Senator Wayne A. Harper proposes the following substitute bill:

1	DEFENSE CONTRACTS AMENDMENTS
2	2020 GENERAL SESSION
3	STATE OF UTAH
4	Chief Sponsor: Wayne A. Harper
5	House Sponsor: Eric K. Hutchings
6 7	LONG TITLE
8	General Description:
9	This bill modifies and enacts provisions related to indigent and parental defense.
10	Highlighted Provisions:
11	This bill:
12	creates and modifies definitions;
13	 amends the powers, duties, and membership of the Utah Indigent Defense
14	Commission;
15	 creates the Office of Indigent Defense Services;
16	 creates the powers and duties of the Office of Indigent Defense Services;
17	 requires the Office of Indigent Defense Services to administer the Child Welfare
18	Parental Defense Program;
19	 modifies provisions relating to administration of the Child Welfare Parental
20	Defense Program;
21	 creates a reporting requirement for indigent defense services; and
22	makes technical and conforming changes.
23	Money Appropriated in this Bill:
24	None
25	Other Special Clauses:



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            This bill provides coordination clauses.
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     Utah Code Sections Affected:
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     AMENDS:
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            63M-7-204, as last amended by Laws of Utah 2019, Chapter 435
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            78A-6-1111, as last amended by Laws of Utah 2019, Chapter 326
            78B-6-112, as last amended by Laws of Utah 2019, Chapters 136, 326, and 491
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32
            78B-22-102, as enacted by Laws of Utah 2019, Chapter 326
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            78B-22-201, as enacted by Laws of Utah 2019, Chapter 326
            78B-22-401, as renumbered and amended by Laws of Utah 2019, Chapter 326
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            78B-22-402, as last amended by Laws of Utah 2019, Chapter 435 and renumbered and
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     amended by Laws of Utah 2019, Chapter 326
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            78B-22-404, as renumbered and amended by Laws of Utah 2019, Chapter 326
            78B-22-406, as renumbered and amended by Laws of Utah 2019, Chapter 326
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     ENACTS:
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            78B-22-451, Utah Code Annotated 1953
41
            78B-22-452, Utah Code Annotated 1953
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            78B-22-801, Utah Code Annotated 1953
43
     RENUMBERS AND AMENDS:
44
            78B-22-453, (Renumbered from 78B-22-403, as renumbered and amended by Laws of
45
     Utah 2019, Chapter 326)
46
            78B-22-802, (Renumbered from 63M-7-211, as enacted by Laws of Utah 2019, Chapter
47
     435)
48
            78B-22-803, (Renumbered from 63M-7-211.1, as enacted by Laws of Utah 2019,
49
     Chapter 435)
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            78B-22-804, (Renumbered from 63M-7-211.2, as enacted by Laws of Utah 2019,
51
     Chapter 435)
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     Utah Code Sections Affected by Coordination Clause:
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            78B-22-402, as last amended by Laws of Utah 2019, Chapter 435 and renumbered and
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     amended by Laws of Utah 2019, Chapter 326
            78B-22-406, as renumbered and amended by Laws of Utah 2019, Chapter 326
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            78B-22-451, Utah Code Annotated 1953
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	78B-22-452 , Utah Code Annotated 1953
	78B-22-453, Renumbered from 78B-22-403, as renumbered and amended by Laws of
Ut	ah 2019, Chapter 326
	78B-22-803, Renumbered from 63M-7-211.1, as enacted by Laws of Utah 2019,
Cł	apter 435
Ве	it enacted by the Legislature of the state of Utah:
	Section 1. Section 63M-7-204 is amended to read:
	63M-7-204. Duties of commission.
	(1) The State Commission on Criminal and Juvenile Justice administration shall:
	(a) promote the commission's purposes as enumerated in Section 63M-7-201;
	(b) promote the communication and coordination of all criminal and juvenile justice
ag	encies;
	(c) study, evaluate, and report on the status of crime in the state and on the
ef	rectiveness of criminal justice policies, procedures, and programs that are directed toward the
rec	luction of crime in the state;
	(d) study, evaluate, and report on programs initiated by state and local agencies to
ad	dress reducing recidivism, including changes in penalties and sentencing guidelines intended
to	reduce recidivism, costs savings associated with the reduction in the number of inmates, and
ev	aluation of expenses and resources needed to meet goals regarding the use of treatment as an
alt	ernative to incarceration, as resources allow;
	(e) study, evaluate, and report on policies, procedures, and programs of other
juı	risdictions which have effectively reduced crime;
	(f) identify and promote the implementation of specific policies and programs the
co	mmission determines will significantly reduce crime in Utah;
	(g) provide analysis and recommendations on all criminal and juvenile justice
leg	gislation, state budget, and facility requests, including program and fiscal impact on all
co	mponents of the criminal and juvenile justice system;
	(h) provide analysis, accountability, recommendations, and supervision for state and
fee	leral criminal justice grant money;
	(i) provide public information on the criminal and juvenile justice system and give

- technical assistance to agencies or local units of government on methods to promote public awareness;
 - (j) promote research and program evaluation as an integral part of the criminal and juvenile justice system;
 - (k) provide a comprehensive criminal justice plan annually;
 - (l) review agency forecasts regarding future demands on the criminal and juvenile justice systems, including specific projections for secure bed space;
 - (m) promote the development of criminal and juvenile justice information systems that are consistent with common standards for data storage and are capable of appropriately sharing information with other criminal justice information systems by:
 - (i) developing and maintaining common data standards for use by all state criminal justice agencies;
 - (ii) annually performing audits of criminal history record information maintained by state criminal justice agencies to assess their accuracy, completeness, and adherence to standards;
 - (iii) defining and developing state and local programs and projects associated with the improvement of information management for law enforcement and the administration of justice; and
 - (iv) establishing general policies concerning criminal and juvenile justice information systems and making rules as necessary to carry out the duties under Subsection (1)(k) and this Subsection (1)(m);
 - (n) allocate and administer grants, from money made available, for approved education programs to help prevent the sexual exploitation of children;
 - (o) allocate and administer grants funded from money from the Law Enforcement Operations Account created in Section 51-9-411 for law enforcement operations and programs related to reducing illegal drug activity and related criminal activity;
 - (p) request, receive, and evaluate data and recommendations collected and reported by agencies and contractors related to policies recommended by the commission regarding recidivism reduction;
 - (q) establish and administer a performance incentive grant program that allocates funds appropriated by the Legislature to programs and practices implemented by counties that reduce

