) is subject to other conditions of parole, if the conditions are within the board's discretion to order; or
- (iv) if the violation constituted a misdemeanor offense or a felony offense, be imprisoned for a term determined by the board, not to exceed the maximum term.
- (b) If the board returns the parolee to parole, the length of parole may not be for a period of time that exceeds the length of the parolee's maximum sentence.
- (c) If the board revokes parole for a violation and orders incarceration, the board shall impose a period of incarceration consistent with the guidelines under Subsection 63M-7-404(5).
- (d) The following periods of time constitute service of time toward the period of incarceration imposed under Subsection (6)(c):
- (i) time served in jail by a parolee awaiting a hearing or decision concerning revocation of parole; and
 - (ii) time served in jail by a parolee due to a violation of parole under Subsection

431 64-13-6(2).