119	recidivism and reduce the number of offenders per capita who are incarcerated,
120	(r) oversee or designate an entity to oversee the implementation of juvenile justice
121	reforms;
122	(s) make rules and administer the juvenile holding room standards and juvenile jail
123	standards to align with the Juvenile Justice and Delinquency Prevention Act requirements
124	pursuant to 42 U.S.C. Sec. 5633;
125	(t) allocate and administer grants, from money made available, for pilot qualifying
126	education programs; and
127	(u) oversee the trauma-informed justice program described in Section 63M-7-209[;
128	and] <u>.</u>
129	[(v) administer the Child Welfare Parental Defense Program in accordance with
130	Sections 63M-7-211, 63M-7-211.1, and 63M-7-211.2.
131	(2) If the commission designates an entity under Subsection (1)(r), the commission
132	shall ensure that the membership of the entity includes representation from the three branches
133	of government and, as determined by the commission, representation from relevant stakeholder
134	groups across all parts of the juvenile justice system, including county representation.
135	Section 2. Section 78A-6-1111 is amended to read:
136	78A-6-1111. Order for indigent defense service or guardian ad litem.
137	[(1) A court shall order indigent defense services for a minor, parent, or legal guardian
138	as provided by Title 78B, Chapter 22, Indigent Defense Act.]
139	(1) A court shall order indigent defense services in accordance with Title 78B, Chapter
140	22, Indigent Defense Act, for a minor, parent, or legal guardian facing an action filed by a
141	private party or the state under this title.
142	(2) (a) In any action under Part 3, Abuse, Neglect, and Dependency Proceedings, or
143	Part 5, Termination of Parental Rights Act, the child shall be represented by a guardian ad
144	litem in accordance with Sections 78A-6-317 and 78A-6-902.
145	(b) The child shall [also] be represented by an attorney guardian ad litem in other
146	actions initiated under this chapter when appointed by the court under Section 78A-6-902 or as
147	otherwise provided by law.
148	Section 3. Section 78B-6-112 is amended to read:
149	78B-6-112. District court jurisdiction over termination of parental rights

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- (1) A district court has jurisdiction to terminate parental rights in a child if the party [who] that filed the petition is seeking to terminate parental rights in the child for the purpose of facilitating the adoption of the child.
 - (2) A petition to terminate parental rights under this section may be:
- (a) joined with a proceeding on an adoption petition; or
 - (b) filed as a separate proceeding before or after a petition to adopt the child is filed.
- 157 (3) A court may enter a final order terminating parental rights before a final decree of adoption is entered.
 - (4) (a) Nothing in this section limits the jurisdiction of a juvenile court relating to proceedings to terminate parental rights as described in Section 78A-6-103.
 - (b) This section does not grant jurisdiction to a district court to terminate parental rights in a child if the child is under the jurisdiction of the juvenile court in a pending abuse, neglect, dependency, or termination of parental rights proceeding.
 - (5) The district court may terminate an individual's parental rights in a child if:
 - (a) the individual executes a voluntary consent to adoption, or relinquishment for adoption, of the child, in accordance with:
 - (i) the requirements of this chapter; or
 - (ii) the laws of another state or country, if the consent is valid and irrevocable;
 - (b) the individual is an unmarried biological father who is not entitled to consent to adoption, or relinquishment for adoption, under Section 78B-6-120 or 78B-6-121;
 - (c) the individual:
 - (i) received notice of the adoption proceeding relating to the child under Section 78B-6-110; and
 - (ii) failed to file a motion for relief, under Subsection 78B-6-110(6), within 30 days after the day on which the individual was served with notice of the adoption proceeding;
 - (d) the court finds, under Section 78B-15-607, that the individual is not a parent of the child; or
- (e) the individual's parental rights are terminated on grounds described in Title 78A,

 Chapter 6, Part 5, Termination of Parental Rights Act, [if terminating the individual's parental rights] and termination is in the best interests of the child.

181 (6) The court shall appoint an indigent defense service provider[, under] in accordance 182 with Title 78B, Chapter 22, Indigent Defense Act, to represent [a party] an individual who 183 faces any action initiated by a private party under Title 78A, Chapter 6, Part 5, Termination of 184 Parental Rights Act, or whose parental rights are subject to termination under this section. 185 (7) If a county incurs expenses in providing indigent defense services to an indigent individual facing any action initiated by a private party under Title 78A, Chapter 6, Part 5, 186 187 Termination of Parental Rights Act, or termination of parental rights under this section, the 188 county may apply for reimbursement from the Utah Indigent Defense Commission [under] in 189 accordance with Section 78B-22-406. 190 (8) A petition filed under this section is subject to the procedural requirements of this 191 chapter. 192 Section 4. Section **78B-22-102** is amended to read: 193 **78B-22-102.** Definitions. 194 As used in this chapter: (1) "Account" means the Indigent Defense Resources Restricted Account created in 195 196 Section 78B-22-405. 197 (2) "Board" means the Indigent Defense Funds Board created in Section 78B-22-501. 198 (3) "Commission" means the Utah Indigent Defense Commission created in Section 199 78B-22-401. 200 (4) "Director" means the director of the Office of Indigent Defense Services, created in 201 Section 78B-22-451, who is appointed in accordance with Section 78B-22-453. 202 [(4)] (5) (a) "Indigent defense resources" means the resources necessary to provide an effective defense for an indigent individual, including the costs for a competent investigator, 203 204 expert witness, scientific or medical testing, transcripts, and printing briefs. (b) "Indigent defense resources" does not include an indigent defense service provider. 205 206 [(5)] (6) "Indigent defense service provider" means an attorney or entity appointed to 207 represent an indigent individual pursuant to: 208 (a) a contract with an indigent defense system to provide indigent defense services; or (b) an order issued by the court under Subsection 78B-22-203(2)(a). 209 210 [(6)] (7) "Indigent defense services" means:

(a) the representation of an indigent individual by an indigent defense service provider;

212	and
213	(b) the provision of indigent defense resources for an indigent individual.
214	[(7)] (8) "Indigent defense system" means:
215	(a) a city or town that is responsible for providing indigent defense services [in the
216	city's or town's justice court];
217	(b) a county that is responsible for providing indigent defense services in the district
218	court, juvenile court, [or] and the county's justice courts; or
219	(c) an interlocal entity, created pursuant to Title 11, Chapter 13, Interlocal Cooperation
220	Act, that is responsible for providing indigent defense services according to the terms of an
221	agreement between a county, city, or town.
222	[(8)] <u>(9)</u> "Indigent individual" means:
223	(a) a minor who is:
224	(i) arrested and admitted into detention for an offense under Section 78A-6-103;
225	(ii) charged by petition or information in the juvenile or district court; or
226	(iii) described in this Subsection [(8)] (9) (a), who is appealing [a first appeal from] an
227	adjudication or other final court action; and
228	(b) an individual listed in Subsection 78B-22-201(1) who is found indigent pursuant to
229	Section 78B-22-202.
230	[(9)] (10) "Minor" means the same as that term is defined in Section 78A-6-105.
231	(11) "Office" means the Office of Indigent Defense Services created in Section
232	78B-22-451 <u>.</u>
233	[(10)] (12) "Participating county" means a county that complies with this chapter for
234	participation in the Indigent Aggravated Murder Defense Trust Fund as provided in Sections
235	78B-22-702 and 78B-22-703.
236	Section 5. Section 78B-22-201 is amended to read:
237	78B-22-201. Right to counsel.
238	(1) A court shall advise the following of the individual's right to counsel when the
239	individual first appears before the court:
240	(a) an adult charged with a criminal offense the penalty for which includes the
241	possibility of incarceration regardless of whether actually imposed;
242	(b) a parent or legal guardian facing [any] an action initiated by the state under:

243	(i) Title 78A, Chapter 6, Part 3, Abuse, Neglect, and Dependency Proceedings;
244	(ii) Title 78A, Chapter 6, Part 5, Termination of Parental Rights Act; or
245	(iii) Title 78A, Chapter 6, Part 10, Adult Offenses; [or]
246	[(iv) Section 78B-6-112; or]
247	(c) a parent or legal guardian facing an action initiated by any party under:
248	(i) Title 78A, Chapter 6, Part 5, Termination of Parental Rights Act; or
249	(ii) Section 78B-6-112; or
250	[(c)] (d) an individual described in this Subsection (1), who is appealing [a first appeal
251	from] a conviction or other final court action.
252	(2) If an individual described in Subsection (1) does not knowingly and voluntarily
253	waive the right to counsel, the court shall determine whether the individual is indigent under
254	Section 78B-22-202.
255	Section 6. Section 78B-22-401 is amended to read:
256	78B-22-401. Utah Indigent Defense Commission Creation Purpose.
257	(1) There is created the Utah Indigent Defense Commission within the State
258	Commission on Criminal and Juvenile Justice [the "Utah Indigent Defense Commission."].
259	(2) The purpose of the commission is to assist:
260	(a) the state in meeting the state's obligations for the provision of indigent defense
261	services, consistent with the United States Constitution, the Utah Constitution, and the Utah
262	Code[-]; and
263	(b) the office with carrying out the statutory duties assigned to the commission and
264	office.
265	Section 7. Section 78B-22-402 is amended to read:
266	78B-22-402. Commission members Member qualifications Terms Vacancy
267	(1) (a) The commission is composed of 15 [voting] members [and one ex officio,
268	nonvoting member].
269	[(a)] (b) The governor, with the consent of the Senate, shall appoint the following [13]
270	voting] 11 members:
271	(i) two practicing criminal defense attorneys recommended by the Utah Association of
272	Criminal Defense Lawyers;
273	(ii) one attorney practicing in juvenile delinquency defense recommended by the Utah

Association of Criminal Defense Lawyers;

275	(iii) one attorney practicing in the area of parental defense, recommended by an entity
276	funded under the Child Welfare Parental Defense Program created in Section 78B-22-802;
277	[(iii) an] (iv) one attorney representing minority interests recommended by the Utah
278	Minority Bar Association;
279	[(iv)] (v) one member recommended by the Utah Association of Counties from a
280	county of the first or second class;
281	[(v)] (vi) one member recommended by the Utah Association of Counties from a
282	county of the third through sixth class;
283	[(vi)] (vii) a director of a county public defender organization recommended by the
284	Utah Association of Criminal Defense Lawyers;
285	[(vii)] (viii) two members recommended by the Utah League of Cities and Towns from
286	its membership; and
287	[(viii) a] (ix) one retired judge recommended by the Judicial Council[;].
288	[(ix) one attorney practicing in the area of parental defense, recommended by an entity
289	funded under the Child Welfare Parental Defense Program created in Section 63M-7-211; and]
290	[(x)] (c) The speaker of the House of Representatives and the president of the Senate
291	shall appoint two members of the Utah Legislature, one from the House of Representatives and
292	one from the Senate[, selected jointly by the Speaker of the House and President of the Senate].
293	[(b)] (d) The Judicial Council shall appoint a [voting] member from the Administrative
294	Office of the Courts.
295	[(c)] (e) The executive director of the State Commission on Criminal and Juvenile
296	Justice or the executive director's designee is a [voting] member of the commission.
297	[(d) The director of the commission, appointed under Section 78B-22-403, is an ex
298	officio, nonvoting member of the commission.]
299	(2) A member appointed by the governor shall serve a four-year term, except as
300	provided in Subsection (3).
301	(3) The governor shall stagger the initial terms of appointees so that approximately half
302	of the members appointed by the governor are appointed every two years.
303	(4) A member appointed to the commission shall have significant experience in
304	indigent criminal defense, parental defense, or juvenile defense in delinquency proceedings or

305	have otherwise demonstrated a strong commitment to providing effective representation in
306	indigent defense services.
307	(5) [A person] An individual who is currently employed solely as a criminal
308	prosecuting attorney may not serve as a member of the commission.
309	(6) A commission member shall hold office until the member's successor is appointed.
310	(7) The commission may remove a member for incompetence, dereliction of duty,
311	malfeasance, misfeasance, or nonfeasance in office, or for any other good cause.
312	(8) If a vacancy occurs in the membership for any reason, a replacement shall be
313	appointed for the remaining unexpired term in the same manner as the original appointment.
314	(9) (a) The commission shall [annually] elect annually a chair from the commission's
315	membership to serve a one-year term.
316	(b) A commission member may not serve as chair of the commission for more than
317	three consecutive terms.
318	(10) A member may not receive compensation or benefits for the member's service, but
319	may receive per diem and travel expenses in accordance with:
320	(a) Section 63A-3-106;
321	(b) Section 63A-3-107; and
322	(c) rules made by the Division of Finance [pursuant to] in accordance with Sections
323	63A-3-106 and 63A-3-107.
324	(11) (a) A majority of the members of the commission constitutes a quorum.
325	(b) If a quorum is present, the action of a majority of the voting members present
326	constitutes the action of the commission.
327	Section 8. Section 78B-22-404 is amended to read:
328	78B-22-404. Powers and duties of the commission.
329	(1) The commission shall:
330	(a) adopt minimum guidelines for an indigent defense system to ensure the effective
331	representation of indigent individuals consistent with the requirements of the United States
332	Constitution, the Utah Constitution, and the Utah Code, which guidelines at a minimum shall
333	address the following:
334	(i) an indigent defense system shall ensure that in providing indigent defense services:
335	(A) an indigent individual receives conflict-free indigent defense services; and

336	(B) there is a separate contract for each type of indigent defense service; and
337	(ii) an indigent defense system shall ensure an indigent defense service provider has:
338	(A) the ability to exercise independent judgment without fear of retaliation and is free
339	to represent an indigent individual based on the indigent defense service provider's own
340	independent judgment;
341	(B) adequate access to indigent defense resources;
342	(C) the ability to provide representation to accused individuals in criminal cases at the
343	critical stages[, and at the] of proceedings, and at all stages to indigent individuals in juvenile
344	delinquency and child welfare proceedings;
345	(D) a workload that allows for sufficient time to meet with clients, investigate cases,
346	file appropriate documents with the courts, and otherwise provide effective assistance of
347	counsel to each client;
348	(E) adequate compensation without financial disincentives;
349	(F) appropriate experience or training in the area for which the indigent defense service
350	provider is representing indigent individuals;
351	(G) compensation for legal training and education in the areas of the law relevant to the
352	types of cases for which the indigent defense service provider is representing indigent
353	individuals; and
354	(H) the ability to meet the obligations of the Utah Rules of Professional Conduct,
355	including expectations on client communications and managing conflicts of interest;
356	(b) encourage and aid indigent defense systems in the state in the regionalization of
357	indigent defense services to provide for effective and efficient representation to the indigent
358	individuals;
359	[(c) identify and collect data from any source, which is necessary for the commission
360	to:]
361	[(i) aid, oversee, and review compliance by indigent defense systems with the
362	commission's minimum guidelines for the effective representation of indigent individuals; and]
363	[(ii) provide reports regarding the operation of the commission and the provision of
364	indigent defense services by indigent defense systems in the state;]
365	[(d) assist indigent defense systems by reviewing contracts and other agreements, to
366	ensure compliance with the commission's minimum guidelines for effective representation of

36/	indigent individuals;
368	[(e) investigate, audit, and review the provision of indigent defense services to ensure
369	compliance with the commission's minimum guidelines for the effective representation of
370	indigent individuals;]
371	[(f) establish procedures for the receipt and acceptance of complaints regarding the
372	provision of indigent defense services in the state;]
373	[(g) establish procedures to award grants to indigent defense systems under Section
374	78B-22-406 consistent with the commission's minimum guidelines for the effective
375	representation of indigent individuals and appropriations by the state;]
376	[(h)] (c) emphasize the importance of ensuring constitutionally effective indigent
377	defense services;
378	[(i)] (d) encourage members of the judiciary to provide input regarding the delivery of
379	indigent defense services; and
380	[(j)] (e) oversee individuals and entities involved in providing indigent defense
381	services[;].
382	[(k) annually report to the governor, Legislature, Judiciary Interim Committee, and
383	Judicial Council, regarding:
384	[(i) the operations of the commission;]
385	[(ii) the operations of the indigent defense systems in the state; and]
386	[(iii) compliance with the commission's minimum guidelines by indigent defense
387	systems receiving grants from the commission;]
388	[(1) submit recommendations for improving indigent defense services in the state, to
389	legislative, executive, and judicial leadership; and]
390	[(m) publish an annual report on the commission's website.]
391	(2) The commission may:
392	(a) make rules in accordance with Title 63G, Chapter 3, Utah Administrative
393	Rulemaking Act, to carry out the commission's duties under this part[:];
394	(b) assign duties related to indigent defense services to the office to assist the
395	commission with the commission's statutory duties; and
396	(c) request supplemental appropriations from the Legislature to address a deficit in the
397	Child Welfare Parental Defense Fund created in Section 78B-22-804.

398	Section 9. Section 78B-22-406 is amended to read:
399	78B-22-406. Indigent defense services grant program.
400	(1) The commission may award grants to supplement local spending by an indigent
401	defense system for indigent defense.
402	[(2) Commission grant money may be used for the following expenses:]
403	(2) The commission may use grant money:
404	(a) to assist an indigent defense system to provide indigent defense services that meet
405	the commission's minimum guidelines for the effective representation of indigent individuals;
406	(b) [the establishment and maintenance of] to establish and maintain local indigent
407	defense data collection systems;
408	(c) to provide indigent defense services in addition to [those] indigent defense services
409	that are currently being provided by an indigent defense system; [and]
410	(d) to provide training and continuing legal education for indigent defense service
411	providers[-]; and
412	(e) to pay for indigent defense resources and costs and expenses for parental defense
413	attorneys as described in Subsection 78B-22-804(2).
414	(3) To receive a grant from the commission, an indigent defense system shall
415	demonstrate to the commission's satisfaction that:
416	(a) the indigent defense system has incurred or reasonably anticipates incurring
417	expenses for indigent defense services that are in addition to the indigent defense system's
418	average annual spending on indigent defense services in the three fiscal years immediately
419	preceding the grant application; and
420	(b) a grant from the commission is necessary for the indigent defense system to meet
421	the commission's minimum guidelines for the effective representation of indigent individuals.
422	(4) The commission may revoke a grant if an indigent defense system fails to meet
423	requirements of the grant or any of the commission's minimum guidelines for the effective
424	representation of indigent individuals.
425	Section 10. Section 78B-22-451 is enacted to read:
426	Part 4a. Office of Indigent Defense Services
427	78B-22-451. Office of Indigent Defense Services Creation.
428	There is created the Office of Indigent Defense Services within the State Commission

429	on Criminal and Juvenile Justice.
430	Section 11. Section 78B-22-452 is enacted to read:
431	78B-22-452. Duties of the office.
432	(1) The office shall:
433	(a) establish an annual budget for the office for the Indigent Defense Resources
434	Restricted Account created in Section 78B-22-405;
435	(b) assist the commission in performing the commission's statutory duties described in
436	this chapter;
437	(c) identify and collect data that is necessary for the commission to:
438	(i) aid, oversee, and review compliance by indigent defense systems with the
439	commission's minimum guidelines for the effective representation of indigent individuals; and
440	(ii) provide reports regarding the operation of the commission and the provision of
441	indigent defense services by indigent defense systems in the state;
442	(d) assist indigent defense systems by reviewing contracts and other agreements, to
443	ensure compliance with the commission's minimum guidelines for effective representation of
444	indigent individuals;
445	(e) establish procedures for the receipt and acceptance of complaints regarding the
446	provision of indigent defense services in the state;
447	(f) establish procedures to award grants to indigent defense systems under Section
448	78B-22-406 that are consistent with the commission's minimum guidelines;
449	(g) assist the commission in developing and reviewing advisory caseload guidelines
450	and procedures;
451	(h) investigate, audit, and review the provision of indigent defense services to ensure
452	compliance with the commission's minimum guidelines for the effective representation of
453	indigent individuals;
454	(i) administer the Child Welfare Parental Defense Program in accordance with Part 8,
455	Child Welfare Parental Defense Program;
456	(j) annually report to the governor, Legislature, Judiciary Interim Committee, and
457	Judicial Council, regarding:
458	(i) the operations of the commission;
459	(ii) the operations of the indigent defense systems in the state; and

460	(iii) compliance with the commission's minimum guidelines by indigent defense
461	systems receiving grants from the commission;
462	(k) submit recommendations to the commission for improving indigent defense
463	services in the state;
464	(l) publish an annual report on the commission's website; and
465	(m) perform all other duties assigned by the commission related to indigent defense
466	services.
467	(2) The office may enter into contracts and accept, allocate, and administer funds and
468	grants from any public or private person to accomplish the duties of the office.
469	(3) Any contract entered into under this part shall require that indigent defense services
470	are provided in a manner consistent with the commission's minimum guidelines implemented
471	under Section 78B-22-404.
472	Section 12. Section 78B-22-453, which is renumbered from Section 78B-22-403 is
473	renumbered and amended to read:
474	[78B-22-403]. <u>78B-22-453.</u> Director Qualifications Staff.
475	(1) The [commission] executive director of the State Commission on Criminal and
476	Juvenile Justice shall appoint a director to carry out the [following duties:] duties of the office
477	described in Section 78B-22-452.
478	[(a) establish an annual budget;]
479	[(b) assist the commission in performing the commission's statutory duties;]
480	[(c) assist the commission in developing and regularly reviewing advisory caseload
481	guidelines and procedures; and]
482	[(d) perform all other duties as assigned.]
483	(2) The director shall be an active member of the Utah State Bar with an appropriate
484	background and experience to serve as the full-time director.
485	(3) The director shall hire staff as necessary to carry out the duties of the [commission]
486	office as described in Section 78B-22-452, including:
487	(a) one individual who is an active member of the Utah State Bar to serve as a full-time
488	assistant director; and
489	(b) one individual with data collection and analysis skills [to carry out duties as
490	outlined in Subsection 78B-22-404(1)(c)].

491	[(4) The commission in appointing the director, and the director in hiring the assistant
492	director, shall give a preference to individuals]
493	(4) When appointing the director of the office under Subsection (1), the executive
494	director of the State Commission on Criminal and Juvenile Justice shall give preference to an
495	individual with experience in adult criminal defense, child welfare parental defense, or juvenile
496	delinquency defense.
497	(5) When hiring the assistant director, the director shall give preference to an
498	individual with experience in adult criminal defense, child welfare parental defense, or juvenile
499	delinquency defense.
500	Section 13. Section 78B-22-801 is enacted to read:
501	Part 8. Child Welfare Parental Defense Program
502	78B-22-801. Definitions.
503	As used in this part:
504	(1) "Child welfare case" means a proceeding under Title 78A, Chapter 6, Part 3,
505	Abuse, Neglect, and Dependency Proceedings, or Part 5, Termination of Parental Rights Act.
506	(2) "Contracted parental defense attorney" means an attorney who represents an
507	indigent individual who is a parent in a child welfare case under a contract with the office or a
508	contributing county.
509	(3) "Contributing county" means a county that complies with this part for participation
510	in the Child Welfare Parental Defense Fund described in Section 78B-22-804.
511	(4) "Fund" means the Child Welfare Parental Defense Fund created in Section
512	<u>78B-22-804.</u>
513	(5) "Program" means the Child Welfare Parental Defense Program created in Section
514	<u>78B-22-802.</u>
515	Section 14. Section 78B-22-802, which is renumbered from Section 63M-7-211 is
516	renumbered and amended to read:
517	[63M-7-211]. <u>78B-22-802.</u> Child Welfare Parental Defense Program
518	Creation Duties Administrator Annual report Budget.
519	[(1) As used in this section and Sections 63M-7-211.1 and 63M-7-211.2:]
520	[(a) "Child welfare case" means a proceeding under Title 78A, Chapter 6, Part 3,
521	Abuse Neglect and Dependency Proceedings or Part 5 Termination of Parental Rights Act 1

522	[(b) "Commission" means the Commission on Criminal and Juvenile Justice created in
523	Section 63M-7-201.]
524	[(c) "Contracted parental defense attorney" means a parental defense attorney who is
525	under contract with the commission to provide parental defense in a child welfare case.]
526	[(d) "Executive director" means the executive director of the commission appointed
527	under Section 63M-7-203:]
528	[(e) "Fund" means the Child Welfare Parental Defense Fund established in Section
529	63M-7-211.2.]
530	[(f) "Parental defense attorney" means an attorney, law firm, or group of attorneys
531	who:]
532	[(i) are authorized to practice law in the state; and]
533	[(ii) provide legal representation under contract with the commission, or a county in the
534	state, to a parent who is a party in a child welfare case.]
535	[(g) "Program" means the Child Welfare Parental Defense Program created in this
536	section.]
537	[(2)] (1) There is created within the [commission] office the Child Welfare Parental
538	Defense Program.
539	[(3) The commission shall:]
540	[(a) administer and enforce this section;]
541	[(b) manage the operation and budget of the program;]
542	[(c) provide assistance and advice to parental defense attorneys;]
543	[(d) develop and provide educational and training programs for parental defense
544	attorneys; and]
545	[(e) provide information and advice to assist a parental defense attorney to comply with
546	the attorney's professional, contractual, and ethical duties.]
547	[(4) The commission may]
548	(2) (a) The office shall:
549	(i) administer and enforce the program in accordance with this part;
550	(ii) manage the operation and budget of the program;
551	(iii) develop and provide educational and training programs for contracted parental
552	defense attorneys; and

333	(iv) provide information and advice to assist a contracted parental defense attorney to
554	comply with the attorney's professional, contractual, and ethical duties.
555	(b) In administering the program, the office shall contract with:
556	[(a)] (i) a person who is qualified to perform the program duties under this section; and
557	[(b)] (ii) an attorney [authorized to practice law in the state], as an independent
558	contractor, [to serve as a parental defense attorney under this section.] in accordance with
559	Section 78B-22-803.
560	[(5) (a) On or before October 1 of each year, the executive director shall report to the
561	governor and the Child Welfare Legislative Oversight Panel regarding the preceding fiscal year
562	on the operations, activities, and goals of the program.]
563	[(b)] (3) (a) The [executive] director shall prepare a budget of:
564	(i) the administrative expenses for the program; and
565	(ii) the amount estimated to fund needed contracts and other costs.
566	[(c) The professional legislative staff may include summary data and nonidentifying
567	information in the staff's audits and reports to the Legislature.]
568	(b) On or before October 1 of each year, the director shall report to the governor and
569	the Child Welfare Legislative Oversight Panel regarding the preceding fiscal year on the
570	operations, activities, and goals of the program.
571	[(6) (a) (i) Notwithstanding Title 63G, Chapter 2, Government Records Access and
572	Management Act, and except as provided in Subsection (6)(b), a record of a contracted parental
573	defense attorney is protected and may not be released or made public upon subpoena, search
574	warrant, discovery proceedings, or otherwise.]
575	[(ii) A record of a contracted parental defense attorney is subject to legislative
576	subpoena, under Title 36, Chapter 14, Legislative Subpoena Powers.]
577	[(b) The Legislature shall maintain a record released in accordance with Subsection
578	(6)(a)(ii) as confidential.]
579	Section 15. Section 78B-22-803, which is renumbered from Section 63M-7-211.1 is
580	renumbered and amended to read:
581	[63M-7-211.1]. 78B-22-803. Child welfare parental defense contracts.
582	(1) (a) The [commission] office may enter into a contract with [a parental defense] an
583	attorney to provide indigent defense services for [an indigent] a parent who is the subject of a

584	petition alleging abuse, neglect, or dependency, and requires [a parental defense attorney
585	under] indigent defense services under Section 78A-6-1111.
586	(b) [Payment] The office shall make payment for the representation, costs, and
587	expenses of a contracted parental defense attorney [shall be made] from the Child Welfare
588	Parental Defense Fund in accordance with Section [63M-7-211.2] 78B-22-804.
589	[(c) The parental defense attorney shall maintain the minimum qualifications as
590	provided by this section.]
591	[(2) A contracted parental defense attorney shall:]
592	[(a) adequately prepare for and attend all court hearings, including initial and continued
593	shelter hearings and mediations;]
594	[(b) fully advise the client of the nature of the proceedings and of the client's rights,
595	communicate to the client any offers of settlement or compromise, and advise the client
596	regarding the reasonably foreseeable consequences of any course of action in the proceedings;]
597	[(c) be reasonably available to consult with the client outside of court proceedings;]
598	[(d) where attendance is reasonably necessary, attend meetings regarding the client's
599	case with representatives of one or more of the Division of Child and Family Services, the
600	Office of the Attorney General, or the Office of Guardian Ad Litem;]
601	[(e) represent the interest of the client at all stages of the proceedings before the trial
602	court, and on appeal as required by law; and]
603	[(f) participate in the training courses and otherwise maintain the standards described
604	in Subsection (4).]
605	[(3) If the commission enters into a contract with a firm to provide parental defense
606	attorney services under this section, the contract shall require that each attorney in the firm who
607	will provide representation of a parent in a child welfare case under the contract perform the
608	duties described in Subsection (2).
609	[(4)] (2) (a) Except as $[otherwise]$ provided in Subsection $[(4)]$ (2)(b), a contracted
610	parental defense attorney shall:
611	(i) complete a basic training course provided by the [program] office;
612	(ii) provide parental defense services consistent with the commission's minimum
613	guidelines described in Section 78B-22-404;
614	[(iii)] (iii) have experience in child welfare cases; and

615	[(iii)] (iv) participate each calendar year in continuing legal education courses
616	providing no fewer than eight hours of instruction in child welfare law.
617	(b) In accordance with Title 63G, Chapter 3, Utah Administrative Rulemaking Act, the
618	commission may, by rule, exempt from the requirements of Subsection [(4)] (2)(a) an attorney
619	who has equivalent training or adequate experience.
620	Section 16. Section 78B-22-804, which is renumbered from Section 63M-7-211.2 is
621	renumbered and amended to read:
622	[63M-7-211.2]. <u>78B-22-804.</u> Child Welfare Parental Defense Fund
623	Contracts for coverage by the Child Welfare Parental Defense Fund.
624	(1) There is created an expendable special revenue fund known as the "Child Welfare
625	Parental Defense Fund."
626	(2) Subject to availability, the [commission] office may make distributions from the
627	fund [as required in this section or Section 63M-7-211 or 63M-7-211.1] for the following
628	purposes:
629	(a) to pay for [the representation, costs, expert witness fees, and expenses of] indigent
630	defense resources for contracted parental defense attorneys [who are under contract with the
631	commission to provide parental defense in child welfare cases for an indigent parent that is the
632	subject of a petition alleging abuse, neglect, or dependency];
633	(b) for administrative costs [under this section or Section 63M-7-211 or 63M-7-211.1]
634	of the program; and
635	(c) for reasonable expenses directly related to the functioning of the program, including
636	training and travel expenses.
637	(3) The fund consists of:
638	(a) appropriations made to the fund by the Legislature;
639	(b) interest and earnings from the investment of fund money;
640	(c) proceeds deposited by [participating] contributing counties under this section; and
641	(d) private contributions to the fund.
642	(4) The state treasurer shall invest the money in the fund by following the procedures
643	and requirements of Title 51, Chapter 7, State Money Management Act.
644	(5) (a) If the [commission] office anticipates a deficit in the fund during a fiscal year:
645	(i) the commission [shall] may request an appropriation from the Legislature; and

676

646 (ii) the Legislature may fund the anticipated deficit through appropriation. 647 (b) If the anticipated deficit is not funded by the Legislature, the [commission] office 648 may request an interim assessment to participating counties as described in Subsection (6) to 649 fund the anticipated deficit. 650 (6) (a) A county legislative body and the [commission] office may annually enter into a 651 [written agreement] contract for the [commission] office to provide parental defense attorney 652 services in the contributing county out of the fund. 653 (b) The [agreement] contract described under Subsection (6)(a) shall: 654 (i) require the contributing county to pay into the fund an amount defined by a formula established by the commission by rule under Title 63G, Chapter 3, Utah Administrative 655 656 Rulemaking Act; and 657 (ii) provide for revocation of the agreement for failure to pay an assessment on the due 658 date established by the commission by rule under Title 63G, Chapter 3, Utah Administrative 659 Rulemaking Act. 660 (7) (a) After the first year of operation of the fund, any contributing county that elects 661 to initiate participation in the fund, or reestablish participation in the fund after participation 662 was terminated, [shall be] is required to make an equity payment, in addition to the assessment 663 provided in Subsection (5). 664 (b) The commission shall determine the amount of the equity payment described in 665 Subsection (7)(a) by rule established by the commission under Title 63G, Chapter 3, Utah 666 Administrative Rulemaking Act. 667 (8) A contributing county that elects to withdraw from participation in the fund, or 668 whose participation in the fund is revoked due to failure to pay the contributing county's 669 assessment, as described in Subsection (6), when due, shall forfeit any right to any previously 670 paid assessment by the contributing county or coverage from the fund. 671 Section 17. Coordinating S.B. 175 with S.B. 139 -- Substantive and technical 672 amendments. 673 If this S.B. 175 and S.B. 139, Amendments to Indigent Defense, both pass and become law, it is the intent of the Legislature that the Office of Legislative Research and General 674

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(1) the amendments to Section 78B-22-451 in this bill supersede the amendments to

Counsel shall prepare the Utah Code database for publication as follows:

6//	Section 78B-22-451 in S.B. 139;
678	(2) the amendments to Section 78B-22-403, renumbered and amended by this bill,
679	supersede the amendments to Section 78B-22-403, renumbered and amended by S.B. 139;
680	(3) the amendments to Section 78B-22-402 in this bill supersede the amendments to
681	Section 78B-22-402 in S.B. 139;
682	(4) the terminology in Subsection 78B-22-803(2)(a)(ii) in this bill is changed from
683	"minimum guidelines" to "core principles"; and
684	(5) Section 78B-22-452 is modified to read:
685	<u>"78B-22-452.</u> Duties of the office.
686	(1) The office shall:
687	(a) establish an annual budget for the Indigent Defense Resources Restricted Account
688	created in Section 78B-22-405;
689	(b) assist the commission in performing the commission's statutory duties described in
690	this chapter;
691	(c) identify and collect data that is necessary for the commission to:
692	(i) aid, oversee, and review compliance by indigent defense systems with the
693	commission's core principles for the effective representation of indigent individuals; and
694	(ii) provide reports regarding the operation of the commission and the provision of
695	indigent defense services by indigent defense systems in the state;
696	(d) assist indigent defense systems by reviewing contracts and other agreements to
697	ensure compliance with the commission's core principles for the effective representation of
698	indigent individuals;
699	(e) establish procedures for the receipt and acceptance of complaints regarding the
700	provision of indigent defense services in the state;
701	(f) establish procedures to award grants to indigent defense systems under Section
702	78B-22-406 that are consistent with the commission's core principles;
703	(g) assist the commission in developing and reviewing advisory caseload guidelines
704	and procedures;
705	(h) investigate, audit, and review the provision of indigent defense services to ensure
706	compliance with the commission's core principles for the effective representation of indigent
707	individuals;

708	(i) administer the Child Welfare Parental Defense Program in accordance with Part 8,
709	Child Welfare Parental Defense Program;
710	(j) annually report to the governor, Legislature, Judiciary Interim Committee, and
711	Judicial Council, regarding:
712	(i) the operations of the commission;
713	(ii) the operations of the indigent defense systems in the state; and
714	(iii) compliance with the commission's core principles by indigent defense systems
715	receiving grants from the commission;
716	(k) submit recommendations to the commission for improving indigent defense
717	services in the state;
718	(l) publish an annual report on the commission's website; and
719	(m) perform all other duties assigned by the commission related to indigent defense
720	services.
721	(2) The office may enter into contracts and accept, allocate, and administer funds and
722	grants from any public or private person to accomplish the duties of the office.
723	(3) Any contract entered into under this part shall require that indigent defense services
724	are provided in a manner consistent with the commission's core principles implemented under
725	Section 78B-22-404.".
726	Section 18. Coordinating S.B. 175 with S.B. 170 Substantive and technical
727	amendments.
728	If this S.B. 175 and S.B. 170, Indigent Defense Amendments, both pass and become
729	law, it is the intent of the Legislature that the Office of Legislative Research and General
730	Counsel shall prepare the Utah Code database for publication as follows:
731	(1) the amendments in Section 78B-22-451 in this bill supersede the amendments to
732	Section 78B-22-451 in S.B. 170;
733	(2) the amendments to Section 78B-22-403, as renumbered and amended by this bill,
734	supersede the amendments to Section 78B-22-403, renumbered and amended by S.B. 170;
735	(3) the amendments to Section 78B-22-402 in this bill supersede the amendments to
736	Section 78B-22-402 in S.B. 170;
737	(4) the terminology in Subsection 78B-22-803(2)(a)(ii) in this bill is changed from
738	"minimum guidelines" to "core principles"; and

739	(5) Section 78B-22-452 is modified to read:
740	<u>"78B-22-452.</u> Duties of the office.
741	(1) The office shall:
742	(a) establish an annual budget for the office for the Indigent Defense Resources
743	Restricted Account created in Section 78B-22-405;
744	(b) assist the commission in performing the commission's statutory duties described in
745	this chapter;
746	(c) identify and collect data that is necessary for the commission to:
747	(i) aid, oversee, and review compliance by indigent defense systems with the
748	commission's core principles for the effective representation of indigent individuals; and
749	(ii) provide reports regarding the operation of the commission and the provision of
750	indigent defense services by indigent defense systems in the state;
751	(d) assist indigent defense systems by reviewing contracts and other agreements, to
752	ensure compliance with the commission's core principles for effective representation of
753	indigent individuals;
754	(e) establish procedures for the receipt and acceptance of complaints regarding the
755	provision of indigent defense services in the state;
756	(f) establish procedures to award grants to indigent defense systems under Section
757	78B-22-406 that are consistent with the commission's core principles;
758	(g) create and enter into contracts consistent with Section 78B-22-454 to provide
759	indigent defense services for an indigent defense inmate who:
760	(i) is incarcerated in a state prison located in a county of the third, fourth, fifth, or sixth
761	class as defined in Section 17-50-501;
762	(ii) is charged with having committed a crime within that state prison; and
763	(iii) has been appointed counsel in accordance with Section 78B-22-203;
764	(h) assist the commission in developing and reviewing advisory caseload guidelines
765	and procedures;
766	(i) investigate, audit, and review the provision of indigent defense services to ensure
767	compliance with the commission's core principles for the effective representation of indigent
768	individuals;
769	(i) administer the Child Welfare Parental Defense Program in accordance with Part 8.

770	Child Welfare Parental Defense Program;
771	(k) annually report to the governor, Legislature, Judiciary Interim Committee, and
772	Judicial Council, regarding:
773	(i) the operations of the commission;
774	(ii) the operations of the indigent defense systems in the state; and
775	(iii) compliance with the commission's core principles by indigent defense systems
776	receiving grants from the commission;
777	(1) submit recommendations to the commission for improving indigent defense services
778	in the state;
779	(m) publish an annual report on the commission's website; and
780	(n) perform all other duties assigned by the commission related to indigent defense
781	services.
782	(2) The office may enter into contracts and accept, allocate, and administer funds and
783	grants from any public or private person to accomplish the duties of the office.
784	(3) Any contract entered into under this part shall require that indigent defense services
785	are provided in a manner consistent with the commission's core principles implemented under
786	Section 78B-22-404.".
787	Section 19. Coordinating S.B. 175 with S.B. 139 and S.B. 170 Substantive and
788	technical amendments.
789	If this S.B. 175 and S.B. 139, Amendments to Indigent Defense, and S.B. 170, Indigent
790	Defense Amendments, all pass and become law, it is the intent of the Legislature that the
791	Office of Legislative Research and General Counsel shall prepare the Utah Code database for
792	publication as follows:
793	(1) the amendments in Section 78B-22-451 in this bill supersede the amendments to
794	Section 78B-22-451 in S.B. 139 and S.B. 170;
795	(2) the amendments to Section 78B-22-403, as renumbered and amended by this bill,
796	supersede the amendments to Section 78B-22-403, renumbered and amended by S.B. 139 and
797	S.B. 170;
798	(3) the amendments to Section 78B-22-402 in this bill supersede the amendments to
799	Section 78B-22-402 in S.B. 139 and S.B. 170;
800	(4) the terminology in Subsection 78B-22-803(2)(a)(ii) in this bill is changed from

801	"minimum guidelines" to "core principles"; and
802	(5) Section 78B-22-452 is modified to read:
803	"78B-22-452. Duties of the office.
804	(1) The office shall:
805	(a) establish an annual budget for the office for the Indigent Defense Resources
806	Restricted Account created in Section 78B-22-405;
807	(b) assist the commission in performing the commission's statutory duties described in
808	this chapter;
809	(c) identify and collect data that is necessary for the commission to:
810	(i) aid, oversee, and review compliance by indigent defense systems with the
811	commission's core principles for the effective representation of indigent individuals; and
812	(ii) provide reports regarding the operation of the commission and the provision of
813	indigent defense services by indigent defense systems in the state;
814	(d) assist indigent defense systems by reviewing contracts and other agreements, to
815	ensure compliance with the commission's core principles for effective representation of
816	indigent individuals;
817	(e) establish procedures for the receipt and acceptance of complaints regarding the
818	provision of indigent defense services in the state;
819	(f) establish procedures to award grants to indigent defense systems under Section
820	78B-22-406 that are consistent with the commission's core principles;
821	(g) create and enter into contracts consistent with Section 78B-22-454 to provide
822	indigent defense services for an indigent defense inmate who:
823	(i) is incarcerated in a state prison located in a county of the third, fourth, fifth, or sixth
824	class as defined in Section 17-50-501;
825	(ii) is charged with having committed a crime within that state prison; and
826	(iii) has been appointed counsel in accordance with Section 78B-22-203;
827	(h) assist the commission in developing and reviewing advisory caseload guidelines
828	and procedures;
829	(i) investigate, audit, and review the provision of indigent defense services to ensure
830	compliance with the commission's core principles for the effective representation of indigent
831	individuals;

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832	(j) administer the Child Welfare Parental Defense Program in accordance with Part 8,
833	Child Welfare Parental Defense Program;
834	(k) annually report to the governor, Legislature, Judiciary Interim Committee, and
835	Judicial Council, regarding:
836	(i) the operations of the commission;
837	(ii) the operations of the indigent defense systems in the state; and
838	(iii) compliance with the commission's core principles by indigent defense systems
839	receiving grants from the commission;
840	(1) submit recommendations to the commission for improving indigent defense services
841	in the state;
842	(m) publish an annual report on the commission's website; and
843	(n) perform all other duties assigned by the commission related to indigent defense
844	services.
845	(2) The office may enter into contracts and accept, allocate, and administer funds and
846	grants from any public or private person to accomplish the duties of the office.
847	(3) Any contract entered into under this part shall require that indigent defense services
848	are provided in a manner consistent with the commission's core principles implemented under
849	Section 78B-22-404.".